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**OFFICIAL TRANSCRIPT OF PROCEEDINGS
NUCLEAR REGULATORY COMMISSION
ADVISORY COMMITTEE ON REACTOR SAFEGUARDS**

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UNITED STATES NUCLEAR REGULATORY COMMISSION'S
ADVISORY COMMITTEE ON REACTOR SAFEGUARDS

OCTOBER 6, 2000

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This transcript has not been reviewed, corrected and edited and it may contain inaccuracies.

1 UNITED STATES

2 NUCLEAR REGULATORY COMMISSION

3 ***

4 ADVISORY COMMITTEE ON REACTOR SAFEGUARDS

5 ***

6 476th ACRS MEETING

7 ***

8 Conference Room 283

9 Two White Flint North

10 11545 Rockville Pike

11 Rockville, Maryland

12 ***

13 Friday, October 6, 2000

14
15 The above-entitled meeting commenced, pursuant to
16 notice, at 1:30 p.m.

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1 MEMBERS PRESENT:

2 Dr. Dana A. Powers, Chairman
3 Dr. George Apostolakis, Vice-Chairman
4 Dr. Thomas S. Kress, ACRS Member
5 Dr. Robert L. Seale, ACRS Member
6 Dr. William J. Shack, ACRS Member
7 Dr. Robert E. Uhrig, ACRS Member
8 Dr. Mario V. Bonaca, ACRS Member
9 Mr. John D. Sieber, ACRS Member
10 Dr. Graham B. Wallis, ACRS Member
11 Mr. Graham M. Leitch, ACRS Member

12 OTHERS PRESENT:

13 John T. Larkins, Executive Director, ACRS
14 Noel F. Dudley, ACRS Staff
15 Michael T. Markley, ACRS Staff
16 Howard J. Larson, ACRS/ACNW Staff
17 Ralph Beedle, Senior Vice President, Nuclear Energy
18 Institute
19 Alex Marion, Nuclear Energy Institute
20 Lynette Hendricks, Nuclear Energy Institute
21 Doug Walters, Nuclear Energy Institute
22 Doug Walters, Nuclear Energy Institute
23 Tony Pietrangelo, Nuclear Energy Institute
24 Mike Mayfield, Office of Nuclear Regulatory Research, NRC
25 Ed Hackett, Office of Nuclear Regulatory Research, NRC
Safish Aggarwal, Office of Nuclear Regulatory Research, NRC
Robert Lofaro, Brookhaven National Laboratory
Amarjit Singh, ACRS Staff
Jose Calvo, Office of Nuclear Reactor Regulation, NRC
Richard P. Savio, ACRS Staff
Paul A. Boehnert, ACRS Staff
Mark Cunningham, Office of Nuclear Regulatory
Research, NRC

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P R O C E E D I N G S

[1:30 p.m.]

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3 DR. POWERS: The meeting will now come to order.
4 This is the second day of the 476th meeting of the Advisory
5 Committee on Reactor Safeguards.

6 During today's meeting, the committee will hold a
7 discussion with industry representatives concerning industry
8 issues.

9 We will also discuss GSI-168, equipment
10 qualification; generic guidance documents associated with
11 license renewal; future ACRS activities; and, have a report
12 of the Planning and Procedures Subcommittee.

13 We will reconcile ACRS comments and
14 recommendations and discuss proposed ACRS reports.

15 The meeting is being conducted in accordance with
16 the provisions of the Federal Advisory Committee Act. Mr.
17 Howard Larson is the designated Federal official for the
18 initial portion of the meeting.

19 We have received no written statements or requests
20 for time to make oral statements from members of the public
21 regarding today's sessions.

22 A transcript of portions of the meeting is being
23 kept and it is requested that speakers use one of the
24 microphones, identify themselves, and speak with sufficient
25 clarity and volume so that they can be readily heard.

1 With that little piece of business out of the way,
2 Ralph Beedle, welcome to the meeting with the ACRS. As I
3 commented earlier, we have gotten just absolutely heroic
4 service from members of your staff here supporting us and
5 pursuing various individual issues.

6 We never get a chance to sit down and talk
7 philosophy, talk approaches, talk strategic plans, and we
8 have had a chance to examine your strategic plan and I
9 congratulate for a well written and very useful document.

10 We hope to be able to cover four or five areas of
11 great interest, but I'll turn it to you to give any opening
12 comments you'd like to make.

13 MR. BEEDLE: Thank you very much, Dr. Powers. I
14 appreciate the opportunity to join you today.

15 Let me, I guess, follow-up on your admonition. My
16 name is Ralph Beedle. I'm the Chief Nuclear Officer for the
17 Nuclear Energy Institute, here in Washington, D.C.

18 With that, I would like to start with kind of a
19 brief overview of NEI. NEI, Nuclear Energy Institute, is
20 about six years old. We were a result of the consolidation
21 of NUMARC, the technical group within the nuclear industry
22 that interacted with the NRC on a variety of licensing and
23 technical issues, as well as the ANEC, the lobbying
24 organization.

25 So we tried to combine those and provide an

1 industry focus to the collection of lobbying, advertising
2 and the technical issues that were being dealt with by the
3 industry.

4 There are about 126 people at the NEI
5 organization. I believe you have an organization chart that
6 shows the basic organizational structures, divisions that
7 represent nuclear generation, basically the power reactor,
8 the technical side, business operations that are looking at
9 issues associated with benchmarking of perhaps new plant
10 construction in the future. Also, their focus is on Yucca
11 Mountain and the activities associated with high level waste
12 disposal. Then we have the typical communications and
13 administration.

14 More importantly, the organization was -- there
15 was a recent change in it, where we created a division under
16 Angie Howard to focus on policy. That, in large measure, is
17 a result of the consolidation with the industry and we see
18 our roughly 200 members decreasing in number as both the
19 utilities consolidate and as the NSSS vendors consolidate.

20 We expect we will see considerably more of that
21 activity as time goes on.

22 So with that, the question is how do we provide
23 the services and support for our members and as a result of
24 trying to answer that question, we created this group under
25 Angie Howard to provide some clear focus on that.

1 We are involved in a great many activities, and
2 they, as you can imagine, range from activities on the Hill.
3 We support Congressional information trips to Yucca Mountain
4 and to other nuclear facilities, to help inform our elected
5 representatives of what the nuclear business is all about.

6 We also spend a great deal of time in the
7 communications area. As you drive to and from work in the
8 Washington, D.C. area, you probably hear some Nuclear Energy
9 Institute ads on the radio, tune into WTOP-1500 on your AM
10 dial and you'll hear those.

11 And, again, those ads, I think, have an effect in
12 the long haul. They, combined with the performance of the
13 industry, the increased focus on electricity and demand,
14 energy issues are becoming more and more a subject of
15 conversation, and the net result is that nuclear is looked
16 at differently today than it was four years ago, even three
17 years ago, and, in large measure, that's a result of the
18 effort on the part of a lot of people to try and educate the
19 policy-makers.

20 We have often that it would be almost mission
21 impossible to try and educate the public. It's the desire
22 to go into the public school system and provide educational
23 devices and programs. It's almost impossible to do that.

24 So our focus has been on the policy-makers, the
25 people that are making these decisions that affect our

1 day-to-day life. It's the decision to release or not
2 release oil from the strategic reserve. Those things have a
3 profound impact on whether or not you're going to have home
4 heating oil in New England next winter or whether or not
5 you're going to have gasoline next summer.

6 And part and parcel to that is a better
7 understanding of the entire regime of energy supply within
8 this country.

9 As a philosophical approach to this whole process,
10 NEI has been endeavoring to make sure that people understand
11 the value that nuclear brings to this country. Nuclear is
12 not a religious event. It's a business. We operate
13 businesses based on a technology called nuclear and if we
14 can't make money in that business, you're not going to stay
15 in business. It's as simple as that.

16 One of the reasons that we have not built a new
17 plant in this country for roughly ten years is the fact that
18 the cost of construction is high and the rate of return
19 isn't all that great.

20 Not only that, the tremendous uncertainty
21 associated with the regulatory process makes it a tremendous
22 risk on the part of any business venture that you might
23 undertake.

24 So our effort has been to try and develop a stable
25 regulatory environment, one in which there is predictability

1 and surety for the licensees as they go forward in the
2 operation of these plants.

3 I would argue that the license renewal program, as
4 successful as we deem it to be, is successful only because
5 of the surety that we have in the licensing process and in
6 the operational process that we have today through the
7 revised reactor oversight process. A little later, we'll
8 talk about both of those programs.

9 So this approach that we have of trying to get
10 clarity, surety, objectivity in the regulatory process and
11 environment is a necessary ingredient if the nuclear
12 programs are going to survive in this country.

13 So that, coupled with the significance of 20
14 percent of the generation in the country being produced by
15 nuclear generation is rather significant. We look at the 20
16 percent capacity basically and almost every year we see that
17 that is exceeded in terms of generation, and we generate
18 somewhere in the 23 to 25 percent, simply because of the
19 reliability of these power plants.

20 We find more and more effort on the part of the
21 utilities to provide that reliability. I'm sure you're all
22 familiar with the problems associated with power supply in
23 the Illinois area, with ComEd, and the relatively low
24 capacity factors, and then with Oliver Kingsley and his new
25 approach to management of that company. It was all ten all

1 summer, this past summer, and they did a very good job at
2 doing that.

3 The energy supply issue in the center of the
4 country isn't near as critical as it was last year, simply
5 because of the performance of the plant. But nonetheless,
6 it points out the value that nuclear adds to that mix.

7 The other element of the nuclear equation is the
8 clean air and with the Kyoto Accord and the focus on clean
9 air, there is only one way that you and I are going to
10 achieve clean air in this country, and that's through the
11 use of nuclear as a key ingredient in the energy mix.

12 If we were unable to keep license renewal on
13 track, we would have a very significant problem in trying to
14 meet those accords.

15 So the combination of clean air, regulatory, and
16 the emphasis clean air puts on this energy source, the
17 license renewal process, improved environment, all that has
18 caused nuclear to become more and more of a subject of
19 discussion within the Administration, within the political
20 leadership in this country, and I think we're going to see
21 eventually a major shift in that focus, such that we will
22 have an environment in which we can produce new nuclear
23 generating facilities.

24 Let me ask, are there any questions about NEI and
25 what we're trying to do?

1 DR. POWERS: I guess I'd like to understand a
2 little more what you mean about stability in the regulatory
3 process, because we may be working at cross purposes here.
4 We've got a committee that historically is, for as long as
5 I've known the ACRS, and it's getting to be pretty close to
6 26 years that I've appeared on one side of the table or the
7 other in front of this institution, has endorsed a move
8 toward a risk-informed regulation or even a risk-based
9 regulation.

10 That's a pretty profound change and that doesn't
11 seem to be consistent with the idea of regulatory stability.

12 MR. BEEDLE: Well, I would take a little bit
13 different view. I think risk-informing the regulation is
14 very much consistent with stability.

15 When we look at the reactor oversight process, and
16 I think that is really the fundamental change that's taken
17 place in the last couple years, and it is the single most
18 significant thing that has occurred that's produced some
19 stability in the regulatory environment.

20 And it's an interesting situation because we went
21 into this revised reactor oversight process with the
22 objective of having clearly defined performance criteria
23 through the performance indicators and the various
24 categories and from that, then, a predictable outcome.

25 I would say that prior to this revision, there was

1 not a chief nuclear officer in the industry that didn't feel
2 that he was only one event away from the watch list. One
3 event away from the watch list. And there wasn't one chief
4 that didn't feel that way, because what they saw happening
5 in the industry is the event occurred and then the NRC would
6 send their inspection team in and they would spend as much
7 time as necessary to develop a catalog of problems and we
8 had an uncanny ability to make mountains out of molehills
9 and we said every problem is important and you have to fix
10 every problem that we've identified before you can ever
11 start up again, and we were automatically in a \$200 million
12 fix, get well program and about two years to accomplish it.

13 It was predictable in that sense. You had an
14 event. If we could have just figured out how to write a
15 check for \$200 million and be done with it, I think most
16 chief nuclear officers would have been glad to do that.

17 So that unpredictability and inability to look
18 long haul and say I can guarantee that I will be able to
19 operate, it's not to say you aren't going to have some
20 problems, but to have some reasonable outcome when you do
21 examine some of the problems, that was what we were after.

22 So we go into the oversight process and we have
23 the set of performance indicators, on the one hand, and we
24 said, well, that's going to really help us out because if
25 I'm in the green band, you kind of leave me alone, let me do

1 my job; if I'm in the white band, you increase the
2 inspection hours and we try and determine what caused you to
3 be in the white band, and we accept that, we'll go figure
4 out how to make things -- improve the performance and get
5 back in the green band.

6 So, I mean, if you give me a target, I know how to
7 achieve performance to match that target. It's when you say
8 shoot at the wall and then you draw the target that we have
9 our problems.

10 So the oversight process gave us some targets that
11 yielded predictable outcome on the part of the inspection
12 group.

13 DR. POWERS: Maybe it gave you more. It gave you
14 trends so that you didn't have this roll-off-the-table kind
15 of phenomena, that you can see when things are becoming --
16 maybe your performance was beginning to lag a little bit.

17 MR. BEEDLE: Absolutely. Absolutely. And with
18 those trends, though, as you cross thresholds, you knew what
19 would occur as a result of that change.

20 The other component in this oversight process was
21 the inspection and there is no question about the fact that
22 there are many, many things that take place in this power
23 plant operation that don't lend themselves to performance
24 indicators.

25 And, indeed, the idea of the performance

1 indicators was developed with the idea that once you have a
2 set of indicators, if the indicators indicate a declining
3 performance trend, it then gives the NRC the ability to use
4 those indicators to focus their inspection resources and go
5 examine those areas that represent the declining
6 performance, and you focus on that as a way of getting
7 better understanding of the problems and ultimately improve
8 the performance, as opposed to the just send in and inspect
9 everything.

10 You could have a problem with a steam generator
11 and they'd be in there inspecting the rad waste system. You
12 say what's the purpose of that; well, we're just looking for
13 problems, you know. So anyway, you end up with this
14 performance indicator process.

15 In order to evaluate the significance of the
16 findings that are developed during the inspection process,
17 the NRC constructed a process called significance
18 determination. And so the SDP or significance determination
19 process is probably the single most important element to
20 come out of the revised reactor oversight process, because
21 it helps the NRC and the licensee understand the
22 significance of an event, of a finding, of some disturbance
23 in the normal order of business.

24 It tells you whether or not something is of safety
25 significance or it is not, and, based on that, you can

1 disposition it with reasonable surety that you're doing the
2 right thing.

3 Now, the value of that significance determination
4 process as it applies to the inspection program has been
5 recognized in many areas and we have significance
6 determination processes for each of the cornerstone areas in
7 the oversight process.

8 We find that fire protection has one now. We've
9 got one in just about every area where you need to answer
10 the question of how significant is the finding or the
11 concern that you're trying to address, and that, I think, is
12 the item that has helped us understand better how to treat
13 the various discrepancies that may be uncovered in the
14 inspection program or identified by the utility, as well.

15 DR. WALLIS: I thought Dr. Powers had a somewhat
16 broader question about the effect of a move to change the
17 regulations in some fundamental way using risk information.

18 MR. BEEDLE: I'll get to that. I have not
19 forgotten that.

20 DR. WALLIS: Thank you.

21 MR. BEEDLE: But I think this is important that
22 you understand what we have managed to do in this revised
23 reactor oversight process; this ability to define the
24 significant versus the insignificant, the relevant versus
25 the irrelevant.

1 DR. SEALE: Is it fair to say then that the real
2 message in that process is not in the color, but in the
3 determination of the significance of the event?

4 MR. BEEDLE: I think the answer is yes.

5 DR. SEALE: And that's what drives the actions of
6 the utility and of the inspectors. And as long as the
7 outside process continues to focus on colors, then you don't
8 have the adverse consequences of over-sensitivity to the
9 relationship between the NRC inspection process and the
10 operators of the plant.

11 MR. BEEDLE: I think they are very closely linked,
12 because the construct of the performance indicator is such
13 that its thresholds are set to tell you how far you are, on
14 a relative scale, from something that looks to be
15 unacceptable from a risk point of view.

16 DR. SEALE: Yes.

17 MR. BEEDLE: Presumably, the margin to safety is
18 less if you're in the red area than if you're in the yellow
19 area than if you're in the white area, and the green area
20 represents kind of the nominal performance of the industry
21 and, in fact, the definition says that if you're in the
22 green area, you are operating within the industry norms.

23 If you're in the white area, you're starting to
24 depart from the industry norms. If you're in the yellow
25 area, you have some reduction in the margin to safety or

1 margin of safety, and then if you're in the red area, you
2 have a significant reduction.

3 DR. SEALE: Is there an event significance
4 determination for something that allows you to remain in the
5 green area?

6 MR. BEEDLE: When we have an event or a finding
7 through an inspection process, then that event is evaluated
8 through the significance determination process and a color
9 is assigned. So you can have lots of events where it's
10 green.

11 DR. SEALE: But you're still worried.

12 MR. BEEDLE: The fact that you're green does not
13 mean that you don't go address the problem. What happens is
14 if you have, let's say, a valve fails to operate the way
15 it's supposed to and you evaluate it and it's green, we
16 don't just walk away and say the valve broke and let it
17 remain that way.

18 DR. SEALE: No.

19 MR. BEEDLE: We put that into our corrective
20 action program and we go about the process of correcting
21 that deficiency, just as though we had discovered that thing
22 ourselves, which is more than likely the case.

23 Similarly, if you have an event that would place
24 you in the white area or in the red area or yellow area,
25 then what that -- I mean, the utility is going to go ahead

1 and resolve that problem.

2 DR. SEALE: Yes.

3 MR. BEEDLE: But that would then bring with it a
4 change in the inspection routines that the NRC inspectors
5 would conduct, and they would go increase their level of
6 inspection as a result of that.

7 Now, this whole process is not possible unless you
8 have an understanding of risk calculation and the ability to
9 predict and calculate these things. So the risk-informing
10 of the regulation I think is a necessary follow-on to the
11 application of these risk insights that we are using in this
12 oversight process.

13 DR. APOSTOLAKIS: Isn't it also necessary, though,
14 for each unit to have a decent PRA?

15 MR. BEEDLE: Yes, but even with that statement, we
16 have an oversight process that is built on the results of
17 the IPEs that were developed back in 1988 or so.

18 Now, I'm not suggesting that we stop the clock and
19 just stick with that, and I'll cover that in a minute, but
20 what I'm saying is we don't have to have a detailed PRA in
21 order to use risk insights in the operation of these plants.

