

**FUNDING OVERVIEW - AID FUNDS**  
*DATA AS OF OCTOBER 31, 2000*

Approximately **\$35,950,000 in FY 1992 through FY 2000 funds** has been provided to NRC by USAID to support activities to strengthen the regulatory authorities of countries of the New Independent States of the former Soviet Union that operate Soviet-designed nuclear reactors. Summary financial information per country is:

| Country      | Amount<br>Received<br>(thousands) | Amount<br>Obligated<br>(thousands) | Amount<br>Unobligated<br>(thousands) | Amount<br>Available<br>to Obligate<br>(thousands) | Amount<br>Expended<br>(thousands) | Amount<br>Obligated But<br>Not Expended<br>(thousands) |
|--------------|-----------------------------------|------------------------------------|--------------------------------------|---|-----------------------------------|--|
| Armenia      | \$2,865                           | \$2,454                            | \$411                                | \$400   | \$2,148                           | \$306  |
| Kazakhstan   | \$2,545                           | \$2,063                            | \$482                                | \$471   | \$1,836                           | \$227  |
| Russia       | \$13,877                          | \$13,649                           | \$228                                | \$184   | \$13,141                          | \$508  |
| Ukraine      | \$16,663                          | \$15,846                           | \$817                                | \$190   | \$13,025                          | \$2,821  |
| <b>Total</b> | <b>\$35,950</b>                   | <b>\$34,012</b>                    | <b>\$1,938</b>                       | <b>\$1,245</b>                                    | <b>\$30,150</b>                   | <b>\$3,862</b>   |

**FUNDING OVERVIEW - AID FUNDS**  
**INFORMATION AS OF OCTOBER 31, 2000**

Approximately **\$35,950,000 in FY 1992 through FY 2000 funds** has been provided to NRC by USAID to support activities to strengthen the regulatory authorities of countries of the New Independent States of the former Soviet Union that operate Soviet-designed nuclear reactors. Funding levels provided are:

- **\$3,100,000 in FY92 funds:** \$1,500,000 for Russia and \$1,600,000 for Ukraine;
- **\$5,000,000 in FY93 funds:** \$20,000 for Armenia, \$1,627,000 for Russia and \$3,353,000 for Ukraine;
- **\$11,000,000 in FY94 funds:** \$6,000,000 for Russia (\$3,000,000 in the first tranche and \$3,000,000 in the second tranche), \$4,720,000 for Ukraine (\$2,500,000 in the first tranche and \$2,220,000 in the second tranche), \$200,000 for Kazakhstan (all from the second tranche), and \$80,000 for Armenia (all from the second tranche);
- **\$3,400,000 in FY95 funds:** \$2,500,000 for Russia and \$900,000 for Ukraine;
- **\$500,000 in FY96 funds:** \$300,000 for Armenia and \$200,000 for Kazakhstan;
- **\$2,650,000 in FY97 funds:** \$500,000 for Russia, \$1,500,000 for Ukraine, \$500,000 for Armenia and \$150,000 for Kazakhstan; and
- **\$4,300,000 in FY98 funds:** \$750,000 for Russia, \$2,540,000 for Ukraine, \$515,000 for Armenia, and \$495,000 for Kazakhstan.
- **\$4,000,000 in FY99 funds:** \$500,000 for Russia, \$2,000,000 for Ukraine, \$800,000 for Armenia, and \$700,000 for Kazakhstan.
- **\$2,000,000 in FY00 funds:** \$500,000 for Russia, \$650,000 for Armenia, \$800,000 for Kazakhstan and \$50,000 for Ukraine.