22 Now, if I am going to go to a risk-informed
23 regulatory basis, yes, we need the use of the PRA, a good,
24 solid, well founded PRA to do that. There is no question
25 about that.

1 DR. APOSTOLAKIS: Yes. I'm not talking about a
2 detailed PRA, but maybe, for most units, something that's a
3 little better than the IPE, because the IPEs are, after all,
4 12 years old now.

5 MR. BEEDLE: Yes.

6 DR. APOSTOLAKIS: Or not 12, but a number of years
7 old, and I think the process would be much more efficient if
8 both the licensee and the NRC inspectors have access to
9 probabilistic models that people can believe and do these
10 determinations.

11 MR. BEEDLE: The NRC, when we put together these
12 SDP processes, they started out using the information that
13 they had here in their offices in the form of the IPEs,
14 which it was our obligation to submit as a result of a
15 generic letter in the 1988 timeframe.

16 But they went out and visited the plant and
17 validated the SDP process with the plant's PRA as it stood
18 as recent as a year and a half ago.

19 So they have looked at those PRAs. I mean, it's
20 not a case of the utility has this PRA and they're not going
21 to let anybody look at it. In fact, that PRA has been used
22 by the inspectors and, in fact, the inspectors will go back
23 to that PRA when they're trying to determine the outcome of
24 an SDP process.

25 The question, and this has come about over the

1 course of the last several months, about quality of PRA and
2 the comment that the NRC staff doesn't have all these
3 current PRAs at their disposal, we're trying to examine ways
4 to develop an improved or increased level of confidence on
5 the part of the staff in the development of these PRAs.

6 One of the things has been the peer review process
7 that we've got ongoing. We expect to have that completed by
8 the end of 2001. That's kind of coupled with some issues on
9 ASME code development and so forth.

10 But there are some very robust PRAs out there.
11 There are probably a half a dozen plants that probably need
12 to go spend some more time in their PRA. We know that and
13 we're working on those plants.

14 But by and large, those PRAs are pretty solid and
15 well constructed and are providing good results.

16 DR. POWERS: So I take it, just an anecdote to embroider
17 what you're saying, I think, the committee had a chance to
18 visit Davis-Bessie and I think they were one of those plants
19 that maybe people thought hadn't espoused risk-informed
20 regulation as much as some of the others.

21 When we got there, we found that these guys are
22 doing an incredible amount with the resources that a single
23 unit has, which is not an infinite number of resources, but
24 they were taking maximum advantage of things like owners
25 groups' efforts and things like that and doing great things

1 to improve their PRAs to come up to snuff, so much so that
2 they raised questions, in my mind, whether this SDP process
3 might not be running into difficulties because of the
4 quality of computational tools available to the staff.

5 That if, in fact, the PRA capabilities of the
6 licensee were vastly superior than cruder tools available to
7 the NRC staff, you might get conflicts based on differences
8 in technology.

9 It's a question that came to our mind during this visit to
10 the Davis-Bessie plant.

11 MR. BEEDLE: Well I don't think that that is
12 really a major issue. I mean, as you get further and
13 further down the SDP process, I mean, you could get to the
14 point where you're really trying to get down to the third
15 decimal place to figure out something.

16 But by and large, the band in which you're
17 operating is so huge that you never really get to that
18 point.

19 Let's just take one parameter that we measure, and
20 that's the reactor scram or reactor trip. We've got a
21 threshold that sits around the four mark to get you into the
22 white band, but to get in the red, you've got to have about
23 21 of those things. It's like diesel reliability. We're
24 shooting for a 99.99 percent reliability, but the truth is
25 you can operate with about an 80 percent reliability or 75

1 percent and still not be in red.

2 And what that ought to tell us is that the margin
3 of safety, the robustness of the design is tremendous.
4 We're operating like, you know, a tenth of a second is going
5 to make the difference between core melt or not, and that's
6 called science fiction, gentlemen.

7 DR. SEALE: But, Ralph, aren't we getting -- you
8 come back to the IPEs and Dana says, yes, but they're 12
9 years old and so on.

10 And by inference, you certainly led me to
11 recognize, and I think I knew it before anyway, that the
12 guys who are really on top of it are so much beyond the
13 status or the capability as reflected in their original IPE
14 that there's really very little relationship between that
15 IPE and what they have now to evaluate.

16 They've sharpened their tools. They've gone back
17 when they had a problem that had to do with this particular
18 train and represented that train more discreetly, so they
19 could really look at what the interactions were in it and so
20 on.

21 Granted, there are still some utilities who
22 haven't looked at the fourth page in the original IPE yet,
23 but most of them have done much, much beyond that.
24 Aren't we under-representing the capabilities, the
25 understanding that now exists in these utilities, when we

1 refer to their database as being the IPEs?

2 MR. BEEDLE: I agree, absolutely. Absolutely.

3 DR. SEALE: But, see, there are a lot of people on
4 the outside who also know that IPEs were pretty rudimentary.
5 So they say, well, your representation of your PRA is
6 primitive.

7 MR. BEEDLE: Well, I think that comes from our
8 regulatory focus on things. I mean, you can't deal with
9 regulatory posture unless you have things docketed and on
10 the record and submitted in triplicate, one of those kind of
11 things, and that's what we're dealing with.

12 All those IPEs were submitted. There was no
13 requirement to submit your PRA.

14 Now, in fact, a lot of plants, this thing we're
15 calling IPE, they're actually PRAs.

16 DR. SEALE: Yes.

17 MR. BEEDLE: Because when we looked at what it
18 costs to do a PRA versus what it costs to do the IPE, we
19 said I'd be foolish to do an IPE and make the static
20 analysis, let's do a PRA so we can take some advantage of it
21 and learn something about our plant.

22 So we developed the PRA and we made an effort to
23 try and keep the thing up-to-date, and that's what was
24 submitted to the NRC.

25 So a lot of these things that we're calling IPEs

1 are, in fact, PRAs.

2 But set that aside for a moment. The fact that
3 we're able to, I think, with a great deal of validity,
4 address the SDP processes on the basis of that 1988 analysis
5 ought to tell us something about the robustness of that
6 analysis technique.

7 It tells you a lot about how you can analyze the
8 comparative risk of one sequence versus another, this
9 component's failure and its effect on the outcome and the
10 performance of the plant. These are really pretty good
11 systems that have been developed.

12 Granted, the details and the level of detail that
13 you put into the thing, whether or not you're using third
14 decimal place data for reliability or fourth decimal place,
15 turns out to be, in my opinion, really on the margin. It
16 has not had a lot of difference. It hasn't produced a lot
17 of difference in outcome.

18 But as a result of this whole process of analysis,
19 we've seen a lot of improvement in the plant performance.
20 We've looked at putting in systems that weren't originally
21 designed that have improved our results of the PRA.

22 So it's been, I think, an extremely successful
23 venture on the part of the NRC and the industry.

24 So all of that said, in order to take advantage of
25 that process, we need to risk-inform the regulations so that

1 we are focused on the right things.

2 I would suggest that spending thousands of dollars
3 on a pump that is no different than the \$150 pump only to be
4 covered by a piece of paper does not make a lot of sense,
5 and that is only a small cost, because it then gets layered
6 with maintenance routines and operational tests and
7 performance checks and qualifications of people.

8 We've put all these trappings around it and it
9 really doesn't buy us anything in terms of reliability. And
10 the way you gauge that is through risk-informing the
11 processes that help you categorize and determine the level
12 of attention needed for those various systems, and that's
13 precisely what we're trying to do with the risk-informing of
14 regulation, and we'll talk about that in just a moment.

15 So in answer to your question, Dr. Wallis, that
16 really is where the value in this comes and if we can figure
17 out how to separate the significant from the insignificant
18 in terms of our treatment, both from a procurement and an
19 operational and a maintenance point of view, I think the
20 industry will be much better off.

21 DR. WALLIS: I was wondering, though, in the
22 long-term, if you didn't see a bigger kind of benefit from
23 risk-informing, where actually a lot of the excess margins
24 were better understood and could perhaps be reduced and so
25 on, where there was actually a more realistic regulatory

1 process, and if you didn't see real advantages maybe five or
2 ten years down the road from that.

3 MR. BEEDLE: Well, I think that that would maybe
4 be a follow-on activity for us. For example, if we look at
5 some of our design criteria, there's a tremendous amount of
6 margin in there. Now, how do you play this margin in design
7 versus risk in terms of performance of the system is
8 something that really would have tremendous benefit in terms
9 of construction costs, time and so forth.

10 But our focus at this stage of the game in trying
11 to risk-inform the regulation is saying do you need to have
12 regulatory purview over that system, that component, and
13 that structure, and, if not, then what is the proper
14 treatment for it, and that's the struggle we're going
15 through right now in trying to deal with the Option 2
16 issues.

17 DR. BONACA: Mr. Beedle, I have a question. If we
18 pointed out the significance of the corrective action
19 program, really it's almost like a cornerstone element,
20 together with the significance determination process.

21 One question we've been wrestling with is should
22 there be indicators regarding the performance of the
23 corrective action program. We realize that the indicators
24 on the corrective program, or some indicators, would be
25 almost like some more indicators of culture, to some degree.

1 If you have, for example, an insignificant event
2 and you have a repeat, a repeat, and a repeat, it tells you
3 something about the fact that your corrective actin program
4 may be not be working right.

5 I would like to have your thoughts about that.
6 The reason why I'm asking is that the utilities pay a lot of
7 attention and they look at a corrective action program, the
8 way it works, as an element of the health of the
9 organization and does it have a worth in regulatory space.

10 MR. BEEDLE: The corrective action program is one
11 that I think has matured over the last roughly ten years.
12 INPO has had a significant -- has been significant in
13 influencing the utilities in how to construct an effective
14 corrective action program. They follow-up with their
15 periodic inspections of the plants to look at the execution
16 of that program.

17 More importantly, I say more importantly, it's a
18 device and a mechanism used by plant management to ensure
19 that problems are resolved. So it's a very significant
20 program. It's not just something that sits on the side and
21 collects things that the NRC inspectors find.

22 It's an active, very useful, necessary mechanism
23 employed by the utility to improve the performance of their
24 facilities.

25 Now, if the inspector finds a problem and it's

1 judged to be green, and it is a problem and it needs to be
2 fixed, so it goes in the corrective action program, how many
3 of those green problems do I have to have to make a white?

4 That's the question that I think is underlying a
5 lot of this angst over the corrective action program.

6 DR. BONACA: No, no. I said they repeat, another
7 repeat of another insignificant issue. I'm only saying that
8 you do have an issue, you say it's not very significant.
9 There may be some intermediate significance.

10 I mean, I'm not specific about the measurement of
11 this significance determination process. You put it in the
12 corrective action program and later on you find that you
13 have another problem identical to that. The root cause of
14 the first one hasn't been fixed yet, and so on and so forth.

15 Now, that's one issue that is significant, they
16 pay attention to those.

17 MR. BEEDLE: That's part of the corrective
18 program, is whether or not the problem you're trying to
19 address today is one that existed in the past and whether or
20 not that root cause had been addressed.

21 DR. BONACA: That's right.

22 MR. BEEDLE: And if the problem comes up today and
23 you judge that you obviously have missed the target, you
24 didn't quite get the root cause, you go fix it again and you
25 keep working that. There's a little feedback loop in there

1 that says what have you had in the past.

2 So that's part of the corrective action program.

3 DR. BONACA: I'm saying in some cases it may not
4 work, the feedback, and I'm saying that should there be
5 something there for which there is an indicator.

6 MR. BEEDLE: I think that's the whole process that
7 we're trying to address here, is what should you take
8 regulatory purview of and what shouldn't you. And I would
9 suggest that if it's green, it's green, let the utility deal
10 with it.

11 You have inspection modules that look at the
12 corrective action program and if you find that there is some
13 failure in the corrective action program because they don't
14 have that feedback mechanism, then you address it through
15 that process.

16 DR. BONACA: Okay.

17 MR. PIETRANGELO: But there's another issue. Your
18 question, Dr. Bonaca, was specifically on whether the CAP
19 should have its own EIs. There's the PIs on the equipment
20 that are in the revised oversight process, but I would also
21 add that the maintenance rule sets performance criteria on a
22 lot bigger scope of SSCs than just are in the revised
23 oversight process and if you hit the performance criteria,
24 you're required to do root cause analysis, take corrective
25 action, and set a goal to determine the effectiveness of the

1 corrective action.

2 I would argue that just based on the equipment
3 alone, under the scope of that rule, there's ample
4 indication to monitor the effectiveness of the corrective
5 action program and until that's proven that it doesn't work
6 very well, and I don't think it has yet, I don't think
7 there's a basis to -- I understand your point very well.

8 It could, I suppose, have some indication on the
9 culture of the organization, but I think just on the hard
10 equipment itself, there's a lot of indication already.

11 DR. BONACA: The reason why I asked the question
12 is that clearly there is -- we're going towards an
13 environment where we rely much more on the instruments of
14 the utility to repair problems than on the regulatory
15 intervention.

16 As we do so, then we have to be confident that
17 those instruments work and the corrective action program is
18 a fundamental instrument and that's why we're asking the
19 question.

20 I agree with your comment that, in fact, the
21 maintenance rule provides another window.

22 MR. PIETRANGELO: And that's in the regulatory
23 purview. That's not just the licensees looking at that.

24 MR. BEEDLE: There has been a question raised
25 probably once a year or so, somebody says what's the

1 connection between economic performance of a utility and the
2 safety performance, do they go hand-in-hand.

3 INPO has attempted to answer that question a
4 number of times. I think the is yes, there's definitely a
5 linkage, because the same people, the same management
6 philosophy that's driving your good economic performance is
7 also driving your safety performance.

8 And there is not one utility executive that wants
9 to have a safety problem at the plant, because a safety
10 problem means failure on the economic front, and everybody
11 understands that. No question about it.

12 So I think that the -- you know, if you look at
13 the economic performance of these, I'm not suggesting we
14 have an indicator here, yet --

15 DR. POWERS: You're among friends. This committee
16 is dead set opposed to economic indicators.

17 MR. BEEDLE: The first six months of this year,
18 the industry produced six percent more electricity than they
19 did last year for the same six-month period. You don't
20 achieve -- and we're already at about 90 percent capacity
21 factor.

22 I mean, you don't achieve that kind of performance
23 just on a whim and you don't do that setting aside all your
24 focus on safety.

25 So these things really work hand-in-hand and I

1 think Tony's comment that the performance of the plant will
2 be reflected in the way the plant material condition is
3 carried out, and that is going to tell you something about
4 that corrective action program.

5 The corrective action program and self-assessments
6 that have been fostered through the INPO process over the
7 last ten years have probably done more to improve
8 performance at the utilities than any other thing.

9 They've caused the utilities to become more
10 familiar with their equipment. They look at problems. They
11 take that problem, correct it, feed it back into their
12 maintenance systems, and, as a result, you see improved
13 performance across the board.

14 I hope that answers the question.

15 Dana, let me turn to a couple other areas here, if
16 I may.

17 DR. POWERS: Please.

18 MR. BEEDLE: I've got Tony Pietrangelo, who is the
19 Director of our Licensing Strategic Group.

20 MR. PIETRANGELO: Not anymore.

21 DR. POWERS: We hardly know Tony at all.

22 MR. MARION: Whatever is says on the chart.

23 MR. BEEDLE: Alex Marion, Lynette Hendricks, and
24 Doug Walters. Tony is going to talk a little bit about the
25 risk-informing regulation and some of the interactions we've

1 had with the ACRS and the staff and give you some insight
2 into that.

3 Alex is going to discuss a little bit about the
4 oversight process. Lynette is going to touch on
5 decommissioning activities, and I know that was an issue
6 this morning when you were talking with the Commissioners,
7 and then Doug will talk a little bit about the license
8 renewal.

9 But before they do that, let me just make kind of
10 an observation on ACRS. ACRS is, I think, a relatively
11 unique element in the regulatory process and I talk about
12 the regulatory process as opposed to advisors to the NRC
13 Commissioners.

14 You are an integral part of the regulatory
15 process. You're important to the Commissioners and I think
16 they underscored that with some of their comments this
17 morning. And you're important to the industry.

18 Your judgments, or lack thereof, your definitive
19 recommendations or ambiguous suggestions create problems for
20 the industry and we have to address them, deal with them in
21 some fashion, because they have an effect on the staff and,
22 consequently, an effect on us.

23 DR. APOSTOLAKIS: They never solve any problems?

24 MR. BEEDLE: They do solve some problems.

25 DR. APOSTOLAKIS: Some problems.

1 MR. BEEDLE: I'm saying they're on both sides of
2 that fence.

3 DR. APOSTOLAKIS: Now you say it.

4 MR. BEEDLE: The direct ones, some of the not so
5 direct ones.

6 DR. APOSTOLAKIS: Fine.

7 MR. BEEDLE: And my comment, I think, would have
8 to be considered to be somewhat in synch with the feedback
9 that you got from the Commissioners today, and say, hey,
10 what do you expect me to do with that recommendation. I
11 can't do anything with that recommendation. What do you
12 want me to do?

13 So that's part of, I think, your challenge, to
14 deliberate on issues that you think are important, that the
15 Commissioners need to hear about, and give them some good,
16 solid recommendations. I don't think this half of the ACRS
17 can give them recommendation A and this half can give B and
18 you send that to the Commissioners and ask them to
19 auctioneer. That doesn't help them.

20 DR. WALLIS: I think we can't go too far. We can
21 point out things, but we can't do everything. Resolving a
22 problem sometimes involves capabilities from elsewhere, not
23 just the ACRS.

24 MR. BEEDLE: Well, I guess I would take a little
25 bit different view of that. I don't think that it's

1 sufficient to just point out there may be a problem there or
2 I'm uncomfortable with that result or I think that's a
3 little bit too high or that's a little bit too low.

4 That doesn't help them. If you feel that there is
5 an issue in which the staff has not come up with the right
6 answer, you have this gut feel, your intuition tells you
7 that there is a problem with the fidelity of the process,
8 then you need to go ferret out that problem.

9 You can't just walk in and tell the Commissioners
10 I've got a stomach ache. It doesn't help. They don't know
11 what to do with that.

12 I would submit that you do that on almost every
13 issue. Somebody could have some angst over just about
14 everything that goes on in this industry, but I don't think
15 that's particularly helpful to the Commissioners and it
16 certainly doesn't help me.

17 DR. WALLIS: But somebody else is working these
18 problems, as well as us.

19 MR. BEEDLE: That ought to be part of your answer
20 then. Anyway, I just want you to know that you are an
21 important element in this regulatory process and you're
22 important to the Commission, obviously, and you're important
23 to the industry.

24 We end up frequently up here making comments and
25 observations, we the industry, and I think that ought to be

1 testimony to the value that we put in it. We would
2 encourage you to continue to look at lots of issues, but
3 focus on providing good, sound advice to the Commission that
4 is not ambiguous.

5 So with that, let me turn to Tony and ask him to
6 talk a little bit about risk-informing regulation and some
7 of the things that he's been involved with, when he was the
8 licensing manager.

9 DR. APOSTOLAKIS: What are you now, Tony?

10 MR. PIETRANGELO: I'm the -- go ahead, Ralph, my
11 title.

12 DR. POWERS: Risk and Performance-Based Regulation
13 Director.

14 DR. APOSTOLAKIS: So this is up-to-date.

15 MR. PIETRANGELO: Yes, that's the new one. I
16 actually had responsibility for our risk activity several
17 years ago and had a lot of interaction with this committee,
18 and then we were kind of to the point we are now, I think,
19 with a lot of the activities and then we went through what I
20 term our blue period, from 1996 through 1999, where I think
21 some of the things Ralph talked about before, trying to get
22 stability back into some key regulatory processes was of
23 greater importance than trying to reform the regulations
24 with risk insights.

25 So I tried to get out and they pulled me back in,

1 George, to risk-informed regulation. So here we are.

2 DR. APOSTOLAKIS: They did that very well.

3 MR. PIETRANGELO: Let me start just by saying that
4 there's already a lot of industry interest in risk-informed
5 regulation, and it's present today in the regulatory
6 process. Just to name a few items, the monitoring, the
7 level of monitoring done under the maintenance rule is
8 risk-informed. The new A-4 configuration risk management
9 process is certainly risk-informed.

10 The reactor oversight process is risk-informed in
11 terms of the significance determination process, as well as
12 the areas that the inspection process focus on. Sixty
13 plants are getting ready to submit risk-informed in-service
14 inspection requests and almost all plants have gotten some
15 kind of allowed outage time extension through risk-informed
16 tech specs.

17 So the fact is that risk insights are already
18 being used in the regulatory process to a great extent
19 today, even though, and I think this was mentioned before,
20 but maybe not this way, there is no requirement for PRA in
21 the regulation.

22 All we have is that IPE generic letter, which was
23 just do you guys want to do one and if you do, can you send
24 it to us. And you're right, we've come a long way since
25 then and I think as part of the effort going forward, and

1 that's kind of the where are we now part, I think, as an
2 industry, we recognize that that old information that was
3 docketed as part of the IPEs is insufficient as a foundation
4 for moving forward with risk-informed regulation.

5 So both on a macroscopic level, from the fleet of
6 plants, risk information, from the risk information that's
7 submitted on a plant-specific level, we've got to put
8 something on the docket that's available to the public,
9 that's transparent, so that to make some of the changes
10 we're talking about in risk-informed regulation, that has to
11 become part of the licensing basis and has to be very
12 visible.

13 We're analyzing several alternatives now on how to
14 do that best and I think you'll be hearing from us in the
15 near future on that.

16 DR. SEALE: Tony, could I ask a question? This
17 morning, you were there, I believe.

18 MR. PIETRANGELO: I was not there, Bob.

19 DR. APOSTOLAKIS: Ralph as.

20 MR. PIETRANGELO: Ralph was there.

21 DR. SEALE: One of the comments that was made was
22 that in several contexts, we find that the process of
23 docketing something has a tendency to ossify what's done.
24 So when you say you want to put the PRA in the docket, yes
25 and no, in the sense that we sure would like to have the PRA

1 on the docket, because that's something that everybody then
2 has some access to.

3 And so the unfounded impugning of the level of
4 consideration of risk issues would be hopefully diminished
5 if someone was willing to look at the PRA that would be
6 available. But at the same time, we would hate to think
7 that if you put the PRA on the document, that you would then
8 be reluctant to upgrade it at appropriate intervals so that
9 it continued to be a living document.

10 So somehow -- and I don't think there is a
11 provision for this right now.

12 MR. PIETRANGELO: There is not.

13 DR. SEALE: But somehow, between yourselves, and
14 clearly you're a party to it, the Commissioners and, I
15 guess, this committee is an honest broker, hopefully honest
16 anyway, we have to come up with an idea of how we docket
17 something that also satisfies the idea of being a living
18 document.

19 MR. PIETRANGELO: And that's one of the things. I
20 don't think we said we're going to put the PRA on the
21 docket, but in the same vein, though, I think some of the
22 things we're thinking of, for example, even with the ALWRs,
23 there was a summary of the PRAs put in the FSAR.

24 The FSAR is updated on a regular basis. You could
25 have the main contributors. You could have some of the

1 metrics that are associated with the PRA. It could be
2 updated on an ongoing basis.

3 I think as part of the application, if you're
4 going to be a player in risk-informing the special treatment
5 requirements in Part 50, you're going to have to put
6 something on the docket and have to keep that updated over
7 time.

8 There's already mechanisms in the current
9 licensing basis to do that, to put it in your CLB, whether
10 it's the FSAR or a commitment or somewhere else in the
11 licensing basis, and they already have mechanisms to update
12 and even change control processes for those kind of things.

13 DR. SEALE: Okay.

14 MR. PIETRANGELO: And I think that's -- because we
15 really haven't had much discussion on that yet, that may
16 have been driving some of the issues that have come up in
17 risk-informing Part 50, particularly in Option 2. We've had
18 a lot of talk about the PRA standards and the
19 prescriptiveness of it, the detail of the Appendix T for
20 50.69.

21 And because we don't have something that the
22 regulator has direct oversight over in the licensing basis,
23 kind of using these longer levers to try to get control over
24 the PRA quality, and maybe that's not the best way to do it.

25 So the other thing I think that I want to talk to

1 you all about, and I think your letters and deliberations
2 have been helpful already, is that we need to understand the
3 process of how this is going to work to be able to put the
4 individual pieces in, like the PRA standard and like the
5 peer review process, and, yes, the prior staff review, prior
6 to the application.

7 I think in some of the documents you've seen, the
8 objective for the detailed Appendix T and the detailed
9 standard being no prior NRC staff review and I think that's
10 probably a false premise for the kinds of applications we're
11 talking about in risk-informing Part 50, Option 2 and 3.

12 There is going to be a need for prior staff
13 review. Reg Guide 1.174 is about the staff's review of
14 licensee submittals to change their licensing basis with
15 risk insights.

16 I think the process we envision is that some of
17 these pieces, like the standard and the peer review process,
18 can help facilitate the staff's review. We can even get it
19 down to a template such that the thing doesn't take the time
20 that South Texas is taking right now to process their
21 exemption request and that those could be done in a very
22 efficient way.

23 The risk-informed ISI submittals are down to
24 templates. This committee has looked at a lot at
25 risk-informed ISI over the years. One of the specific reg

1 guides is on ISI and IST, and we've got the submittal down
2 to a template. It's not the FSAR-like volumes of work that
3 were done to support ISI, but it lifts the pertinent
4 information out that the staff needs to conduct its review,
5 and I think we can get to that same point on risk-informing
6 Part 50, Option 2.

7 DR. APOSTOLAKIS: I think we're running out of
8 time real quick. So I have two questions that I would like to
9 ask you.

10 We hear -- in fact, the Commission had asked this
11 morning, but we heard it, some in the industry think that
12 perhaps we're going too far in trying to risk-inform Part 50
13 and you hear things about low-hanging fruit and so on.

14 MR. PIETRANGELO: There is no -- we'll have to get
15 that out of the lexicon. There is no low hanging fruit in
16 the regulatory process.

17 DR. APOSTOLAKIS: But do you -- is NEI's sense
18 that we are going too fast?

19 MR. PIETRANGELO: No.

20 DR. APOSTOLAKIS: Okay. Good. Second question.
21 I think sometimes one learns from one's not so successful
22 efforts and -- how to put it in a more straightforward way
23 -- do you think that any of the views that the ACRS has
24 expressed in the last several years have, in fact, not been
25 helpful or they missed the mark or whatever?

1 MR. PIETRANGELO: No. I think the views the ACRS
2 expressed, that have been expressed by the ACRS have been
3 pretty good and on point. I just wish it wouldn't take you
4 so long to do it.

5 I mean, it took almost four years to get that
6 series of reg guides out.

7 DR. APOSTOLAKIS: So you're not just blaming the
8 ACRS for that.

9 MR. PIETRANGELO: No. But I think this is to
10 underscore Ralph's point. You're not just deliberating here
11 off-line. You are part of the process and I think as an
12 industry, our sense of time needs to change a little bit.
13 Some of the generic safety issues that the staff and the
14 ACRS reviews have been in that log for a long, long time and
15 you start to ask yourself, well, how important can that
16 thing be if it's been there for 15 years.

17 So I think in the industry, with deregulation and
18 other things, that our timeline has to change a little bit
19 in terms of how long it takes to do some of these things,
20 because you can't sustain that level of resources to focus
21 on that problem for that many years.

22 We've got to have something that's either
23 step-wise growing up to the end goal or decide it's not
24 worth it and get out of it, because it's a lot of resources
25 for us to support your meetings. I know it's a lot of

1 resources on the staff to support your meetings.

2 I wanted to compliment you on waiving your review
3 of the final reg guide on 50.59. I think you recognized
4 that you done a good job on the preliminary reg guide, there
5 weren't that many changes on it, and there was no -- was
6 going to be no value added by another ACRS review.

7 I think you should look at that more often in the
8 future. And on the risk-informed stuff, your insights are
9 vital to the process and for public confidence in the
10 process.

11 But I think what I'm trying to get at is we can
12 find a way to get them in or at least think about the times
13 that you really want to weigh in. Do you want to weigh in
14 on the front end or do you want to let the staff kind of
15 bake something and interact with us and then bring you
16 something or when?

17 DR. APOSTOLAKIS: What do you think of that?
18 Because that has been a criticism of the committee from some
19 quarters, that if we get involved from the beginning, then
20 we are not independent.

21 MR. PIETRANGELO: That's right.

22 DR. APOSTOLAKIS: On the other hand, if you look
23 at the history of this committee, up until maybe ten or 15
24 years ago, it was very common that the staff would invest
25 two or three years into a project, then appear before this

1 committee, but the committee would disagree and you would
2 have all sorts of acrimonious debates.

3 It's unfair to the staff because they have already
4 invested a lot of time, but it's unfair to the committee,
5 too, to say, at the end, well, gee, you know, we've invested
6 so much time, you better go along.

7 On the other hand, you are accused that you are
8 not independent.

9 MR. PIETRANGELO: Sure.

10 DR. APOSTOLAKIS: It seems to me you can be both,
11 but I would like to know what you think.

12 MR. PIETRANGELO: And I think it depends on the
13 situation, of course. There's a balance between -- you're
14 not line management for the NRC.

15 DR. APOSTOLAKIS: Yes, we are aware of that.

16 MR. PIETRANGELO: I don't know how many meetings
17 I've walked into where the first slide was ACRS concerns.
18 Well, you know, the thing is just starting out and I think
19 it's something to think about with each of the issues the
20 committee deals with, about when you interact, is it the
21 right time, and not to just assume some rote schedule for
22 that interaction.

23 I think I've already seen some things about
24 backing off some of the process type issues and focus in
25 more on the safety standards type issues. I think that

1 makes perfect sense for this committee.

2 But overall, and there's no right or wrong answer
3 to your question, George, I think it's got to be a balance
4 and it's got to be a consideration in the back of the
5 committee's mind of when you weigh in and is it the right
6 time in the process.

7 DR. APOSTOLAKIS: And sometimes, of course, that's
8 beyond our control.

9 MR. PIETRANGELO: That's right.

10 DR. APOSTOLAKIS: When we get an SRM, we have to
11 respond.

12 MR. PIETRANGELO: Absolutely. Absolutely.

13 DR. POWERS: One of the motivations for this, we
14 were so anxious to have this meeting and, of course, we do
15 do a self-assessment of ourselves.

16 MR. PIETRANGELO: Right.

17 DR. POWERS: And, in fact, one of the assessments
18 that we did, we looked at the number of issues that we were
19 trying to tackle and said it's too many and set up some
20 criteria for when to, as you say, not weigh in, because it's
21 outside of our expertise or we really didn't have anything
22 to contribute.

23 We do keep metrics on ourselves and I'm proud to
24 say that whereas our metrics are not as good as the -- the
25 performance metrics are not as good as the nuclear

1 industry's, we are on an effector.

2 MR. BEEDLE: I hope most of them are green.

3 DR. POWERS: Since we get to set the color bands,
4 we're white in two and green in the rest.

5 MR. PIETRANGELO: Doug is going to talk about
6 license renewal in a second, but I know this committee is
7 thinking about license renewal and not too long, probably
8 every month, you're going to be deliberating on someone's
9 application for license renewal, and that's a statutory
10 requirement for this committee to do that and you are part
11 of the process, make no mistake about that.

12 And it's worked well thus far. We know we can
13 process two at a time or three at a time now and in a couple
14 of years, that's going to be eight to ten. Again, if you
15 don't get on top of this when you interact in the process
16 thing, when you're having that kind of workload coming down
17 the stream, I think you're going to put yourself in a
18 critical path position on some of these. So it's something
19 to think about.

20 MR. BEEDLE: Dana, we have until three on your
21 agenda, is that correct?

22 DR. POWERS: Sure.

23 MR. BEEDLE: I just wanted to try and make sure we
24 didn't run over.

25 DR. POWERS: We got a little slop.

1 MR. BEEDLE: Why don't we touch on license
2 renewal, Doug?

3 MR. WALTERS: Good afternoon. I'm Doug Walters.
4 I have responsibility for renewal. As Tony said -- well,
5 let me start off by, I guess, saying that we've been fairly
6 successful, as you know.

7 I think you've had the opportunity to review at
8 least two applications. We have three that are under review
9 now and by our own assessment, there's somewhere on the
10 order of 30 more units that will come in with applications
11 between now and about 2003-2004.

12 So the interest in renewal is swelling and as Tony
13 said, you do play an important role in that process.

14 I know that you're going to talk about the GALL
15 and the standard review plan and the other guidance
16 documents, and that's really our focus in the industry right
17 now. We're reviewing those documents.

18 With the exception of the applications that have
19 been submitted, I think these documents represent probably
20 the most significant thing that's come out of renewal in a
21 while, and these are extremely important documents for the
22 follow-on applicants because they intend to rely on them.

23 And I would point out that in the review of
24 Calvert Cliffs and Oconee, the number is somewhere between
25 85 and 90 percent of all the programs that were credited

1 were existing programs.

2 So what we really need to focus on is that
3 remaining, whatever the number is, ten to 15 percent and
4 make sure that we understand what enhancements are needed or
5 what new programs might be necessary.

6 To pick up on a point that Ralph made about ACRS
7 and the role you have in that process and the impact that
8 you have, I just thought of two examples that I will share
9 with you.

10 One is the environmental effects of fatigue, which
11 has probably been debated to death and nobody wants to
12 regurgitate, and I understand that. I feel that way myself.

13 But I would just point out to you that it's not
14 that we're whining about where that issue came out. I think
15 what we would like to see, though, is perhaps more
16 consistency.

17 For example, if you read the staff's letter, they
18 indicate that this is not an issue for ALWRs. Yet, they use
19 the same, at least as I understand it, ALWRs use the same
20 methods for evaluating fatigue as we did on the operating
21 plants.

22 And somehow, on the operating plants, for renewal,
23 we come out with a different result. And when we know an
24 issue like that is coming to an independent technical body,
25 we're anxious to see how you look at that and is there

1 consistency across the issue.

2 And I'm not so sure, in this case, and I'm not an
3 expert in the fatigue area, but based on what I read and
4 what I do know of the issue, I'm perplexed by that.

5 The impact of that, though, is we now, as an
6 industry, have to go out and figure out a way to deal with
7 environmental effects only from year 40 to 60 and we go to
8 the ASME code, they haven't changed the curves, I think
9 something is underway perhaps, but it presents a real
10 problem for us, because it's up to the applicant, the
11 renewal applicant to deal with that issue and we're not
12 quite sure how we do that.

13 We'll get there. It's not to say it's not a real
14 phenomenon, but when a decision is rendered that, yes, this
15 is something you need to address in renewal, we need to do
16 that.

17 Another area is rule changes, when there is a
18 change to a regulation, and I will mention 50.55(a) and the
19 adoption of IWE and IWL, and there is an example where we
20 have an opportunity to look at that rule change and say,
21 okay, if we adopt this change to IWE and IWL, how does that
22 impact renewal.

23 I think in this case, the staff concluded that
24 what they were doing in the rule change would cover the
25 license renewal period.

1 Now, the renewal staff came back and published a
2 reg guide and disagreed with that. So, again, we're in a
3 predicament that we've got a regulation that says IWE, IWL,
4 and, specifically, the focus is in accessible areas, and we
5 have a regulation that says that should be okay for renewal,
6 but we have also a reg guide or a NUREG that says, no, we
7 don't agree with that.

8 We need somebody besides ourselves to kind of look
9 over the broad spectrum and say is there consistency there,
10 and I think these are two examples, not necessarily -- it's
11 being candid with you. I'm not trying to be critical with
12 you.

13 But we need that kind of broad overview to say are
14 we being consistent technically. The process issues we can
15 deal with.

16 So as we go forward, we hope that that's the kind
17 of keen eye you will give to the GALL, principally the GALL.
18 I think that's where all the technical meat is. And we need
19 that document to be technically correct so that when these
20 30 or so renewal applicants come in over the next couple of
21 years, we know we can rely on that document and there will
22 be some success there.

23 That's all I have, unless you have any questions.

24 MR. BEEDLE: Okay.

25 DR. APOSTOLAKIS: Just out of curiosity, if we

1 have 30 units in the next four years, would risk-informing
2 the process help a little bit or you don't want to touch
3 anything? The process is working.

4 MR. WALTERS: I'm going to defer to the end of the
5 table for the specifics. I will tell you that this is
6 another consistency issue, in my view.

7 If I may put the risk-informing aside for a moment, it's
8 curious to me that the maintenance rule, for example, has a
9 scoping criterion safety-related and that scoping criterion
10 is the same in license renewal for safety-related. Yet, we
11 haven't figured out a way of how we can give credit for what
12 we do under the maintenance rule.

13 If we go to risk-informed scoping, it seems to me
14 that you ought to be able to apply that to license renewal.
15 That would be, it seems me, the prudent thing to do. That
16 makes it consistent.