OVERVIEW OF AID/NRC NIS-RELATED INTERAGENCY AGREEMENTS  
OCTOBER 31, 2000

- 1) September 1992 IAA. Provided total of \$3.1 Million in no-year FY92 funds. Obligation and expenditure authority expired April 30, 1996.
- 2) June 1993 IAA. Provided total of \$5 million in no-year FY93 funds. Obligation and expenditure authority expired February 25, 1999.
- 3) May 1994 IAA. Provided total of \$5.5 million in no-year FY94 funds. Obligation and expenditure authority available with no expiration date.
- 4) July 1995 IAA. Provided total of \$5.5 million in no-year FY94 funds. Obligation and expenditure authority expires September 30, 2001.
- 5) September 1995 IAA. Provided total of \$3.4 million in no-year FY95 funds. Obligation and expenditure authority expires September 30, 2001.
- 6) August 1996 IAA. Provided total of \$0.5 million in two-year FY 96 funds. Obligation authority expired September 30, 1997, expenditure authority expired September 30, 1999.
- 7) September 1997 IAA. Provided total of \$2.65 million in two-year FY97 funds. Obligation authority expired September 30, 1998, expenditure authority expires September 30, 2001.
- 8) September 1998 IAA. Provided total of \$4.3 million in two-year FY98 funds. Obligation authority expired September 30, 1999, expenditure authority expires September 30, 2001.
- 9) June 1999 IAA. Provided total of \$4 million in two-year FY99 funds. Obligation authority expires September 30, 2000, expenditure authority expires September 30, 2001.
- 10) May 2000 IAA. Provided total of \$2 million in two-year FY00 funds. Obligation and expenditure authority expires September 30, 2001.

USNRC REGULATORY ASSISTANCE ACTIVITIES  
FINANCIAL OVERVIEW - ARMENIA  
DATA AS OF OCTOBER 31, 2000

| Fiscal Year<br>Appropriated<br>to AID<br>by Congress | Amount<br>Received<br>(thousands) | Amount<br>Obligated<br>(thousands) | Amount<br>Unobligated<br>(thousands) | Amount<br>Available<br>to Obligate<br>(thousands) | Amount<br>Expended<br>(thousands) | Amount<br>Obligated But<br>Not Expended<br>(thousands) |
|--|-----------------------------------|------------------------------------|--------------------------------------|---|-----------------------------------|--|
| FY92 <sup>0</sup>                                    | \$0                               | \$0                                | \$0                                  | \$0   | \$0                               | \$0  |
| FY93 <sup>1</sup>                                    | \$20                              | \$19                               | \$1                                  | \$0   | \$19                              | \$0  |
| FY94 <sup>0</sup>                                    | \$0                               | \$0                                | \$0                                  | \$0   | \$0                               | \$0  |
| FY94 <sup>2</sup>                                    | \$80                              | \$80                               | \$0                                  | \$0   | \$77                              | \$3  |
| FY95 <sup>0</sup>                                    | \$0                               | \$0                                | \$0                                  | \$0   | \$0                               | \$0  |
| FY96 <sup>3</sup>                                    | \$300                             | \$295                              | \$5                                  | \$0   | \$294                             | \$1  |
| FY97 <sup>4</sup>                                    | \$500                             | \$500                              | \$0                                  | \$0   | \$499                             | \$1  |
| FY98 <sup>5,7</sup>                                  | \$470                             | \$469                              | \$1                                  | \$0   | \$469                             | \$0  |
| FY98 <sup>5,8</sup>                                  | \$45                              | \$45                               | \$0                                  | \$0   | \$45                              | \$0  |
| FY99 <sup>6</sup>                                    | \$800                             | \$796                              | \$4                                  | \$0   | \$722                             | \$74   |
| FY00 <sup>9</sup>                                    | \$650                             | \$250                              | \$400                                | \$400   | \$23                              | \$227  |
| <b>TOTAL</b>   | <b>\$2,865</b>                    | <b>\$2,454</b>                     | <b>\$411</b>                         | <b>\$400</b>                                      | <b>\$2,148</b>                    | <b>\$306</b>   |

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- 0) The September 1992, May 1994 and September 1995 IAAs did not provide funds for activities in Armenia.
- 1) June 1993 IAA. Provided total of \$5 million in no-year FY93 funds. Obligation and expenditure authority expired February 25, 1999.
- 2) July 1995 IAA. Provided total of \$5.5 million in no-year FY94 funds. Obligation and expenditure authority expires September 30, 2001.
- 3) August 1996 IAA. Provided total of \$0.5 million in two-year FY96 funds. Obligation authority expired September 30, 1997, expenditure authority expired September 30, 1999.
- 4) September 1997 IAA. Provided total of \$2.65 million in two-year FY97 funds. Obligation authority expired September 30, 1998, expenditure authority expires September 30, 2001.
- 5) September 1998 IAA. Provided total of \$4.3 million in two-year FY98 funds. Obligation authority expired September 30, 1999, expenditure authority expires September 30, 2001.
- 6) June 1999 IAA. Provided total of \$4 million in two-year FY99 funds. Obligation authority expires September 30, 2000, expenditure authority expires September 30, 2001.
- 7) Amount of FY98 funding available for programmatic activities in Armenia.
- 8) Amount of FY98 funding available for recovery of NRC's FY 1999 direct staff costs associated with assistance activities in Armenia.
- 9) May 2000 IAA. Provided total of \$2 million in two-year FY00 funds. Obligation and expenditure authority expires September 30, 2001.