17 But as Tony can explain to you, the way that
18 Option 2 is working, if you do renewal, you can't do Option
19 2. I don't know if you want to expound on that.

20 MR. PIETRANGELO: Yes. There is a perverse
21 consequence of a staff position on not applying the
22 risk-informing Part 50, Option 2 to Part 54.

23 For the near-term applicants in the queue who are
24 already in the process of preparing their applications, it
25 has absolutely no effect, because this rulemaking is going

1 to take a couple of years. It's not even slated to go out
2 for public comment until late next year.

3 So it will not have an impact on the near-term
4 applicants. Rather, if one of those near-term applicants
5 wanted to also take advantage of Option 2, you would -- the
6 way the process is going to work is the safety-related, low
7 safety-significance box, the RISC-3 box, there would be some
8 kind of minimum requirement in lieu of the special treatment
9 requirements for those components.

10 In the license renewal process, there is a
11 demonstration that the effects of aging are being managed
12 effectively, and a lot of those come from the special
13 treatment requirements.

14 So you're asking -- unless the staff wants to
15 stipulate up front that whatever that minimal requirement is
16 would be adequate for the demonstration, you're going to
17 undo a lot of the things you did in risk-informing Part 50,
18 Option 2, and it's going to be a disincentive to moving
19 forward.

20 So we think that Part 54 should be included in the
21 special treatment requirements. We think it will not have
22 an impact on the applicants that are in the queue. It will
23 make the process more efficient and coherent across Part 50
24 and Part 54 for the remaining 60 to 70 plants that are going
25 to come through the license renewal process in the future.

1 I just think it's just not consistent. This was
2 Douglas' point that says we can use risk insights to focus
3 our resources on the right things in Part 50, but we don't
4 want to do that for Part 54. To me, that's not consistency
5 and coherency in the regulatory process.

6 MR. BEEDLE: I think it points out some of the
7 difficulties that the staff has and the industry, as well,
8 in trying to figure exactly how you take this risk-informing
9 and lay it across all the regulations.

10 Short of just taking a clean sheet of paper and
11 starting to develop these regulations, I think you're going
12 to continue to run into these sort of problems. But we
13 would hope that there would be more thought given to the
14 statements that you find in SECYs, for example, because
15 those things carry a lot of weight. They carry an awful lot
16 of weight.

17 That's, in part, the challenge that you gentlemen
18 have in trying to provide advice and counsel to the
19 Commissioners. You make a statement and the staff uses that
20 and they act on it. They think that that's --

21 DR. POWERS: We are well aware the Commission
22 today asked us both questions, are we going too fast and
23 should we be working on 50.54.

24 I think, in sympathy to lots of people suffering
25 from future shock, they have to be a little patient here.

1 I'm sure there's going to be some dislocations.

2 But you're talking to a committee that, if they
3 had their druthers, would have taken out a clean sheet and
4 written the whole thing in risk language and moving 54 in
5 there would have -- I mean, when that decision not to have
6 license renewal be risk-informed was made, I think we
7 understood the practicalities and the time pressures at the
8 time, but I think we were disappointed that that didn't
9 happen.

10 MR. PIETRANGELO: I think the concern is upsetting
11 the apple cart and I think that's a false --

12 DR. POWERS: And I think that's a good point,
13 Tony. I think I'd like to have you come down here and make
14 that point to us a couple more times.

15 MR. PIETRANGELO: Ralph made this point to the
16 Commission in a briefing last week.

17 DR. APOSTOLAKIS: It takes us three times.

18 DR. POWERS: It is a problem which we have right
19 now, which is an inconsistency between one end of the table
20 and the other, and we've been whistling along saying, well,
21 we'll evolve toward it, and I think what you're telling us
22 is let's help Mother Nature evolve a little faster, let's do
23 some genetic engineering here.

24 MR. WALTERS: Well said.

25 MR. BEEDLE: If we could, let's turn to -- do you

1 have anything else, Doug?

2 MR. WALTERS: No, I don't.

3 MR. BEEDLE: Turn to Lynette and a little bit of
4 discussion in decommissioning activities.

5 MS. HENDRICKS: I'd like to take probably what
6 little time I have and talk about risk-informing the
7 decommissioning regulations. There were some other things
8 on your agenda about cask process and decommissioning
9 process, but if I had my druthers, I guess I'd like to say a
10 few things first about the spent fuel pool risk study and
11 how that's being used to risk-inform the decommissioning
12 regulations.

13 I did not, unfortunately, have the opportunity to
14 attend your briefing, but I do have the benefit of your
15 slides and I concur with the position you're taking here,
16 that when you start to risk-inform this special event over
17 here with the pool, you're in different space, and I see
18 that in your recommendations that the LERF may not be as
19 appropriate as it had initially appeared to be when you
20 start to look in more detail at the consequences.

21 I think the ACRS has been very helpful and very
22 astute at trying to direct the staff's attention to refining
23 the consequences, but I guess I would hope that since we are
24 in different space, we would give the same emphasis to
25 refining the probability.

1 What I mean here is that at this point, we are --
2 the whole event, as you know, is driven by the single,
3 somewhat bounding seismic event, and that's different than
4 what we have in operating space.

5 In fact, the same event is a background risk
6 factor and I think we're going to have some unintended
7 consequences if we are not equally careful in balancing the
8 consequence refinement with the probability refinement.

9 I'll give you an example of that. It's in the
10 SECY-00-145 that's before the Commission today, and
11 understanding that that's going to change, but I guess what
12 troubles us with that is it seems like we've missed some
13 opportunities to apply real risk insights on a practical way
14 by basing all of our concern on this single bounding seismic
15 event, for which there are no mitigating factors.

16 I can say that more in pragmatic terms. What you
17 end up with is a rulemaking that essentially layers
18 deterministic requirements; in other words, you don't get
19 out of your EP and you don't get out of financial protection
20 until you can demonstrate the event cannot happen.

21 At the same time, the rulemaking plan proposes to
22 layer these new requirements that we worked constructively
23 with the staff to come up with to address all the other
24 sequences.

25 We propose some real practical solutions to

1 identifying and mitigating and responding to these slow
2 leaks and loss of cooling and we also put forward a seismic
3 checklist that we were hoping would help screen out this
4 event, which, actually, if you look closely at the implied
5 requirements, really you're talking about a big imposition
6 in a regulatory sense.

7 What it ends up with is you have a voluntary rule
8 that industry is not likely to take advantage of at all. So
9 you're going to have a status quo. All this rulemaking, all
10 these risk insights for nothing, because the industry will
11 have to look at that rule and say, jeez, you know, I've
12 operated with this out here seismic risk and I have
13 financial protection, I have EP, I shut down, I have one
14 thing to worry about, just the pool, and I have this
15 background event that's now predominant and I have to, in
16 order to still have EP and financial protection, incur all
17 the costs of these additional requirements.

18 It's kind of an untoward outcome in risk space.
19 So to sum up, we certainly appreciate your --

20 DR. APOSTOLAKIS: Is there a good risk analysis
21 that the industry has done on this issue that I can look at?

22 MS. HENDRICKS: If you will recall, we
23 participated early on. We had ERIN Engineering provide
24 input to when we were predominantly looking at these events.

25 Remember, the staff initially said this risk was

1 equivalent to an operating plant risk because there was very
2 little credit for the long duration of the events and
3 they've since refined their HRA analysis and now we're
4 working very diligently on the seismic risk and we had hoped
5 to sort of put that to bed.

6 DR. APOSTOLAKIS: If you do that, you deserve a
7 statute. A seismic issue? In this context.

8 DR. POWERS: And one of the points we tried to
9 raise with the Commission was that this bounding seismic
10 analysis was strictly inconsistent with the whole philosophy
11 we were pursuing everywhere else and it was expedient, but
12 it was not useful. So I think we're sympathetic in that
13 area.

14 I think we're looking for a much more stately
15 approach to this than maybe is what you're looking for. We
16 don't get the phenomenological things squared away and then
17 proceed into the risk analysis and going, it seems to us,
18 completely to risk, rather than a damaged frequency or
19 something like that.

20 MS. HENDRICKS: Thank you.

21 DR. KRESS: We recognize from the start that the
22 bounding seismic analysis that was used, the CFCLP concept,
23 was very conservative and we knew if you were going to get
24 any relief at all, that that's the end you would have to
25 work on. I'm glad to hear that somebody is addressing the

1 real risk, not just bounding it, because actually there's
2 two parts, there's the consequences and there's the
3 frequency and you've got to have both of them.

4 And we really had no way to focus on the
5 frequency, other than in our evaluation, all we could look
6 at is consequences. Now, somebody has to look at the
7 frequency and balance the two and I think that's what you're
8 trying to say.

9 MS. HENDRICKS: We are and I think, to a certain
10 extent, it poses a challenge because we're sort of changing
11 horses, too. For operating plants, we use the sort of a
12 more deterministic approach to seismic, with the safe
13 shutdown earthquake and you look at the tectonics and say
14 this is worst case, with some dealing with the uncertainty,
15 whereas now we're deciding, well, let's embrace probability.

16 So we pick up either the Livermore report or the
17 EPRI report. When you try to look at the whole scheme,
18 you're always going to have an over-focus on these very
19 large, very low probability events.

20 So I know this is coming back to you and I know
21 the staff is looking at it, but if we would think even
22 beyond, well, kind of what we've gotten from the staff is,
23 well, you wanted probability and here's the number you get,
24 but that's not looked at in the consequence of one event
25 driving the whole sequence. We may come out with funny

1 screening numbers.

2 DR. KRESS: I think what you're saying there is if
3 you have just one event driving your whole risk, that your
4 risk acceptance criteria might be viewed differently --

5 MS. HENDRICKS: Exactly.

6 DR. KRESS: -- than if you had a whole lot of
7 things, and I think there's some truth to that.

8 DR. POWERS: Not only that. I think you run into
9 the challenge of interfacing defense-in-depth concepts.

10 DR. KRESS: That's an additional depth to this
11 thing.

12 DR. POWERS: You've got to think very carefully
13 about how you're going to be consistent with the
14 defense-in-depth philosophy when you've got one dominant
15 initiator.

16 DR. KRESS: Absolutely.

17 DR. POWERS: It's a strange thing.

18 MR. BEEDLE: Well, it's not an issue that's going
19 to be resolved this afternoon, which I judged from the
20 Commissioners' comments this morning.

21 Let me turn to Alex and ask him to provide a few
22 comments on the revised reactor oversight process.

23 MR. MARION: Thank you, Ralph. My name is Alex
24 Marion. All I can tell you at this point is I think I work
25 for Ralph.

1 DR. POWERS: It says licensing and programs.

2 DR. SEALE: He thinks so, too, I take it.

3 MR. MARION: Just very briefly. I know we're
4 pressed for time. Let me just indicate that overall, we
5 think the process has been very successful in that we have
6 been working very closely with the NRC staff and other
7 interested stakeholders to make sure that the entire
8 community of interest understands what the indicator system
9 is all about, understands what the thresholds for regulatory
10 action that Ralph alluded to earlier in terms of inspection
11 is all about, and that entire process is scrutable.

12 As you all know, the first year of implementation
13 is getting close to being completed. They're into the third
14 quarter. The second quarter data has been already provided.
15 Third quarter data is going to be submitted to the NRC, I
16 believe, the 21st of October.

17 It doesn't indicate that everyone is in the green
18 area. It's starting to identify a mix and I think as we go
19 through that process, we'll be able to evaluate the
20 indicators and the thresholds and the action and draw some
21 conclusion as to whether or not it's doing what we expected
22 it to do in terms of the overall scheme of things.

23 The NRC has just recently announced the formation
24 of a FACA panel, similar to the pilot plant evaluation
25 panel, that will start the effort to begin the lessons

1 learned review of the process during this first phase, this
2 first year of effort.

3 That panel is scheduled to have the first meeting,
4 I believe, early November, November 1st and 2nd. Again,
5 that body will, as necessary, include various stakeholders
6 to provide the overall assessment of the process in terms of
7 internal NRC benefit, understanding scrutability to external
8 stakeholders, as well. So we find that to be very
9 positive. There is one performance indicator that's being
10 changed. It begins with the letter S, dealing with counting
11 of automatic and manual scrams.

12 We have reached an understanding with the NRC on
13 alternate indicator and we're going through the
14 implementation phase of benchmarking or testing that
15 indicator through a pilot process. We have 21 units
16 involved and that process began this month and will continue
17 through March of next year.

18 And fundamentally, if it's found to be acceptable
19 by NRC, then we expect it to be implemented third quarter of
20 next year. We find that to be positive.

21 So it is a dynamic process. It seems to be
22 working well. We're, quite frankly, pleased. We believe
23 that the changes that have been identified and agreed to are
24 necessary changes and there will likely be changes in the
25 future, and we're just pleased to see everyone working on it

1 and applying their best efforts and making sure that it's
2 accomplishing its intended objectives.

3 DR. POWERS: One of the issues that we have
4 debated around this table, to no successful resolution,
5 except an accommodation, was that the cross-cutting issues
6 that include things like human performance, will they, in
7 fact, be revealed adequately early by the other performance
8 indicators.

9 I think the accommodation the committee came to
10 within itself over that was to say this is an untested
11 assumption and it needs to be considered as we get into this
12 assessment and whatnot.

13 Is that one of those things that you're paying
14 attention to at all?

15 MR. MARION: Yes. It is being considered. I wish
16 I could provide you a definitive answer at this point in
17 time. But I suspect as we go through this cycle, we will
18 probably have a more confident feeling on how that
19 particular element is being considered in the overall
20 program. But it's something that everyone is aware of.

21 DR. POWERS: Yes, that's good. The other area
22 that the committee has not spent any time on, because of a
23 variety of things, is the significance determination
24 process. We understand what it is, but we have not gone
25 through the details of it, especially for those things where

1 there is not a PRA capability available, things like the
2 significance determination process for fire protection
3 issues and things like that.

4 MR. BEEDLE: Well, there are a number of them;
5 fire protection, security.

6 DR. POWERS: Security.

7 MR. BEEDLE: And if you'll recall, security, EP,
8 rad protection, are not elements that are amenable to the
9 PRA focus.

10 DR. POWERS: The current PRAs can't do that.

11 MR. BEEDLE: But they were nonetheless important
12 elements in this overall assessment process for the facility
13 and we agreed with the inclusion of those pieces as
14 cornerstones and the necessary outcome was to have some sort
15 of a significance determination process.

16 We have, just for an example, an SDP in the rad
17 protection area, talks about if you have a planned
18 maintenance evolution with a target exposure and you are
19 approaching that and you go over that exposure calculation,
20 then that puts you in white. That makes that a white
21 finding.

22 Well, safety significance is zero, but we agreed
23 that that is something that is important and we understand
24 the ground rules. Again, if I know what the target is, I
25 can figure out how to deal with it.

1 Well, there is a provision to go in and change
2 that target and then you get into the philosophical argument
3 of whether or not it's best to change your target or not
4 change your target, what's the effect on people and how do
5 they deal with that whole issue of management control.

6 So we've got that behind us. Now, that's a good
7 example of where a very deterministic pre-determined course
8 of action would be taken, given a certain set of
9 circumstances. We understand that, and there are a lot of
10 areas like that.

11 DR. POWERS: I guess what we were concerned most
12 about is it seems like some of those non-PRA things, the
13 thresholds are a good deal more stringent than the
14 corresponding ones that are treated with a probabilistic
15 risk assessment, and we worried are we losing the advantage
16 of focus by putting in very stringent thresholds on some
17 cornerstones and not so stringent on the others.

18 MR. BEEDLE: I think that's a very valid question
19 to be asking and I guess maybe the corollary to that is
20 what's the effect on does it divert management attention and
21 are you really looking at the right thing and so forth.

22 So I think we'll have to continue to look at that.
23 We'll get our FACA panels to look at that.

24 DR. POWERS: I think it would be interesting to pursue that,
25 because I think there's -- my own feeling is there is

1 discontinuity when you go away from those that are
2 subjective to quantitative assessment to those that are more
3 qualitatively or traditionally assessed.

4 MR. BEEDLE: I would suggest that the underlying
5 principle involved here is don't let go of anything. So
6 we've got to have that cornerstone. I can't let go. I know
7 it's not safety-significant, it's trivial in the grand
8 scheme of safety, but I don't want to let go of it.

9 I've always managed that, I've always had to look
10 at it, I've always had some inspection activity there. So
11 the staff is looking for some element.

12 Now, the other part of it is public perception.
13 It doesn't serve the industry or the NRC well to not have
14 something in there that speaks to protection of the public
15 to radiation exposure limits, along with emergency planning.

16 DR. POWERS: I think I don't want to provoke
17 concerns by the public. But I think when we set thresholds,
18 that those are a little more arcane than whether you have a
19 cornerstone or not.

20 MR. BEEDLE: That's true. Well, back to your
21 question on the cross-cutting. The cross-cutting issues are
22 important to the industry, no question about that. They're
23 important to the NRC.

24 I think that over the course of the last year, the
25 cross-cutting issues of safety-conscious work environment,

1 corrective action program, human performance have taken on
2 increased significance.

3 There has been more discussion of those issues and
4 those programs and focus by the NRC staff on those issues
5 today than there was a year ago, two years ago, three years
6 ago.

7 And I do believe that they are self-revealing in
8 the performance of the facilities.

9 DR. POWERS: Commissioner Diaz argues that the
10 corrective action program is the unspoken third element of
11 the new risk-informed regulatory process. Three attaches a
12 great deal of significance to that cross-cutting issue.

13 MR. BEEDLE: Yes. I don't have anything else to
14 add.

15 DR. POWERS: Well, this has been truthfully
16 excellent, in my point of view. I especially like it when
17 Tony can come in and tell me things I'm supposed to be
18 doing, and that's good, because I need to be reminded often
19 and I'm glad to have him do it, because he does it in a very
20 gentlemanly way.

21 And I appreciate all the presentations and --

22 MR. BEEDLE: And he's not even Irish.

23 MR. SIEBER: That helps.

24 DR. POWERS: I appreciate all your program
25 directors and their comments and whatnot and I hope that

1 they will feel free to come to us and say, hey, these are
2 the things we need you to pay attention to and give us the
3 high sign occasionally, because we are very much in the
4 situation of having a lot more issues come to us than we can
5 possibly handle and we have to do some picking and choosing.

6 And I kid you not, we do track our own performance
7 metrics on things that we are declining to review and things
8 that we do accept to review, and we'll take all the help we
9 can in making that selection, because it's sometimes hard to
10 do.

11 So I appreciate all the comments you made and
12 taking time to come down here and talk to us. It's been
13 very, very useful, for me at least.

14 MR. BEEDLE: Thank you very much, Mr. Chairman.

15 DR. POWERS: With that, I will recess us for 15
16 minutes.

17 [Recess.]

18 DR. POWERS: Let's come back into session. We are
19 now going to turn to the subject of GSI-168 and equipment
20 qualification. Professor Uhrig, I think you're going to
21 provide the leadership here.

22 DR. UHRIG: Today we're talking about the
23 safety-related electrical cables, primarily low voltage
24 associated with transmission of signals from plant to I&C
25 devices and instruments, as well as medium voltage that

1 conducts power to safety-related devices.

2 The business of environmental qualification
3 started about 1971 or thereabouts. There are three
4 categories of plants today; one, those that started
5 construction before '71; those that started construction
6 after '71, they had the IEEE Standard 323, the 1971 version
7 involved.

8 The trial standard did not address aging and
9 required dynamic -- required a systematic program of
10 analysis, testing and quality assurance, whereas those that
11 were before '71 were held to high industrial quality as the
12 primary standard. And after the plants with CPs after
13 7/1/74, there was a '74 version of the standard.

14 So that's a little of the background. The 1982
15 equipment qualifications rulemaking process led to the 10
16 CFR 50.49. The Commission did not backfit this to the older
17 plants and today, we have, again, three separate categories
18 of plants, the largest being a group that were
19 grandfathered, some 70-odd, if I understand correctly, to
20 the original standards.

21 So we'll hear more about this and with that, I
22 guess, Mike, do you want to say a few words before you
23 start?

24 MR. MAYFIELD: Yes, just briefly, to frame this.
25 We had hoped to come to the committee at this meeting with a

1 proposed resolution to this generic safety issue. However,
2 as you'll hear, sort of the last bullet in the slide
3 package, we're still considering some of those closure
4 options.

5 So we're not prepared today to talk about the
6 closure. What we wanted to do was to come and present to
7 you what we have done, what we have found, and looking both
8 at the testing that was done and at the risk evaluations,
9 the scoping studies that have been performed, and to solicit
10 any input, any questions you might have, any areas that you
11 think we should specifically be prepared to address when we
12 do bring the closure package.

13 So it's something of a status briefing, but at the
14 same time, we're hoping to see if there are any insights you
15 particularly want us to make sure we address when it comes
16 to the committee.

17 DR. UHRIG: Are you asking for a letter in
18 conjunction with this?

19 MR. MAYFIELD: I think at this stage, a letter
20 would be premature, because we don't have the closures to
21 propose. If the committee chose to write a letter, of
22 course, that's obviously your prerogative, but we are not
23 specifically seeking one.

24 When we bring to you the proposed closure package,
25 at that point, we would need a letter.

1 DR. SEALE: When do you expect to have closure?

2 MR. MAYFIELD: I had hoped to have it for this
3 meeting.

4 DR. SEALE: I understand.

5 MR. MAYFIELD: At this stage, hopefully yet this
6 year. We are on a list that goes down to Capitol Hill that
7 shows closure by December 31. So I am hoping to make that
8 milestone, but I also wouldn't want to prejudge this. I'm
9 not trying to give you a flip answer. It's just while the
10 staff is still debating this, I'm hesitant to venture a
11 strong guess.

12 DR. SEALE: We appreciate your incentives.

13 MR. MAYFIELD: With that, I'd like to turn it over
14 to Ed Hackett, who will start the briefing.

15 DR. POWERS: Ed, before you start, I noticed that,
16 in looking through your viewgraphs, that some of the
17 research was done by Sandia National Laboratories.

18 I have some vague association on a very episodic
19 basis with that organization, and so I may have a conflict
20 with this.

21 MR. HACKETT: Okay. I guess, like Mike said, on
22 the schedule, we're actually revising our operating plan
23 milestones just today and we'll hopefully still be able to
24 live with some of the ones we put forth there.

25 I guess some introductions are in order here. The

1 folks at the table here, myself, I'm Assistant Branch Chief
2 for Materials Engineering Branch, in the Office of
3 Research. On my right is Dr. Bob Lofaro, from the Brookhaven
4 National Laboratory, who is the actual researcher who has
5 performed a lot of the research under contract for NRC.

6 To my left, immediately, Satish Aggarwal. Dr.
7 Aggarwal is the RES Program Monitor for the program at
8 Brookhaven and has done the bulk of the work in this area,
9 along with Dr. Jit Vorah from the Office of Research, and
10 Jit is over there behind Mike.

11 And to the far left is Mark Cunningham, who is the
12 Chief of the Probabilistic Risk Assessment Branch in
13 Research, and Mark will be addressing some of the risk
14 significance aspects of the work.

15 I'm sorry. Satish just reminded me. Jose Calvo
16 just joined us, also, from NRR. He is at the table next to
17 Mike. So with that kind of talent at hand, we ought to
18 be able to hopefully -- don't want to get too cocky here,
19 but hopefully we ought to be able to address some of the
20 issues.

21 DR. APOSTOLAKIS: Finish it up today.

22 MR. HACKETT: In terms of a purpose or objective,
23 I think Mike covered that, but just to restate it here, we
24 had hoped to have sort of a resolution path or approach to
25 discuss with you in more detail today.

1 Obviously, as Mike said, we're not going to be
2 able to do that today. So what we want to do is basically
3 review the technical background and the regulatory
4 background to the issue and then just give you sort of
5 status of where we are in a technical and regulatory sense.

6 I think Dr. Uhrig covered an awful lot of the
7 background, but I'll go into some more of that in a block
8 diagram.

9 Before that, I had one I was going to put up that
10 is not in your package. I debated whether to put this up or
11 not, but since there are a variety of different folks and
12 backgrounds represented in the room, I thought I would at
13 least mention some of this in the way of background, and I
14 hope I'm not offending anybody here.

15 But, basically, what we're looking at here, as
16 Mike Mayfield has characterized many times, is less of an
17 electrical engineering problem, actually more of a polymer
18 materials engineering problem in terms of degradation.

19 You've got the polymeric materials, basically the
20 jackets and insulators that are degrading. They are
21 subjected to obviously thermal and irradiation environments
22 in the nuclear plants.

23 DR. POWERS: Can you give me some sort of feeling
24 about the total doses that these jackets are getting?

25 MR. HACKETT: I cannot, but maybe Bob, Satish.

1 DR. AGGARWAL: We plan to cover that when we
2 discuss the test program with you. Would that be all right?

3 DR. POWERS: That would be fine.

4 DR. WALLIS: These are not subjected to
5 ultraviolet or something?

6 MR. HACKETT: Not at -- don't worry about it, Jit,
7 I'll do it from here.

8 DR. WALLIS: There's no ultraviolet radiation
9 effect.

10 MR. HACKETT: Not that I'm aware of.

11 DR. WALLIS: They're away from bright lights.

12 MR. HACKETT: They're in the containment, mostly.

13 DR. AGGARWAL: The cables are qualified to all TID
14 source term and that's what these require for all nuclear
15 power plants, TID source term.

16 MR. HACKETT: This was, again, just, also, in
17 terms of setting the stage, but like a lot of these types,
18 we just briefed the committee yesterday on neutron
19 irradiation embrittlement of reactor vessels.

20 Like that, this degradation is progressive with
21 age, like you would expect, results in embrittlement. I
22 think Dr. Aggarwal has some samples here we'll pass out at
23 an appropriate time to show you the extent some of this
24 embrittlement can take. It can be pretty dramatic.

25 And, obviously, the embrittlement increases their

1 susceptibility to cracking, and that almost goes without
2 saying. A lot of times, the failure mechanisms are then
3 from moisture intrusion, which can either lead to gross
4 failures or shorts in the cables or potentially leakage
5 currents, undercurrent type situations, which could give you
6 misleading information in terms of instrument and control.

7 So that's just in the way of sort of setting the
8 stage.

9 DR. WALLIS: So there's no humidity effect or
10 anything. You say thermal and irradiation. It seems to me
11 a lot of the things degrade --

12 MR. HACKETT: Yes, absolutely. I didn't mean to
13 imply there's not a humidity effect. That's obviously there
14 and it's synergistic with the other effects, too.

15 So with that, what I would do is move on to the
16 next slide in the package, sort of an attempt to run through
17 how this is set up and I think Dr. Uhrig covered a lot of
18 this, so we don't need to be overly redundant.

19 But, obviously, this goes back to the regulatory
20 basis, including an EQ rule, which was promulgated in 1982.
21 There's a Regulatory Guide 1.89 which is part of the process
22 that endorses IEEE standard, that basically set a qualified
23 life or a qualified envelope type of approach to
24 qualification of these cables.

25 However, on the slide you see here, the EQ aging

1 research that was conducted at Sandia, as Dr. Powers noted,
2 showed some failures of low voltage I&C cables and in some
3 cases here, you'll see, Dr. Aggarwal is going to go into
4 greater detail on an upcoming table here, but there were
5 percentage failures that increased with time in different
6 cable categories, and we'll go into some more details there.

7 This was about vintage 1992, that this research
8 was completed. There were information notices that were
9 issued by the Office of Nuclear Reactor Regulation to cover
10 this area.

11 There were also issues associated with connectors.

12 DR. APOSTOLAKIS: Are these accelerated tests?

13 MR. HACKETT: They are accelerated tests, and
14 that's part of what will be covered, too, the aging.

15 DR. APOSTOLAKIS: It will be covered.

16 MR. HACKETT: Yes.

17 DR. SEALE: You say 18 at 20 years, 23 at 40
18 years, 32 at 60 years. Are those aggregates? That is, is
19 the increment between 20 and 40 the difference between 18
20 and 23?

21 MR. HACKETT: I'd turn that over to Satish.

22 DR. AGGARWAL: The numbers are actually -- they
23 did the tests on 20, 40 and 60 years.

24 DR. SEALE: Yes.

25 DR. AGGARWAL: When they did the testing at 20

1 years, 18 percent of the cables failed had low IER. When
2 they were doing it for 40 years, it was 23 percent failure.

3 DR. SEALE: Twenty-three percent more.

4 DR. AGGARWAL: Of the set.

5 DR. SEALE: Of the set. So that included the 18,
6 plus five more.

7 DR. AGGARWAL: No. We studied the independent
8 test. They are doing three tests.

9 DR. SEALE: Okay.

10 MR. HENDRICKS: One for qualified life equivalent
11 to 20 years.

12 DR. APOSTOLAKIS: It's cumulative.

13 DR. SEALE: There are separate ones, but --

14 DR. UHRIG: Separate tests.

15 DR. SEALE: Yes, okay.

16 DR. UHRIG: Not the same cables.

17 DR. SEALE: Not the same cables, but presumably
18 comparable sensitivities.

19 DR. UHRIG: Yes.

20 DR. APOSTOLAKIS: And clearly it's not linear.

21 DR. WALLIS: The rate slows down.

22 DR. SEALE: Well, it's saturating.

23 DR. SHACK: You can only get so dead.

24 DR. SEALE: That's right. That's the truth.

25 MR. HACKETT: Dr. Shack's comment is probably

1 particularly appropriate. When we pass some of these
2 samples around, I think you will see that some of these,
3 that the failures can be fairly dramatic, particularly with
4 the bonded jacket cables.

5 DR. SEALE: Now, let's don't make bad comments
6 about geriatric activities.

7 MR. HACKETT: Okay.

8 DR. APOSTOLAKIS: You will talk about these tests
9 later?

10 DR. AGGARWAL: Yes, sir, if you'd like to hear.

11 DR. APOSTOLAKIS: Well, if you talk, I'll hear.

12 MR. HACKETT: Trying to move across the chart
13 here. Out of the research work and the regulatory summaries
14 that were issued, that coupled with some knowledge of what
15 was going on in terms of operating experience is what you
16 see on the center of the chart, led to some additional staff
17 actions.

18 There was an EQ task action plan which was
19 promulgated in 1993, which was at least one of the issues
20 that was to be addressed in that task action plan was
21 looking at accelerated aging and was accelerated aging
22 indeed a valid way of coming at this thing.

23 And for those of you who have been involved in
24 this, this involves the Arrhenius methodology. I think the
25 bottom line is what comes out of that is, yes, that's a

1 valid methodology, as long as you're not changing the
2 mechanisms of the cable aging or failure as you're going
3 through that process.

4 The EQ task actin plan was actually closed by the
5 NRC in 1998. The long-term research aspects of that were
6 transferred into a new GSI, 168 at the time, which is the
7 subject of why we're here.

8 Then there was ongoing research, obviously,
9 associated with that GSI. Forth-three technical issues,
10 you'll see, at the bottom of the slide, that were identified
11 and that was in NUREG-CR-6384 in 1996.

12 Those research issues have been ongoing or
13 addressed in ongoing research for us at the Brookhaven
14 National Laboratory.

15 I should also point out that the industry has also
16 been active in this area, even more so now with the EPRI/DOE
17 NEPO program. There is continuing work at Sandia and
18 elsewhere under EPRI funding working in this area, too.

19 Some of the cable types that are addressed you can
20 see under the box that has the EQ aging research under
21 Brookhaven identified. We've seen failures across many
22 different types of manufacturers.

23 Again, Satish and Bob maybe will get into some
24 better definition here as we go through what is or isn't a
25 failure, some of that is problematic in how you define these

1 things.

2 But to the -- maybe just the final point, the top
3 of the chart, obviously, considering license renewal, I
4 think one of the things we'll come to in summary is that the
5 situation for the operating plants I don't think we feel is
6 any dire situation by any stretch.

7 For the license renewal period, on the other hand,
8 we think something is going to need to be addressed. The
9 something and the process and the approach for doing that,
10 like Mike was saying, remains to be determined or proposed
11 by the staff, but that's sort of where we are now.

12 DR. WALLIS: Excuse me. Your 18 percent at 20
13 years is the result of some research program.

14 MR. HACKETT: That's correct.

15 DR. WALLIS: There have been plenty of cables out
16 there for 20 years already. So how do they do? Do they
17 fail at the same rate?

18 DR. AGGARWAL: When you're talking about 20 years
19 cable, you're talking about the operating plant and their
20 operating environment. When we are talking about the test
21 here, we're talking about an axial cable and LOCA test. We
22 are not reporting here these are expected failures in the
23 operating plant in normal life.

24 DR. WALLIS: How do we relate these numbers to
25 reality out there in the plant?

1 DR. AGGARWAL: I'm going to talk about it as we
2 proceed.

3 MR. HACKETT: That part will come. As a matter of
4 fact, what I will do at this point is just outline the
5 remainder of the presentation. I will turn this over at
6 this point to Dr. Aggarwal and he will summarize some of
7 these tests, and Bob Lofaro is here, also, from Brookhaven
8 to assist with that, if needed.

9 In the part of your package that goes to the risk
10 considerations, Mark Cunningham will address those.

11 At this point, I guess I would say, though, if
12 there are any overall questions on this background for any
13 of the staff that are here present, this would probably be a
14 good time to hear those. Otherwise, we'll just go ahead and
15 move on.

16 Hearing none, I will turn this over to Dr.
17 Aggarwal.

18 DR. AGGARWAL: Thank you, Ed. Before I talk about
19 the program, and what we have done or not done, I would like
20 to take the opportunity to pass the board, on my right side,
21 which shows you the typical I&C cable which are used in a
22 nuclear power plant and these are new cables.

23 On my left, I am going to pass some photographs of
24 the cables which are degraded conditions during the test.
25 Another example I am going to hand you is the Okonite 40 year

1 post-LOCA cable, and please look at the condition what we
2 found.

3 The next sample I have is the anaconda cable,
4 three-conductor, No. 12, unbundled cable.

5 DR. UHRIG: These are used primarily for signals,
6 I&C signals.

7 DR. AGGARWAL: That's right. All the cables which
8 you are looking at are used in a nuclear power plant for
9 instrumentation and control only. We are not discussing any
10 power cables at all.

11 DR. UHRIG: What voltage?

12 DR. AGGARWAL: Normally, they are rated 600 volts,
13 but in a plant, they may be 24 volts.

14 DR. UHRIG: Twenty-four volts mostly.

15 DR. AGGARWAL: Right. I am going to pass another
16 sample of a Rockbestos cable, which is a two-conductor XLPE
17 neoprene. This is the 40-years aging and you will see the
18 cracks which are visible for -- without glasses you can see
19 them. At the same time, you will see the jacket is brittle.

20 I am going to pass two samples, one on this side
21 and one on that side. These are the Samuel Moore cables,
22 which are two-conductor, No. 16, EPR Hypalon bonded, which
23 fail during our look at test No. 4.

24 Before I go further, that we were, in other
25 program, looking at only three types of these cables, which

1 are most popular and commonly used in nuclear power plant,
2 and the sample which I've passed on to you, the big board
3 has all those three kind of cables and the other sample
4 which I am passing on are essentially the cables which
5 failed or did not meet our acceptance criteria.

6 Now, this two-sample, Samuel Moore, which I passed
7 on, you will see some cable mark, black spot. This is where
8 the cable failed. One rad conductor was punctured.

9 The next sample I have is a Rockbestos XLPE
10 neoprene, 60 years, which is similar to test No. 4. And if
11 you look at it, you will see how the jacket is cracked and
12 is brittle.

13 Another sample I have here to show to you is after LOCA test
14 and, again, this is Rockbestos XLPE neoprene jacket, which
15 undergone 60 years of aging and post-LOCA.

16 DR. UHRIG: I want to make sure I understand.
17 This is aged to 60 years and then --

18 DR. AGGARWAL: To a LOCA.

19 DR. UHRIG: Then to a LOCA.

20 DR. AGGARWAL: Bob, if you like, I can run down
21 basic program how we do the testing.

22 DR. UHRIG: I think it would be useful to at least
23 give us a quick review of that.

24 DR. AGGARWAL: Let me do that. Let me finish one
25 more sample and I go back to that.

1 DR. UHRIG: All right.

2 DR. AGGARWAL: This cable is the Okonite cable, 60
3 years of aging, post-LOCA, and look at the -- this thing
4 completely failed. You could see the conductor through all
5 the length.