USNRC REGULATORY ASSISTANCE ACTIVITIES  
FINANCIAL OVERVIEW - KAZAKHSTAN  
DATA AS OF OCTOBER 31, 2000

| Fiscal Year<br>Appropriated<br>to AID<br>by Congress | Amount<br>Received<br>(thousands) | Amount<br>Obligated<br>(thousands) | Amount<br>Unobligated<br>(thousands) | Amount<br>Available<br>to Obligate<br>(thousands) | Amount<br>Expended<br>(thousands) | Amount<br>Obligated But<br>Not Expended<br>(thousands) |
|--|-----------------------------------|------------------------------------|--------------------------------------|---|-----------------------------------|--|
| FY92 <sup>0</sup>                                    | \$0                               | \$0                                | \$0                                  | \$0   | \$0                               | \$0  |
| FY93 <sup>0</sup>                                    | \$0                               | \$0                                | \$0                                  | \$0   | \$0                               | \$0  |
| FY94 <sup>0</sup>                                    | \$0                               | \$0                                | \$0                                  | \$0   | \$0                               | \$0  |
| FY94 <sup>1</sup>                                    | \$200                             | \$199                              | \$1                                  | \$1   | \$199                             | \$0  |
| FY95 <sup>0</sup>                                    | \$0                               | \$0                                | \$0                                  | \$0   | \$0                               | \$0  |
| FY96 <sup>2</sup>                                    | \$200                             | \$196                              | \$4                                  | \$0   | \$195                             | \$1  |
| FY97 <sup>3</sup>                                    | \$150                             | \$149                              | \$1                                  | \$0   | \$149                             | \$0  |
| FY98 <sup>4, 6</sup>                                 | \$460                             | \$459                              | \$1                                  | \$0   | \$457                             | \$2  |
| FY98 <sup>4, 7</sup>                                 | \$35                              | \$35                               | \$0                                  | \$0   | \$35                              | \$0  |
| FY99 <sup>5</sup>                                    | \$700                             | \$695                              | \$5                                  | \$0   | \$695                             | \$0  |
| FY00 <sup>8</sup>                                    | \$800                             | \$330                              | \$470                                | \$470   | \$106                             | \$224  |
| <b>TOTAL</b>   | <b>\$2,545</b>                    | <b>\$2,063</b>                     | <b>\$482</b>                         | <b>\$471</b>                                      | <b>\$1,836</b>                    | <b>\$227</b>   |

- 0) The September 1992, May 1994, July 1995 and September 1995 IAAs did not provide funds for activities in Kazakhstan.
- 1) July 1995 IAA. Provided total of \$5.5 million in no-year FY94 funds. Obligation and expenditure authority expires September 30, 2001.
- 2) August 1996 IAA. Provided total of \$0.5 million in two-year FY96 funds. Obligation authority expired September 30, 1997, expenditure authority expired September 30, 1999.
- 3) September 1997 IAA. Provided total of \$2.65 million in two-year FY97 funds. Obligation authority expired September 30, 1998, expenditure authority expires September 30, 2001.
- 4) September 1998 IAA. Provided total of \$4.3 million in two-year FY98 funds. Obligation authority expired September 30, 1999, expenditure authority expires September 30, 2001.
- 5) June 1999 IAA. Provided total of \$4 million in two-year FY99 funds. Obligation authority expires September 30, 2000, expenditure authority expires September 30, 2001.
- 6) Amount of FY98 funding available for programmatic activities in Kazakhstan.
- 7) Amount of FY98 funding available for recovery of NRC's FY 1999 direct staff costs associated with assistance activities in Kazakhstan.
- 8) May 2000 IAA. Provided total of \$2 million in two-year FY00 funds. Obligation and expenditure authority expires September 30, 2001.

USNRC REGULATORY ASSISTANCE ACTIVITIES  
FINANCIAL OVERVIEW- RUSSIA  
DATA AS OF OCTOBER 31, 2000

| Fiscal Year<br>Appropriated<br>to AID<br>by Congress | Amount<br>Received<br>(thousands) | Amount<br>Obligated<br>(thousands) | Amount<br>Unobligated<br>(thousands) | Amount<br>Available<br>to Obligate<br>(thousands) | Amount<br>Expended<br>(thousands) | Amount<br>Obligated But<br>Not Expended<br>(thousands) |
|--|-----------------------------------|------------------------------------|--------------------------------------|---|-----------------------------------|--|
| FY92 <sup>1</sup>                                    | \$1,500                           | \$1,497                            | \$3                                  | \$0*  | \$1,490                           | \$7*   |
| FY93 <sup>2</sup>                                    | \$1,627                           | \$1,626                            | \$1                                  | \$0*  | \$1,625                           | \$1*   |
| FY94 <sup>3</sup>                                    | \$3,000                           | \$2,977                            | \$23                                 | \$23  | \$2,962                           | \$15   |
| FY94 <sup>4</sup>                                    | \$3,000                           | \$2,994                            | \$6                                  | \$6   | \$2,991                           | \$3  |
| FY95 <sup>5</sup>                                    | \$2,500                           | \$2,444                            | \$56                                 | \$56  | \$2,414                           | \$30   |
| FY96 <sup>6</sup>                                    | \$0                               | \$0                                | \$0                                  | \$0   | \$0                               | \$0  |
| FY97 <sup>7</sup>                                    | \$500                             | \$471                              | \$29                                 | \$0   | \$465                             | \$6  |
| FY98 <sup>8,9</sup>                                  | \$438                             | \$435                              | \$3                                  | \$0   | \$398                             | \$37   |
| FY98 <sup>8,10</sup>                                 | \$312                             | \$310                              | \$2                                  | \$0   | \$310                             | \$0  |
| FY99 <sup>11</sup>                                   | \$500                             | \$494                              | \$6                                  | \$0   | \$453                             | \$41   |
| FY00 <sup>12,13</sup>                                | \$500                             | \$401                              | \$99                                 | \$99  | \$33                              | \$368  |
| <b>TOTAL</b>   | <b>\$13,877</b>                   | <b>\$13,649</b>                    | <b>\$228</b>                         | <b>\$184</b>                                      | <b>\$13,141</b>                   | <b>\$508</b>   |

- 1) September 1992 IAA. Provided total of \$3.1 Million in no-year FY92 funds. Obligation and expenditure authority expired April 30, 1996.
- 2) June 1993 IAA. Provided total of \$5 million in no-year FY93 funds. Obligation and expenditure authority expired February 25, 1999.
- 3) May 1994 IAA. Provided total of \$5.5 million in no-year FY94 funds. Obligation and expenditure authority available with no expiration date.
- 4) July 1995 IAA. Provided total of \$5.5 million in no-year FY94 funds. Obligation and expenditure authority expires September 30, 2001.
- 5) September 1995 IAA. Provided total of \$3.4 million in no-year FY95 funds. Obligation and expenditure authority expires September 30, 2001.
- 6) NRC did not receive any FY96 funds for Russia or Ukraine.
- 7) September 1997 IAA. Provided total of \$2.65 million in two-year FY97 funds. Obligation authority expired September 30, 1998, expenditure authority expires September 30, 2001.
- 8) September 1998 IAA. Provided total of \$4.3 million in two-year FY98 funds. Obligation authority expired September 30, 1999, expenditure authority expires September 30, 2001.
- 9) Amount of FY98 funding available for programmatic activities in Russia.
- 10) Amount of FY98 funding available for recovery of NRC's FY 1999 direct staff costs associated with assistance activities in Russia.
- 11) June 1999 IAA. Provided total of \$4 million in two-year FY99 funds. Obligation authority expires September 30, 2000, expenditure authority expires September 30, 2001.
- 12) May 2000 IAA. Provided total of \$2 million in two-year FY00 funds. Obligation and expenditure authority expires September 30, 2001.