6 DR. WALLIS: If we handle this, it will fail some
7 more.

8 DR. AGGARWAL: I just wanted you to have a feeling
9 what you're going to look at.

10 This is the Anaconda cable, which is 40 year and
11 post-LOCA, and you could see, again, the cable here.

12 DR. WALLIS: Is it the LOCA that does this to it
13 or is it in pretty lousy shape before?

14 DR. AGGARWAL: It depends on what kind of
15 insulation you are looking at.

16 DR. UHRIG: Do you have any test where you apply
17 the LOCA to brand new cable?

18 DR. AGGARWAL: Yes, sir. Each cable, we had a
19 controlled sample.

20 DR. UHRIG: Controlled sample.

21 DR. AGGARWAL: Yes. Let me go through the process
22 very quickly and that will give you some understanding.

23 As discussed earlier, you know that our
24 requirement is 10 CFR 50.49, the EQ rule, the reg guide,
25 they require that cables be qualified and qualification here

1 is a verification of design.

2 Namely, we want to ensure or assure us that these
3 cables will perform their intended safety function in the
4 expected environment.

5 It is a one-time test. Although the reg guide
6 makes it implicitly that this qualification should be
7 maintained for 40 years, qualified life. But there is no
8 explicit requirement in our regulations which require that
9 we do any kind of monitoring.

10 Keeping that in mind, let me try to explain the
11 qualification process to you. What we do here, we will take
12 a brand new cable, and our goal here is to bring that cable
13 to the end of life, qualified life.

14 If it is 40 years, then we want to bring that cable to the
15 equivalent to at the end of 40 years, and the reason being,
16 a LOCA can take place at 39 years and 364 days.

17 So we are trying to bring that cable to end of
18 life condition. How do I do that? Because we don't have a
19 nuclear power plant which have seen 40 years of natural
20 aging.

21 So we had to go and look at the Arrhenius theory
22 and see what does it tell me. Coupled with the activation
23 energy, we come out with a certain number. That for so many
24 hours, if you preheat the cable at this temperature, then we
25 will consider that this is equivalent to 40 years.

1 Now, NRC paid no role in arriving those
2 conditions. The manufacturers were told that go ahead, we
3 understand their limitation of the Arrhenius theory, but
4 come back with those parameters and those parameters were
5 picked up by each manufacturer as he deemed necessary.

6 And it is also interesting to note, many times,
7 you find the same kind of material, but different
8 manufacturers. They came out with different numbers.

9 Again, NRC was not dictating those numbers, they
10 were there. In our test program, we decided that we will
11 use the same parameters which were originally used by the
12 manufacturer. We will make no deviation whatsoever in terms
13 of the rate of aging.

14 So what we do now, we'll take a cask sample, brand
15 new, put in an oven at a certain temperature, and for so
16 long time in each case as dictated by the manufacturer.

17 When that is done, then we will put, on the same
18 cable, the operation type radiation and then we go to the
19 accident rating.

20 Once that is done, then we go into what we call a
21 LOCA test. The guidance for test, how you do all these
22 things, is provided in IEEE 323.74, which is endorsed by Reg
23 Guide 1.89.

24 Now, this particular standard for wide profile are
25 for B's and B's and they are typical and these standards

1 clearly state that you can follow them, they are typical, or
2 you can have plant specific.

3 My experience tells me that the industry chose to
4 use those profiles. The profiles were two peak profiles and
5 a single peak profile and, again, these profiles were
6 provided to us many, many years by manufacturer, vendors,
7 and they are typical in nature.

8 This is a two-peak LOCA profile and it's
9 definitely more conservative compared to a single peak.

10 Now, again, some manufacturers chose to qualify
11 their cables to two-peak rather than one peak and NRC
12 accepted both.

13 DR. WALLIS: You test in water. Are you going to
14 get to that?

15 DR. AGGARWAL: Yes, sir.

16 DR. WALLIS: Are you going to say what the water
17 is?

18 DR. AGGARWAL: Yes.

19 DR. WALLIS: Because there are all kinds of water.

20 DR. AGGARWAL: Then there are certain tests which
21 are called post-LOCA testing. What we are doing here, we
22 take the sample, which has come out of the LOCA test, after
23 doing some condition monitoring and so on, we apply what we
24 call the strain test. The cables are now submerged in
25 water.

1 This is a requirement of IEEE 383.1974 that these
2 cables must meet this requirement. The water is ordinary
3 tap water and it the cable is somewhat into it and we apply
4 80 volt per mil. Usually insulation is 30, so you see about
5 24 on the board.

6 Now, note it is not always necessary that cable
7 will fail at 2,400 volts. It can fail at any intermediate
8 range.

9 Any failure which cable, if it fails to withstand
10 water 2,400 or lower will be considered as failed.

11 DR. WALLIS: There's nothing about the chemistry
12 of the water. It's just some sort of tap water.

13 DR. AGGARWAL: It is tap water. This is what is
14 required under the standard and this is how the industry has
15 done.

16 DR. WALLIS: We don't have tap water in
17 containment after a LOCA.

18 DR. AGGARWAL: True. That's very much true, but,
19 again, we are just meeting acceptance criteria in the 1970
20 timeframe and -- I'm just telling you what industry doing or
21 what we have done.

22 DR. SHACK: Again, so you're not irradiating the
23 cables. You're simulating all the damage by some sort of
24 aggressive thermal history. Is that the idea?

25 DR. AGGARWAL: No, we are putting radiation doses,

1 too, as a part of the pre-aging. We go through the sequence
2 of thermal aging and then the normal radiation and then the
3 accident radiation.

4 DR. APOSTOLAKIS: So the normal radiation you use
5 some kind of a law like the Arrhenius law again to determine
6 the time?

7 DR. AGGARWAL: Generally, as I said earlier, the
8 industry came and 50 mega rad was considered to be standard
9 number that the industry has used, not only in this country,
10 but throughout the world, for qualification.

11 One may argue, and that is my next point, people
12 have argued that these tests are too conservative and I want
13 to present my personal point of view, not NRC staff point of
14 view, my personal point of view are these tests are really
15 conservative.

16 The first point is that pre-aging environment,
17 which we are preconditioning the cables to, as I told you
18 earlier, you take the activation energy, the Arrhenius
19 theory tells you so many hours equivalent.

20 DR. APOSTOLAKIS: But that's for temperature.

21 DR. AGGARWAL: That's the temperature, the time.

22 DR. APOSTOLAKIS: Not for radiation.

23 DR. AGGARWAL: No.

24 DR. APOSTOLAKIS: Okay.

25 DR. AGGARWAL: So now people say, well, in a

1 nuclear power plant, you never see those conditions. All
2 right. Sitting here, I don't know any better matter to
3 bring a cable to the end of condition 40 years life. Only
4 thing I know of the limitation, the Arrhenius theory, and
5 this is what we do --

6 DR. APOSTOLAKIS: I don't understand this. You
7 never see what conditions? The temperatures that you are --
8 that is not the idea of an accelerator test.

9 DR. AGGARWAL: The idea of accelerator test is to
10 bring the cable to the end of condition.

11 DR. APOSTOLAKIS: Right.

12 DR. AGGARWAL: Forty year. What industry argued
13 is that the tests are unrealistic. In real life, cable
14 never sees these kind of temperatures and the point I am
15 making there, the only criteria in the consensus standard
16 was to bring the cable to the end of life, 40 years, and
17 they came with a number.

18 Bottom line, I'm saying, in my opinion, those
19 numbers are not very conservative.

20 DR. APOSTOLAKIS: So if we put it in a different
21 way, the argument is that if you have a cable subjected to
22 temperature T-1 for 40 years and then you have an
23 accelerated burst at a higher temperature for 260 hours, the
24 result of the test, the damage to the cable is not the same
25 as you would get for T-1 for 40 years.

1 DR. AGGARWAL: Some people argue. Let me make
2 another --

3 DR. APOSTOLAKIS: Is there any basis for that?

4 DR. AGGARWAL: Yes. Another argument -- may I put
5 -- from the industry's point of view, in my 30 years in EQ
6 at NR, what I found, any failure in the EQ area can always
7 be explained and you can declare victory.

8 I have not found, it is not because of requirement
9 are not very clear, explicit, they require single prototype
10 be tested.

11 If it fails, the staff didn't know. Industry is not
12 required to report, but declare cables are qualified and
13 they are there in the plant.

14 DR. WALLIS: Can you tell me more about this
15 accelerated test? You don't have to just do it at T-1 for N
16 hours. You can do it at a lot of temperatures for a lot of
17 different times and show that this fits on a curve and it's
18 all -- that, therefore, your theory is kind of valid. You
19 don't just do one test.

20 Isn't that done?

21 DR. AGGARWAL: No.

22 DR. SHACK: Was it done once upon a time?

23 DR. APOSTOLAKIS: Well, when Arrhenius proposed
24 the law, I guess he did it.

25 DR. SHACK: That's a long time ago.

1 DR. APOSTOLAKIS: It was a long time ago.

2 DR. SHACK: I mean, for the particular cable, I
3 presume that somebody did a test over a range of
4 temperatures and demonstrated a kind of linear behavior.

5 DR. AGGARWAL: No. They used the activation
6 energy and the Arrhenius theory and came up with a number.

7 MR. MAYFIELD: This is Mike Mayfield, from the
8 staff. If you go back into the polymer science, you'll find
9 that that kind of work has been done and the Arrhenius
10 applies for it, just like it does in metallurgy, as long as
11 you stay within the same degradation mechanism. If you go
12 high enough in temperature, the mechanism changes, the whole
13 thing is over.

14 From the test reports I've seen that we've
15 acquired, when they qualified a cable, they did not run at a
16 series of temperatures. They set out to qualify it for a
17 set of conditions where you could operate the cable
18 ostensibly at this set of conditions for 40 years and the
19 tests were run to qualify the cable to operate at those
20 conditions.

21 There weren't a series of tests run, but this --
22 now we're talking about the practical implementation of a
23 piece of science. The series of temperatures, that type of
24 work was done in the research labs effort the standard was
25 written.

1 So there is science that says as long as the
2 degradation mechanism doesn't change, this methodology
3 holds.

4 DR. SHACK: But, I mean, you've established that
5 the range we're extrapolating over is within the range that
6 people had demonstrated.

7 MR. MAYFIELD: Yes. Now, there's been subsequent
8 work done at Sandia looking at -- because some of the
9 polymers exhibit, which called non-Arrhenius behavior, and
10 Ken Gillen out there at Sandia has done some work looking at
11 why that occurs, when it occurs, and how to predict it.

12 And for the work we have here, we didn't feel like
13 it was much of an issue, but it's something that is being
14 followed up both through the DOE/EPRI NEPO program, as well
15 as stuff that we're at least following what's going on.

16 So there is continuing work in this area sort of
17 at the basic technology. What we are presenting today is
18 the application end of it. But the underpinning, the
19 scientific underpinnings are there.

20 It's just they're not -- it's not done for each
21 qualification test.

22 DR. WALLIS: Where does the conservative adjective
23 come in? I would think the question is are these realistic
24 tests. What is the difference?

25 MR. MAYFIELD: Well, the concern -- I think your

1 characterization is exactly right, Dr. Wallis.

2 DR. WALLIS: Are they realistic tests?

3 MR. MAYFIELD: It's are they realistic versus
4 conservative, the argument being that, oh, they're too
5 conservative, but then are they realistic, and I think it's
6 a fair question.

7 The issue was to try and qualify cables within an
8 envelope, if you will, for unrestricted use in the nuclear
9 power plant.

10 DR. WALLIS: Conservative, to me, would mean that
11 you look at the errors in the Arrhenius theory, the
12 deviations from it. You've got some kind of a bounding
13 thing because of the uncertainties and then you go to that
14 bounding test, and no one has said that anyone has done any
15 bounding test.

16 It looks as if this is some sort of an average
17 realistic type test that's being done. So we don't know the
18 limits in terms of a bounding conservatism.

19 DR. AGGARWAL: That's correct.

20 DR. WALLIS: Maybe the word conservative is
21 irrelevant in describing these tests.

22 DR. AGGARWAL: I was just trying to pose -- well, concluding
23 that, we do the same task, where I explained to you, that
24 some is in the water.

25 DR. WALLIS: And this is just tap water.

1 DR. AGGARWAL: Yes, sir.

2 DR. SHACK: Let me go back just to the question
3 again. Now, we did the pre-aging and then the irradiation.
4 Have people examined the effect of the sequences?

5 DR. AGGARWAL: Yes, sir, they have and there are
6 different conclusions. Incidentally, I've been already
7 reminded twice about the time. Last night I told my wife
8 that don't wait for dinner for me. So I will be here as
9 long as you want me. The pleasure is all yours.

10 DR. APOSTOLAKIS: But we will not.

11 MR. MAYFIELD: Dr. Shack, this is Mike Mayfield,
12 from the staff. The tests done at Sandia, in fact, do
13 simultaneous temperature and radiation exposures, but the
14 IEEE standard has sequential exposures.

15 I guess I personally don't know if someone has
16 swapped irradiation versus temperature, but I know that we
17 got somewhat different results and I think you'll see some
18 of that in the next couple of slides that Satish presents,
19 when we do simultaneous thermal and irradiation exposures
20 versus sequential.

21 The sequential appears to be more severe than the
22 simultaneous.

23 DR. UHRIG: What is the radiation test? Gamma?

24 MR. MAYFIELD: It's gamma.

25 DR. UHRIG: Is it Cobalt-60?

1 MR. MAYFIELD: Cobalt-60 sources.

2 DR. UHRIG: So it's not the same energy spectrum
3 that you might expect in a plant.

4 MR. MAYFIELD: It is not the same energy spectrum.
5 It was a TID source term estimation and they take a
6 cumulative gamma dose and irradiate the cables using the
7 Cobalt-60 sources.

8 DR. WALLIS: I guess all these questions wouldn't
9 be so important except that the failure rates are a
10 significant percentage, and therefore, we do have to worry
11 about the meaningfulness of the test.

12 DR. AGGARWAL: Bill, to your question, I just want
13 to add that in certain part of the world, for certain type
14 of insulation, they have found that if you do the radiation
15 first, and thermal things later, it's more conservative for
16 some type of insulation, not all, and it is consistent with
17 the conclusion what Sandia reached some time ago.

18 And, incidentally, I may also point out, in this
19 program, we have produced that many reports which have been
20 circulated around the industry and public for their comments
21 and their input will all be incorporated.

22 Now, I would like to move on to page 3.

23 DR. WALLIS: I'm sorry. This temperature test is
24 uniform temperature?

25 DR. AGGARWAL: Yes, sir.

1 DR. WALLIS: Are these cables carrying current?
2 They're not subjected to --

3 DR. AGGARWAL: Yes, sir. They are energized in
4 the nuclear power plant.

5 DR. WALLIS: They just set an environmental
6 temperature. There's no heating because of the current in
7 the cable.

8 DR. AGGARWAL: Right.

9 DR. WALLIS: Is that right?

10 DR. AGGARWAL: The temperatures, we tried to
11 maintain, what we came out, we are not giving any kind of
12 credit for the heating created by the current going through
13 the cable, because these are very small cables.

14 All we have a very limited current. So really
15 there's not a heck of a lot of current.

16 DR. WALLIS: Right, as long as someone has
17 assessed it.

18 DR. AGGARWAL: Yes. Well, we have taken those
19 temperatures which the manufacturers had taken. We did not
20 make an attempt how --

21 DR. WALLIS: It's just if you have a lot of cables bundled
22 up in a tray and they're all generating small amounts of
23 heat, it might affect the temperature in a way which isn't
24 just the environmental temperature.

25 DR. AGGARWAL: You're right, but again, normally,

1 on a controlled cable, you only allow 60 percent and there's
2 plenty of space and these cables are hardly getting any
3 current. They are control and indication type cables.

4 In this, all I want to tell you, if you go to the
5 bottom line, you will note this is what they found in
6 Sandia, two out of five fail in the 20 year, one out of
7 eight fail in 40 years, and five out of 11 fail, then we are
8 talking about 60 years

9 DR. WALLIS: Show us on the table where those are?

10 MR. SIEBER: The two and five are -- two failures
11 and five -- what is the category here, marginal criteria?

12 DR. AGGARWAL: Right.

13 MR. SIEBER: A and B, down here at the bottom.

14 DR. UHRIG: You had two failures and five marginal
15 is what that means.

16 MR. SIEBER: Out of 39.

17 MR. LOFARO: So seven had a problem out of the 39.

18 DR. UHRIG: Seven out of the 39.

19 MR. LOFARO: Two of them were failures and five
20 were just marginal.

21 DR. WALLIS: So two failed in that 20 years and
22 one failed in 40 years. So one that was failed recovered.

23 DR. AGGARWAL: It's a separate --

24 DR. WALLIS: I know it's a different test, but if
25 you think it's meaningful, that would look as if one

1 recovered.

2 DR. UHRIG: It's within the error of the
3 experiment.

4 DR. WALLIS: But then it seems a very small number
5 to rely on, doesn't it? If there is that sort of behavior.

6 DR. AGGARWAL: Well, it all depends, again, how
7 you define your acceptance criteria.

8 DR. WALLIS: I'm not defining anything. I'm
9 asking, really.

10 DR. AGGARWAL: In that particular test, the
11 criteria was that if you have higher value, they're lower,
12 or you blew a one-amp fuse, then it would be considered a
13 failure or marginal.

14 DR. WALLIS: But if I drew a curve of sort of
15 failure rate versus time and I had two and then one and then
16 five, with these numbers, I wouldn't have much reliance on
17 the meaningfulness of the result, would I? Very small
18 sample size and not even consistent with the trend.

19 DR. AGGARWAL: You're right. You're right. And,
20 again, we --

21 DR. WALLIS: So who decided these were adequate
22 tests?

23 DR. AGGARWAL: Who decided? They came out of the
24 IEEE Standard 323, which is a national consensus standard.
25 This is what EQ experts are telling is the right thing to

1 do.

2 DR. APOSTOLAKIS: Do they specify the size of the
3 sample?

4 DR. AGGARWAL: They don't talk, but it's implicit
5 that you take -- well, let me rephrase it. There's no
6 explicit statement in the standard what industry have done,
7 they test one sample and if that passes, you declare
8 victory.

9 DR. WALLIS: And if it doesn't?

10 DR. AGGARWAL: You test again.

11 DR. APOSTOLAKIS: We should risk-inform that.

12 DR. AGGARWAL: That is one of the concerns we
13 have, whether we should be doing.

14 DR. UHRIG: That's what the manufacturer does.
15 That's not what you did here.

16 DR. AGGARWAL: We reported what we found.

17 DR. UHRIG: You had about ten kinds of cables
18 here.

19 DR. AGGARWAL: Right.

20 DR. UHRIG: And I notice you had five specimens,
21 some you had two. Was there --

22 MR. SIEBER: Some you had one, the Anaconda.

23 DR. POWERS: Yes. And the question is how was
24 that number determined. On the basis of the amount of that
25 cable that was used?