USNRC REGULATORY ASSISTANCE ACTIVITIES  
FINANCIAL OVERVIEW - UKRAINE  
DATA AS OF OCTOBER 31, 2000

| Fiscal Year<br>Appropriated<br>to AID<br>by Congress | Amount<br>Received<br>(thousands) | Amount<br>Obligated<br>(thousands) | Amount<br>Unobligated<br>(thousands) | Amount<br>Available<br>to Obligate<br>(thousands) | Amount<br>Expended<br>(thousands) | Amount<br>Obligated But<br>Not Expended<br>(thousands) |
|--|-----------------------------------|------------------------------------|--------------------------------------|---|-----------------------------------|--|
| FY92 <sup>1</sup>                                    | \$1,600                           | \$1,495                            | \$105                                | \$0*  | \$1,495                           | \$0*   |
| FY93 <sup>2</sup>                                    | \$3,353                           | \$3,350                            | \$3                                  | \$0*  | \$3,350                           | \$0*   |
| FY94 <sup>3</sup>                                    | \$2,500                           | \$2,456                            | \$44                                 | \$44  | \$2,441                           | \$15   |
| FY94 <sup>4</sup>                                    | \$2,220                           | \$2,135                            | \$85                                 | \$85  | \$1,914                           | \$221  |
| FY95 <sup>5</sup>                                    | \$900                             | \$886                              | \$14                                 | \$14  | \$832                             | \$54   |
| FY96 <sup>6</sup>                                    | \$0                               | \$0                                | \$0                                  | \$0   | \$0                               | \$0  |
| FY97 <sup>7</sup>                                    | \$1,500                           | \$1,155                            | \$345                                | \$0   | \$1,111                           | \$44   |
| FY98 <sup>8,9</sup>                                  | \$2,000                           | \$1,931                            | \$69                                 | \$0   | \$1,202                           | \$729  |
| FY98 <sup>8,10</sup>                                 | \$540                             | \$445                              | \$95                                 | \$0   | \$445                             | \$0  |
| FY99 <sup>11</sup>                                   | \$2,000                           | \$1,990                            | \$10                                 | \$0   | \$235                             | \$1,755  |
| FY00 <sup>12</sup>                                   | \$50                              | \$3                                | \$47                                 | \$47  | \$0                               | \$3  |
| <b>TOTAL</b>   | <b>\$16,663</b>                   | <b>\$15,846</b>                    | <b>\$817</b>                         | <b>\$190</b>                                      | <b>\$13,025</b>                   | <b>\$2,821</b>   |

- 1) September 1992 IAA. Provided total of \$3.1 Million in no-year FY92 funds. Obligation and expenditure authority expired April 30, 1996.
- 2) June 1993 IAA. Provided total of \$5 million in no-year FY93 funds. Obligation and expenditure authority expired February 25, 1999.
- 3) May 1994 IAA. Provided total of \$5.5 million in no-year FY94 funds. Obligation and expenditure authority available with no expiration date.
- 4) July 1995 IAA. Provided total of \$5.5 million in no-year FY94 funds. Obligation and expenditure authority expires September 30, 2001.
- 5) September 1995 IAA. Provided total of \$3.4 million in no-year FY95 funds. Obligation and expenditure authority expires September 30, 2001.
- 6) NRC did not receive any FY96 funds for Russia or Ukraine.
- 7) September 1997 IAA. Provided total of \$2.65 million in two-year FY97 funds. Obligation authority expired September 30, 1998, expenditure authority expires September 30, 2001.
- 8) September 1998 IAA. Provided total of \$4.3 million in two-year FY98 funds. Obligation authority expired September 30, 1999, expenditure authority expires September 30, 2001.
- 9) Amount of FY98 funding available for programmatic activities in Ukraine.
- 10) Amount of FY98 funding available for recovery of NRC's FY 1999 direct staff costs associated with assistance activities in Ukraine.
- 11) June 1999 IAA. Provided total of \$4 million in two-year FY99 funds. Obligation authority expires September 30, 2000, expenditure authority expires September 30, 2001.
- 12) May 2000 IAA. Provided total of \$2 million in two-year FY00 funds. Obligation and expenditure authority expires September 30, 2001.