1 DR. AGGARWAL: Not really. The number of the
2 cable size, then we had -- This will go, again, a little
3 more in detail. Some of the cables were around the
4 mandrill. Some were in the tray. And it's different --

5 DR. WALLIS: But does the failure rate depend on
6 how long the cable is? Is there one-inch cable or one-meter
7 cable and they're looked at the same way?

8 DR. AGGARWAL: I believe you use a ten-foot long
9 sample.

10 DR. WALLIS: The whole thing seems somewhat
11 strange.

12 DR. UHRIG: It also looks like certain cables,
13 like Anaconda, unbounded very well in this first test.

14 DR. AGGARWAL: Right.

15 DR. UHRIG: And on the other hand, it didn't do
16 very well over in the 40-year test on the second page.

17 DR. AGGARWAL: Correct. The second page you are
18 looking at are the tasks which we did at Wylie Testing Lab.

19 DR. UHRIG: It says Brookhaven here.

20 DR. AGGARWAL: Yes. Brookhaven don't have the
21 lab, the testing. They're actually Wylie Lab.

22 DR. UHRIG: So Brookhaven supervised it.

23 DR. AGGARWAL: Right. They have a contract on
24 this program. If I turn over to the next slide, again, this
25 is a summary of six tests which we did. And you see the

1 results. And I will be willing to answer your questions and
2 explain to you what those failures were

3 DR. WALLIS: Tell me. If you do five feet of one
4 foot of cable, is that different from doing one length of
5 five foot of cable? What's the difference? So why is it
6 five tests?

7 MR. LOFARO: What we did in the test program is we
8 used links of cable as recommended in the IEEE standard,
9 which is they suggest you use about ten feet so you get the
10 proper effect.

11 Obviously, if you took one foot of cable, you
12 might miss something. So you want to take a sample that's
13 at least representative of what the cable might actually see
14 in the plant.

15 DR. WALLIS: So they do specify a length.

16 MR. LOFARO: They recommend a length of about 10
17 feet, and that's what we use in our program. And what they
18 do is our program we actually wrap it around the mandrill,
19 so that there was a good ground plane.

20 DR. WALLIS: These five samples are put in the
21 same testing apparatus or they're tested at different times?

22 MR. SIEBER: They were all tested exactly the same
23 time. What we did is --

24 DR. WALLIS: So if I had 50 feet of cable, it
25 would be like having five pieces of ten foot.

1 DR. AGGARWAL: Right.

2 DR. WALLIS: Because they all put through the same
3 test.

4 DR. POWERS: They are all put through the same
5 test.

6 MR. PIETRANGELO: They go the

7 DR. AGGARWAL: Right. They go through the same
8 process.

9 MR. LOFARO: Well, what we wanted to do is we
10 wanted to have multiple samples in there so that we could do
11 some -- have some statistical accuracy on the samples. We
12 put control sin there that did not get aged. We also put
13 samples in there that were pre-aged to different years.
14 Some tests were 20 years, some tests --

15 DR. WALLIS: I'm sorry. The cable, the ten-foot
16 cable fails, does it fail in one place or in many places or
17 all over?

18 MR. LOFARO: Well, that's one of the things that
19 we were looking for and what we actually found is that some
20 of the cables had a global degradation. For example, the
21 Okonites I think that will be touched on.

22 We found some cables that only failed locally,
23 where most of the cable was in excellent shape, but when we
24 subjected it to a subjected it to a submerged high pot test,
25 there were single point failures in the cable.

1 So it depends on the type of cable you're looking
2 at and the materials they're constructed of and what
3 conditions they're exposed to.

4 DR. WALLIS: But you never seem to get five out of
5 view. Still, if you put these links in and one survives and
6 the others don't.

7 MR. LOFARO: If we had a case where there were
8 five out of five failures, I think that would clearly point
9 to a problem.

10 DR. WALLIS: I'm just kind of surprised that you'd take five
11 lengths of identical cable and do exactly the same test,
12 you'd think that in many of these tests, you either get the
13 all pass or they fail.

14 You wouldn't get many cases where one fails and
15 the others don't.

16 MR. LOFARO: Well, unless they're reaching a point
17 where the degradation is just borderline. Maybe there's a
18 problem, maybe there's not.

19 DR. APOSTOLAKIS: That means you have alliatory
20 uncertainty. That's exactly what you have.

21 DR. AGGARWAL: I think it's very difficult to predict how
22 many will pass or not. For example, Okanite cable, three
23 sampled or three failed out of three.

24 DR. WALLIS: But these cables are sort of
25 identical. The manufacturer --

1 DR. AGGARWAL: Yes. They are coming out of the
2 same reel. Mike wants to add something.

3 MR. MAYFIELD: I was just going to reiterate
4 something that I guess Satish had said earlier and ask you
5 to please keep in mind the qualifications standard is based
6 on one successful prototype test. The issue that we were
7 addressing with these cables is, is that realistic. If you,
8 in fact, test more than one sample, do you get all passes.

9 The notion that one prototype are ten foot length,
10 nominally, tested and successfully passes the IEEE test
11 qualifies all cable of that particular type is one of the
12 things we were challenging through this research.

13 So whether we got all passes or all fails, and,
14 Dr. Wallis, I think you talked earlier about are the tests
15 conservative or are they realistic, the part of the notion
16 is to put the cables through their original qualification
17 and with multiple samples, whether it's three or five, to
18 determine whether or not this notion that qualify one sample
19 and, therefore, all cables are qualified, is that really a
20 legitimate notion.

21 And I think at least these test results raise some
22 questions about that and it's a dialogue that we will
23 continue to have with IEEE.

24 DR. WALLIS: It may well be that to answer the
25 question, you need to test 100 of them.

1 MR. MAYFIELD: That's the question, is how large
2 would the sample have to be. Conversely, do you accept the
3 notion that this qualification standard doesn't get you to
4 all cables pass and, therefore, you test perhaps more than
5 one and then try and establish some failure frequency.

6 DR. WALLIS: Don't you have just experimental
7 theory that you're relying on to reach conclusions here?

8 MR. MAYFIELD: Say again, please, sir.

9 DR. WALLIS: Don't you have some kind of a
10 theoretical background about failures and statistics which
11 tells you whether or not this is a significant experiment,
12 what it means? I mean, this is a well worked area of
13 planning experiments and deducing things, making conclusions
14 when there are random variables.

15 DR. AGGARWAL: I'm aware, in Sweden, they use some
16 statistics and they came out with a sample size of ten, but
17 in U.S. it is one.

18 I think, as I recall, in that time arena, what
19 IEEE was worrying about, that if you do ten samples, or
20 hundred, for that matter, and they argued that nine out of
21 ten pass or 95 of 100 pass, do you declare victory or you
22 don't. And there were no criteria for them. So they
23 decided you just do the one test and the sense of the
24 standard now and with the whole work to do that.

25 Now, we can debate the numbers and --

1 DR. WALLIS: Well, victory should be based on some
2 logic.

3 DR. AGGARWAL: When we look back now and think,
4 you're right.

5 MR. MAYFIELD: Again, Professor Wallis, our
6 objective here was to challenge what was being done and to
7 see if it seemed to hold together. I believe the test
8 results here suggest there are some concerns. I think the
9 kinds of things you're raising, we agree with you. We're
10 not here to try and defend the standard, simply to report
11 this is what we followed and it seems to be raising some
12 questions.

13 DR. AGGARWAL: If I might turn to the next
14 viewgraph and give you some basic data out of the operating
15 experience. This is an EPRI report, July 1994, where they
16 collected some data from '68 to '92, and they found there
17 was 87 events which identified degradation of in-containment
18 cables.

19 We know that we have problem with the splices at
20 operating power plants. We also know that in our test, we
21 had the problem with splices in all the tests, test number
22 one, two and three.

23 Two passed without a problem, but first and three,
24 they said there was a moisture intrusion.

25 DR. WALLIS: Where did the moisture come from?

1 DR. AGGARWAL: Steam.

2 DR. WALLIS: There's a steam environment?

3 DR. AGGARWAL: Yes. It's a LOCA test.

4 DR. WALLIS: It's a LOCA test. I'm sorry. They
5 had actually had LOCA in operating experience?

6 MR. SIEBER: No, they tested it.

7 DR. AGGARWAL: I'm just making two points. One
8 was that there had been a problem --

9 DR. WALLIS: This is to support an LER, so it
10 can't be a LOCA test.

11 DR. AGGARWAL: No, no. Two points. There are
12 real life problems.

13 DR. WALLIS: But you said moisture intrusion in an
14 LER. So the moisture came from somewhere --

15 DR. AGGARWAL: No, I jumped a gear.

16 DR. WALLIS: I'm sorry.

17 DR. AGGARWAL: I think went to the second point to
18 make, that in our test, we also found problems with the
19 splices and there we have the LOCA.

20 DR. UHRIG: But you also have problems with
21 splices at the operating plants.

22 DR. AGGARWAL: That's right. That is the point
23 I'm making.

24 DR. UHRIG: There was not a moisture problem
25 there.

1 DR. AGGARWAL: No.

2 DR. UHRIG: That's probably a faulty splice.

3 DR. AGGARWAL: One of the reasons. But you know
4 when many of the LER do not go to root cause analysis and
5 tell you what really happened. But I know on a personal
6 experience that you ever try to splice cracked jackets,
7 splice it, you'll never succeed.

8 MR. CALVO: Jose Calvo, from the NRR staff. The
9 problem that we found with the splices in the operating
10 plants was just the way they was done, in the installation.
11 The procedures was not followed, they didn't make it long
12 enough.

13 Sometimes moisture was found in the terminal boxes
14 and things like that.

15 I think it was mostly installation, they didn't
16 follow procedures and I guess the same question was raised
17 when we were doing this test with Research and maybe the way
18 the splice was put together, it was not appropriate and
19 that's why the issue of failures.

20 MR. LEITCH: How were the 87 events identified?
21 Is that 87 LERs or how did they come up with the conclusion
22 that there were 87 events?

23 DR. AGGARWAL: This is a proprietary report of
24 EPRI, which I cannot really go more in detail. But the
25 conclusion, it is reasonable to conclude that those 87

1 events were independent events in different nuclear power
2 plants over the period.

3 MR. LEITCH: I would think there could be a
4 considerably larger number.

5 DR. AGGARWAL: Again, everyone is not reported.
6 You have in a plant, an MOV fails. What do you do? You go
7 there, you look at the cable, and you see, well, it doesn't
8 look very good, you disconnect the cable, put a new cable
9 and connect it up.

10 Do you report it to NRC as related? No.

11 MR. LEITCH: That's my point. There could be many
12 more than 87.

13 DR. AGGARWAL: Exactly. Exactly. In the LER and
14 the NPRDS data, we also found that there were specific
15 problems with the neutron monitoring systems and failures
16 were due to high temperature and moisture intrusion.

17 DR. WALLIS: Where did the moisture come from?

18 DR. AGGARWAL: In an operating plant, you might
19 have --

20 DR. WALLIS: Are these outdoor plants?

21 MR. SIEBER: It's in containment.

22 DR. AGGARWAL: It's in containment.

23 MR. SIEBER: If you get a little leak someplace,
24 humidity goes to 100 percent and --

25 DR. WALLIS: So these are associated with some

1 other event, like a leak.

2 DR. AGGARWAL: Right.

3 DR. UHRIG: Why was the neutron detecting cable so
4 sensitive?

5 DR. AGGARWAL: Radiation.

6 DR. UHRIG: Neutron monitoring cable.

7 DR. AGGARWAL: Radiation. And as I was talking to
8 you earlier today, my basic concern is every plant had hot
9 spots.

10 DR. UHRIG: Right.

11 DR. AGGARWAL: And my concern is do the plants
12 really know where their hot spots are, are they really
13 monitoring those environments, are they really doing
14 something, I have no idea.

15 Then we talked to the industry informally, they
16 say, yeah, many utilities do, but are they all doing, I
17 cannot answer that question.

18 MR. SIEBER: I don't think that you survey to find
19 every place where there is a neutron beam. A lot of times a
20 cable would fail on a power range monitor at the connector.

21 DR. AGGARWAL: Right.

22 MR. SIEBER: Because it's exposed there and it's
23 in a pretty high neutron flux. Find the same things on the
24 top of the reactor head and a lot of utilities will end up
25 shortening and shortening and shortening the cable until

1 they run out of cable because it ages right where the
2 connector is.

3 DR. AGGARWAL: And they made choose to make a
4 splice there.

5 MR. SIEBER: Well, other ones may have. Splices
6 are more trouble than you can imagine.

7 DR. AGGARWAL: I would like now to turn over the
8 rest to Mark. The only point I want to make, that cable
9 aging can be this significant and let the expert take over.

10 MR. CUNNINGHAM: Thank you, Satish. I've got a
11 couple of slides to talk about the context of what the
12 implications are of aging I&C cables might be to risk
13 assessment.

14 Over the years, there's been about three studies
15 done, risk studies done to look at this issue. All of them
16 have really been in the form of sensitivity studies, because
17 you get to -- basically, get to a -- you require a level of
18 information to go beyond the sensitivity study, which we
19 just don't have.

20 We don't have the information to work with. These
21 studies have generally shown that the risk of aging I&C
22 cables could be significant under a certain set of
23 conditions.

24 The studies looked at basically a spectrum of pipe
25 breaks or LOCAs in the containment and tried to deal with

1 the fact that the LOCAs would create an environment, a hot
2 spot, if you will, either very locally in a small break or
3 very broadly in a large break that could compromise these
4 cables, as we've seen.

5 Then the question becomes, well, if the cables
6 fail, what does that do to the function that's associated
7 with those cables or does it give a set of indications to
8 the operator that could mislead or confuse them.

9 So the cable failures, either the gross cable
10 failures or the leakage types of failures have the potential
11 to certainly complicate the accident or remove equipment,
12 make equipment unavailable, or to confuse the operators.

13 To go beyond anything like kind of a general
14 sensitivity study, that you need a fair amount of
15 information that we don't have, and that's kind of itemized
16 on slide seven.

17 In the context of the cables themselves, you need
18 to have a sense of what types of cables are associated with
19 what types of equipment in the containment and what are the
20 more critical pieces of equipment in the containment. You
21 also need to have a sense of the extent of aging of those
22 cables, those particular cables and then relate that to the
23 cables and the location of the cables to the potential
24 environment.

25 Again, the offer of what's the relationship

1 between the hot spot from a pipe break or something like
2 that with a cable of a certain type for an important piece
3 of equipment. The other part of that is given these types
4 of things, how would the operators respond. Do partial
5 failures of cables cause more problems than complete
6 failures and that sort of thing.

7 So the risk study in this case is, in one sense,
8 simple. It could be important. We don't have enough
9 information on the details of where the cables are and the
10 functions and the types of cables and that sort of thing to
11 take it much more than saying it could be.

12 DR. WALLIS: You're saying this is what you would
13 have to do if you were to do a risk assessment, but
14 essentially a risk assessment has not been done.

15 MR. CUNNINGHAM: A risk assessment has been only
16 done in a very general sense, gross sense, that's right.

17 DR. UHRIG: So one of the considerations you have
18 under study is to seek this information from the utilities.

19 MR. CUNNINGHAM: That comes back to Mike's
20 discussion earlier of the options for pursuing this generic
21 issue.

22 DR. UHRIG: This is one of the options then.

23 MR. CUNNINGHAM: That's one of the things we talk
24 about, yes, is trying to obtain this information from
25 licensees.

1 MR. LEITCH: Would you expect that BWRs would be
2 significantly different than PWRs in this case? I'm
3 thinking about under the bottom hood of the BWR, there's
4 just a real rat's nest of cables under there.

5 MR. CUNNINGHAM: I couldn't tell you whether that
6 would be more important or not.

7 MR. MAYFIELD: One of the scoping studies looked
8 at BWR versus PWR and the B's came in somewhat lower, but,
9 again, it went to -- I wouldn't want to put a lot of
10 credibility in the number simply because of the level of
11 uncertainty and the level of information we don't have to do
12 it.

13 But they weren't -- it wasn't night and day, but
14 the B came in lower than the P.

15 DR. UHRIG: What about international studies, what
16 else is going on? I remember hearing a report about five or
17 six years ago on some work in Sweden, I believe it was. A,
18 do you have access to it, and, B, is it comparable results?

19 MR. MAYFIELD: We have had and continue to have
20 dialogue with the international community. There was work
21 in Sweden. In fact, we had talked with the plant manager
22 from Oskarshamn about the possibility of getting -- they
23 re-cabled the plant when they basically rebuilt it.

24 We've had some dialogue with them about trying to
25 get aged cable samples for testing. We've had dialogue with

1 the Germans, fairly active dialogue with the Germans, as
2 well as with the French. The Japanese have ongoing programs
3 and we've had some dialogue with them.

4 I think, in general, the observations are
5 consistent. I wouldn't want to say that there have been
6 strict comparison tests.

7 There was a coordinated research program with IAEA
8 that Bob Lofaro was involved in looking at this. So there's
9 been a fair bit of international dialogue. The staff does
10 have access to, I guess, most of that information.

11 DR. UHRIG: Are they carrying out any of the kind
12 of analysis that you would -- a risk analysis for which this
13 type of data would be sought?

14 MR. MAYFIELD: I guess that I don't know. I'm not
15 aware of any of those studies, unless Mark is.

16 DR. AGGARWAL: If I may, different country have
17 different approaches. When you look at Germans, they don't
18 go in PRA. What they do, they put sample, what they call
19 cable deposits, and then they do the testing every five
20 years and then they determine whether they have level of
21 confidence or not.

22 When you talk to the French, their LOCA profiles
23 are somewhat lower than ours and they qualify the cable
24 using IEEE standard.

25 Sweden, we have all the reports you describe in

1 our office here and we have looked at them. We have visited
2 Sweden several times and our conclusion is theirs are
3 totally consistent with ours.

4 IAEA program, which Mike just mentioned, they are
5 coming out with a guidance document, a guide and the
6 recommendation is this is the international feeling that
7 these cables you qualify one time, you simply can't walk
8 away from these cables and do nothing. They want to see
9 some kind of condition monitoring be done.

10 IAEA is going to come soon, the guide will be
11 printed there recommending condition monitoring in certain
12 areas.

13 DR. UHRIG: And yet we have 70 percent of our
14 plants grandfathered to where no additional monitoring or
15 any additional work is being done.

16 DR. AGGARWAL: Actually, 100 percent plants are of
17 that view. We do not require any kind of monitoring. If
18 you turn over to my next slide --

19 MR. MAYFIELD: If I could -- I want to come to
20 that. It gets to be an important distinction, I think,
21 between what we require versus what the industry may or may
22 not be doing.

23 DR. UHRIG: Some of them are doing something and
24 some are not.

25 MR. MAYFIELD: I think that's an important

1 distinction. We are not in a position today to tell you
2 exactly what they are or are not doing. We know that some
3 have aging management programs, if, for no other reason or
4 for no other examples than the Calvert Cliffs and Oconee
5 plants in their license renewal applications.

6 So we know that there are programs in the
7 industry. We can't tell you definitively how widespread
8 they are or how effective they are, but I would not want to
9 leave the committee or the public with the impression that
10 nothing else is being done just because it's not required.

11 DR. UHRIG: But you do have resident inspectors in
12 every one of the plants.

13 MR. MAYFIELD: Yes, sir.

14 DR. UHRIG: Do they undertake to report this type
15 of thing?

16 MR. MAYFIELD: No, they do not.

17 DR. UHRIG: They do not.

18 MR. MAYFIELD: It's not part of their regular
19 inspection program.

20 DR. UHRIG: It's not part of their responsibility.

21 MR. MAYFIELD: No, sir.

22 DR. POWERS: Can we come back to the risk
23 significance? Give me a feel for what happens in a sequence
24 where instrument aging becomes -- loss of instrumentation
25 becomes important? I can imagine it in a long-term station

1 blackout for a boiler. What PWR sequences get me in trouble
2 here?

3 MR. CUNNINGHAM: You can take -- I guess I'd start
4 with LOCAs, LOCAs where you have some -- if you have some
5 unfortunate interplay between the location of the pipe
6 break, the type of cable that might be in that vicinity, and
7 the function that that cable is associated with, that if you
8 could first compromise the function by not causing actuation
9 or something like that.

10 DR. POWERS: Sure, I understand. But what am I
11 trying to actuate?

12 MR. CUNNINGHAM: Again, it depends on the
13 different types of plants, what type of equipment could be
14 important, but, again, small LOCAs, you'd be interested in
15 the relationship of cables that might actuate high pressure
16 injection, for example, or perhaps, more importantly, cause
17 signals to either the automatic signals or the signals in
18 the control room on that equipment to be either wrong or
19 confusing or that sort of thing.

20 MR. SIEBER: But the valves and the pumps are in
21 the aux building.

22 MR. CUNNINGHAM: Yes.

23 MR. SIEBER: What you're really trying to do is
24 trip the plant right away and usually you can see that on a
25 loss of pressure before the containment environment gets so

1 bad that it will wipe out the cables. Then the other thing
2 you have is you get a phase A and B containment isolation,
3 and almost all the isolation valves are air operated valves
4 with solenoids, but they fail closed if it were to short out
5 or the fuse would blow.

6 But you're talking a hundred of those that have to
7 operate probably. And beyond that, everything else is
8 outside containment, except you probably -- if everything
9 went, you couldn't monitor pressurizer level, pressurizer
10 pressure, reactor pressure, steam generator levels.

11 You would be able to monitor pressure because the
12 isolation valve for the steam generators is outside
13 containment.

14 DR. POWERS: What you are concerned about is an
15 increasing fraction of accidents ordinarily terminated
16 benignly that go on to core melt, is what you're concerned
17 about.

18 MR. CUNNINGHAM: That's right. That's right.

19 MR. DUDLEY: This is Noel Dudley. Just to put it
20 in another perspective, each step in your emergency
21 operating plan is based on an indication, it's better
22 supplied by one of these cables.

23 MR. SIEBER: That's right. It's either a go or a
24 no-go to the next step.

25 DR. WALLIS: So something like pressurizer level,

1 if it was malfunctioning, gave a wrong indication, might
2 really upset the operator's response.

3 DR. AGGARWAL: Yes, that's correct.

4 MR. CUNNINGHAM: Either change it or delay it or
5 something like that, and that's a big aspect of the risk
6 part of it that we don't have a good handle for.

7 MR. SIEBER: But that's practiced in the simulator
8 a lot. That's part of operator training to simulate failed
9 instrumentation and have the operator detect it and decide
10 what to do. You've got several different level instruments.

11 MR. CUNNINGHAM: Just to be clear, we're not
12 suggesting that this is a risk issue. We're saying it could
13 be under a certain set of conditions.

14 MR. SIEBER: That would be hard.

15 MR. CUNNINGHAM: We're kind of caught and not able
16 to go much further without a substantial increase in the
17 amount of information that we have.

18 DR. POWERS: It's not going to increase the number
19 of initiators and it's not going to change those accidents
20 that we think go to core melt now, which is increasing the
21 number, the sequences to go to core melt, that's all.

22 MR. SIEBER: A homemade success path.

23 DR. POWERS: Yes.

24 MR. HACKETT: Let's go ahead and see if we can
25 move to our summary here, of what is obviously not the

1 prettiest of stories, let's say. I think that's been
2 covered in significant depth here on some of the points Dr.
3 Wallis made and I think they're very well taken and there's
4 going to be more work to be done, suffice it to say.

5 But I think the first bullet we really covered,
6 the regulations, as Dr. Aggarwal emphasized, don't require
7 periodic inspection or monitoring and in some cases, we
8 could get into a discussion of that being problematic, given
9 access and so on and some of the techniques that are
10 available, maybe push the state-of-the-art.

11 But there are periodic spec surveillance tests
12 that do evaluate operability that these systems are
13 energized, but, of course, they're not of the capability to
14 evaluate aging and the degraded state of the cables and any
15 pending failures that might result.

16 The operating experience that Dr. Aggarwal
17 summarized does indicate some service-induced degradation
18 that was attributable to the elevated temperature conditions
19 and some moisture intrusion, but I think, also, as was
20 pointed out, I'm sure there are plenty of other conditions
21 that were not captured here.

22 In addition, there are things, cable failures
23 related to other than specifically aging degradation.

24 Maybe the most important point so far is that the
25 -- and I think Mike Mayfield summarized this pretty well.

1 The research results from both Sandia and Brookhaven and
2 Wylie, which are separated by at least five or six years,
3 and maybe emphasize some slightly different aspects of the
4 qualification, both show that not all these cable types
5 would do this qualified life type of function, even for 40
6 years, considering a LOCA.

7 And at 60 years, this becomes more problematic,
8 but, again, this is assuming that you're operating these
9 things at the rated temperatures.

10 The caveat at the bottom says, of course, that the
11 realistic conditions are in-plant service generally less
12 severe than the parameters used for these qualifications.

13 DR. WALLIS: How about 20 years? You said 40 and
14 60, but I thought many of your significant number failed in
15 the 20-year.

16 MR. HACKETT: That's a good point.

17 DR. WALLIS: That's before license renewal.

18 MR. HACKETT: That's correct. I guess we're
19 jumping ahead a bit. There's at least one of the summary
20 pieces here that covers that.

21 DR. WALLIS: Okay.

22 MR. HACKETT: The next slide, Mark, again, just
23 summarized pretty well. The risk studies can give you
24 relatively high CDF values, again, conditioned on some of
25 these situations, are all the cables failed during a LOCA.

1 The bottom line is I think we're in need of
2 further research data to support a sufficiently low failure
3 rate or to get the CDF values to an acceptably low level.

4 We are planning currently on Mark's branch doing
5 some work in this area over the next year or two and we have
6 budgeted for that in our budget cycle.

7 The risk studies, Mark, again, emphasized, are not
8 as definitive because of lack of detailed information. One
9 of the things that was discussed is that may be one of our
10 -- at least one of the options under consideration by the
11 NRC staff is to -- how to go about obtaining that
12 information, if it's decided that that's needed to be done.
13 That's one of the issues under consideration.

14 More data is obviously needed to really more
15 carefully define the risk significance.
16 I think it's pretty clear, one of the messages, I think, we
17 wanted to leave with the committee, it's pretty clear that
18 these research data, at least as limited as they are, as Dr.
19 Wallis pointed out, I think this is definitely one of those
20 cases and experimental endeavors where you would certainly
21 like to have more data, but these LOCA tests are expensive,
22 we are resource limited.

23 There is certainly a strong indication there that
24 something will need to be done for aging management for
25 license renewal, some way this particular phenomenon will

1 need to be addressed for the license renewal period.

2 And at the bottom, that the staff is, at this
3 point, still considering resolution options, I think, one of
4 which was discussed here for the current license term, but
5 the staff has not reached consensus on the resolution
6 approach.

7 I guess with that, that summarizes what we had --
8 again, as Mike mentioned, when we came down here, we wanted
9 to be able to tell you more about this resolution path and
10 hopefully we'll be able to be back soon with a story for you
11 there.

12 But at this point in time, that kind of summarizes
13 what we had planned to say and be glad to take any
14 questions.

15 DR. UHRIG: What other options are you looking at,
16 other than a reporting requirement?

17 MR. HACKETT: Did you want to take a crack at
18 that, Mike?

19 MR. MAYFIELD: We literally have been discussing
20 things that range from no action, other than supporting what
21 would -- what has gone in the license renewal world, up
22 through the potential for a rule change to 50.49.

23 I would not want to suggest to you that any one of
24 those is a favored approach at this point, but it's that
25 full range of options that have been being discussed, ways

1 to solicit more information, generic communications, all of
2 those regulatory devices are being discussed and we are just
3 not in a position today to say yes, this is -- even the
4 direction we're leaning.

5 But virtually all of those options have been
6 discussed in one form or another.

7 DR. UHRIG: I gather from reading the document
8 here that the Commission has faced this issue in the past
9 and backed away from it, in the sense that they did not
10 require backfitting.

11 MR. MAYFIELD: They did not require backfitting
12 when they imposed 50.49.

13 DR. UHRIG: And the rule came along and they had
14 not really imposed and the grandfathered plants.

15 MR. MAYFIELD: And when we saw the data, the
16 Sandia data in the early '90s, the information notices went
17 out, the staff went to the task action plan. A number of
18 things were evaluated at that point.

19 We came to these 43 issues through a series of
20 public meetings we held with the industry. We got a lot of
21 additional information from the industry, additional test
22 reports that helped us resolve most of those 43 issues.

23 We got it down to six that were being addressed
24 through the specific research program.

25 So as we've laid this information out for the

1 industry, they very quickly provided significant additional
2 information that we were able to evaluate and resolve most
3 of those 43 issues.

4 DR. UHRIG: Could you identify the six issues that
5 remain?

6 MR. MAYFIELD: Not off the top of my head, but I'm
7 betting Satish can.

8 DR. AGGARWAL: I can. Bob, one more point I
9 wanted to clarify before I go to the six issues.

10 Number one, when we were writing the rule and reg
11 guide in 1981-82 timeframe, we did not have any data which
12 indicated failure. The issue before the Commission of
13 backfitting was not whether you require condition monitoring
14 or not.

15 The issue at that time was that in '79 and 1980,
16 in response to the Commission memorandum and order, plants
17 were required either to meet the guidelines or NUREG-0588
18 requirements.

19 What the Commission ruled or decided at that time,
20 that billions of dollars were spent in qualification, so
21 they don't want to go back and ask the operating plants to
22 do more requalification based on new requirement.

23 But there were no issues at that time that
24 condition monitoring required or not required. When we
25 wrote the Reg Guide 1.89, the staff was concerned and we did

1 put in our discussion portion that consideration should be
2 given for some kind of monitoring, condition monitoring,
3 because we cannot really bring any test lab a cable at end
4 of life at 40 years.

5 So we must do something. If you read very
6 carefully IEEE standard 323.74, it also encourages people to
7 do something. The Commission was informed by a SECY paper
8 that the staff feels, at that time, like '97, that we think
9 some kind of condition monitoring should be done, and this
10 is a part of the SECY paper.

11 Now, let me turn over to your question about those
12 six issues.

13 Ed pointed out to you that we have 43 issues and
14 our plan is to look only at the six.

15 The first issue we wanted to look at was basically
16 a comparison between the accelerated aging techniques and
17 also what we find in real life, how these properties
18 compare.

19 The staff has concluded that the accelerated aging
20 techniques are acceptable.

21 Issue number two was the people are using
22 different numbers for the activation energy and we want to
23 go back and look at what the limitations are, what the
24 industry has done is right or wrong. Our conclusion is
25 that, yes, they are using reasonable correct assumptions and

1 the staff is satisfied.

2 The number three was that do the multiconductor
3 cables have different failure mechanisms as compared to
4 single failure, single conductor, and if so, what are those
5 mechanisms.

6 The staff has concluded that really there is
7 nothing that measure, but we have problem with the Okonite
8 cable which I told you earlier. They were bounded cable,
9 which was the next item that do they have a failure
10 mechanism, bounded cable compared to unbounded cable.

11 In multiconductor or single conductor cable, we
12 did not find any major concern. But under the fourth issue,
13 we did have the problem with Okonite and we are dealing with
14 that problem or rather NRR with industry as to what to do.

15 DR. UHRIG: That's a one-brand problem.

16 DR. AGGARWAL: Right. We have found the next
17 issue is what we looked at or referred to as any condition
18 monitoring for determining cable condition, and our
19 conclusion is yes, there are several techniques they are
20 promising. They cannot be used for all kind of insulation,
21 including visual examination, walk-downs during refueling
22 and so on.

23 And the last issue was do we know if condition
24 monitoring cable, which not only tells you the health of the
25 cable, but can it predict whether the cable will survive a

1 LOCA, and the answer is we really do not have a definitive
2 answer.

3 In a nutshell, we found there were problems with
4 one of the cables. We found problems with the Samuel Moore
5 in test No. 4, where two out of three cables failed, 60
6 years, pointed out to you several times, almost every cable
7 had problem, either low value or simply failed.

8 In a nutshell, this is the story.

9 MR. HACKETT: Let me add one clarification, based
10 on Dr. Uhrig's question. Bullet number four, basically, the
11 bottom line there is that problem exists with any cable that
12 has Hypalon bonded to EPR, or could exist, depending on what
13 the aging parameters are.

14 So I guess I don't want to leave anyone with the
15 misconception that it may be only an Okonite problem. With
16 Hypalon EPR, that specific polymeric combination could lead
17 to problems, depending on what the aging parameters are.

18 MR. LEITCH: I'm concerned about the confusion
19 that could be caused in an operating environment post-LOCA
20 and it seems to -- by misleading or failed cables.

21 It seems to me that there is a rather small subset
22 of instrumentation in the control room that was especially
23 -- that was environmentally qualified, and I'm remembering
24 Reg Guide 1.33, I don't know if that's right number or not.

25 MR. SIEBER: 1.97.

1 MR. LEITCH: 1.97, was it?

2 DR. AGGARWAL: Post accident monitoring.

3 MR. LEITCH: Post-accident monitoring, yes. It
4 would seem to me that that subset of equipment would take a
5 higher priority in resolution than some of the other
6 instrumentation.

7 MR. SIEBER: But I don't recall that it had
8 anything special in terms of cable. It had independent
9 circuits and independent power supplies and you were able to
10 disconnect the control room part of that. And that goes to
11 the shutdown panel and you can control the plant from the
12 shutdown panel, but I don't recall anything special about
13 the cabling.

14 MR. LEITCH: I'm not saying there's anything
15 necessarily special about it, but a failure in that cable
16 would be particularly distressing to the operators. They
17 have been trained that that particular subset of
18 instrumentation is dependable post-accident.

19 DR. AGGARWAL: Your observation is correct. In
20 the rule, all post-accident monitoring equipment, cables
21 included, must be qualified to the requirement.

22 MR. SIEBER: Right.

23 DR. AGGARWAL: Because those instruments are not
24 treated safety-related, but the rule require if they are
25 variable one and variable two, as defined in the reg guide,

1 must be qualified.

2 So this is the regulation.

3 DR. UHRIG: Any other questions from members?

4 DR. POWERS: It seems to me one of the issues that
5 you need to chase down maybe a little more is the footnote
6 on one of your slides that says the service conditions are a
7 lot less hazardous, because you are working with an
8 Arrhenius expression, which is an exponential expression.

9 That could be a very, very significant change.
10 I'm not sure what your activation energies are here. I
11 myself have never been satisfactorily persuaded that
12 Arrhenius works that well for low temperatures, but I
13 understand the database that exists for polymers here.

14 But it seems to me that I would look and see if
15 perhaps there may be not too demanding in the aging
16 condition that we're presuming takes place at the beginning,
17 because I think you've got orders of magnitude there and
18 everything else is factors of two.

19 DR. WALLIS: I'm wondering about the real cause of
20 failures of cables. You seem to treat it as a chemical
21 phenomenon, but if you take a cable and bend it, put this
22 stuff under stress, then that changes the failure mechanism,
23 doesn't it? There are all kinds of things that contribute
24 to failure of a cable.

25 It's kinked or it's bent or it's under some other

1 kind of load or something, as well as the chemistry.

2 DR. AGGARWAL: That is true. Again, we were not
3 trying to reinvent the wheel and find some new mechanism.

4 DR. WALLIS: The real question is when do these
5 things fail or might they fail in a real plant, not some
6 abstract test somewhere.

7 DR. AGGARWAL: True.

8 DR. POWERS: I mean, what happens in the polymers
9 is that you start getting cross-linking and you lose
10 plasticizers. A combination of things makes them --

11 MR. SIEBER: Brittle.

12 DR. POWERS: Brittle, yes. Real brittle, as a
13 matter of fact. So anything that happens to it subsequently
14 cause fractures.

15 MR. SIEBER: In fact, one of the questions I
16 wanted to ask is when you wrap the wire around a mandrill to
17 test it, it then is subjected to the radiation and the LOCA
18 spray, do you unwrap it to do the voltage test? Because if
19 you do, it's going to crack to smithereens. Or do you test
20 it on the mandrill?

21 MR. LOFARO: We tested it on the mandrill. WE did
22 not --

23 MR. SIEBER: So you didn't even get that stress.

24 DR. POWERS: I'm also reminded by Dr. Kress that
25 Hypalon evolves hydrochloric acid as it ages, which has its

1 own ramifications.

2 DR. UHRIG: Any other comments? Any from the
3 staff? Industry?

4 If not, Mr. Chairman, back you.

5 DR. POWERS: Thank you. I'm going to recess us
6 for ten minutes. We can bring the transcription to a close
7 at this point.

8 [Whereupon, at 4:51 p.m., the recorded portion of
9 the meeting was concluded.]

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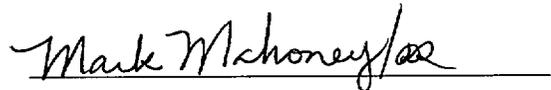
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