

WOLF CREEK

NUCLEAR OPERATING CORPORATION

Clay C. Warren
Vice President Operations Support

AUG 24 2000

CO 00-0051

U. S. Nuclear Regulatory Commission
ATTN: Document Control Desk
Mail Station P1-137
Washington, D. C. 20555

Subject: Docket No. 50-482: Licensee Event Report 1995-008-00

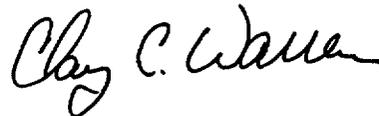
Gentlemen:

The enclosed Licensee Event Report (LER) 1995-008-00 is being submitted, pursuant to 10 CFR 73.71(b), regarding identification by Wolf Creek Generating Station (WCGS) personnel of an historical event that resulted in the granting of temporary unescorted access to an individual with previous criminal convictions.

There were no commitments made by Wolf Creek Nuclear Operating Corporation (WCNOC) in the enclosed LER.

If you should have any questions regarding this submittal, please contact me at (316) 364-4048, or Mr. Tony Harris at (316) 364-4038.

Very truly yours,



Clay C. Warren

CCW/rtr

Enclosure

cc: J. N. Donohew (NRC), w/e
W. D. Johnson (NRC), w/e
E. W. Merschoff (NRC), w/e
Senior Resident Inspector (NRC), w/e

IE22

LICENSEE EVENT REPORT (LER)

(See reverse for required number of digits/characters for each block)

Estimated burden per response to comply with this mandatory information collection request: 50 hrs. Reported lessons learned are incorporated into the licensing process and fed back to industry. Forward comments regarding burden estimate to the Records Management Branch (T-6 F33), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, and to the Paperwork Reduction Project (3150-0104), Office of Management and Budget, Washington, DC 20503. If an information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.

FACILITY NAME (1)
WOLF CREEK GENERATING STATION

DOCKET NUMBER (2)
05000482

PAGE (3)
1 OF 4

TITLE (4)
Unescorted Access Was Granted In 1995 To An Individual With Previous Criminal Convictions

EVENT DATE (5)			LER NUMBER (6)			REPORT DATE (7)			OTHER FACILITIES INVOLVED (8)	
MONTH	DAY	YEAR	YEAR	SEQUENTIAL NUMBER	REV. NUMBER	MONTH	DAY	YEAR	FACILITY NAME	DOCKET NUMBER
11	10	1995	1995	008	00	08	24	2000	FACILITY NAME	DOCKET NUMBER

OPERATING MODE (9)		MODE 1	THIS REPORT IS SUBMITTED PURSUANT TO THE REQUIREMENTS OF 10 CFR §: (Check one or more) (11)							
POWER LEVEL (10)	100%		20.402(b)		20.405(c)		50.73(a)(2)(iv)	X	73.71(b)	
			20.405(a)(1)(i)		50.36(c)(1)		50.73(a)(2)(v)		73.71(c)	
			20.405(a)(1)(ii)		50.36(c)(2)		50.73(a)(2)(vii)		OTHER	
			20.405(a)(1)(iii)		50.73(a)(2)(i)		50.73(a)(2)(viii)(A)			
			20.405(a)(1)(iv)		50.73(a)(2)(ii)		50.73(a)(2)(viii)(B)			
			20.405(a)(1)(v)		50.73(a)(2)(iii)		50.73(a)(2)(x)			

LICENSEE CONTACT FOR THIS LER (12)

NAME
Karl A. (Tony) Harris
Manager Regulatory Affairs

TELEPHONE NUMBER (Include Area Code)
(316) 364-4038

COMPLETE ONE LINE FOR EACH COMPONENT FAILURE DESCRIBED IN THIS REPORT (13)

CAUSE	SYSTEM	COMPONENT	MANUFACTURER	REPORTABLE TO EPIX	CAUSE	SYSTEM	COMPONENT	MANUFACTURER	REPORTABLE TO EPIX

SUPPLEMENTAL REPORT EXPECTED (14)

YES	X	NO	EXPECTED SUBMISSION DATE (15)	MONTH	DAY	YEAR

ABSTRACT (16):

During a December, 1999, Wolf Creek Generating Station (WCGS) Quality Evaluations review of the Physical Protection Access Screening performance indicators, an historical error was discovered pertaining to access authorization. On November 10, 1995, WCGS Access Screening personnel had granted temporary unescorted access authorization to an individual with previous criminal convictions for which access would have been denied. This 1995 event is reportable in accordance with 10 CFR 73.71, "Reporting of Safeguards Events," and the guidelines of NUREG-1022, Revision 1, Section 5.1.10, "Section 73.71 Reports." The root cause of this historical event was indeterminate. Corrective actions included reviews for additional similar events, instruction to personnel, and a procedure revision. This event screened "GREEN" using NRC Inspection Manual Chapter 0609, "Significance Determination Process." This event had no impact on plant safety.

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				1995	008	00	

TEXT (If more space is required, use additional copies of NRC Form 366A) (17)

Plant Conditions Prior to the Event:

Mode --- 1
 Power --- ~ 100 percent
 Temperature --- ~ 586 degrees Fahrenheit
 Pressure --- ~ 2238 pounds per square inch gauge

Basis for Reportability:

As discussed in NUREG-1022, Revision 1, Section 5.1.10 "Section 73.71 Reports," and in 10 CFR 73.71, "Reporting of Safeguards Events," a thirty-day written report is required when an unauthorized person is granted access to the protected area. At the time the access was granted all requirements for authorizing temporary unescorted access were satisfactorily met. Derogatory information received later, if correctly investigated, would have precluded the granting of access. Because the individual was granted access, this event is reportable under the provisions of 10 CFR 73.71.

Event Description:

During a December, 1999, Wolf Creek Generating Station (WCGS) Quality Evaluations review of the Physical Protection Access Screening performance indicators, an historical error was discovered pertaining to access authorization. It was identified that on November 10, 1995, WCGS Access Screening personnel had granted temporary unescorted access authorization to an individual with previous criminal history. This criminal history would have resulted in denial of unescorted access had it been revealed prior to access authorization. On December 29, 1999, a one-hour notification report of this historical event was made to the NRC. A later re-evaluation determined that a reportable event did not occur, and the one-hour report was retracted on January 13, 2000.

During a recent NRC Security Inspection, the inspector disagreed with the basis for the January 13, 2000, retraction. WCGS personnel, during subsequent investigations, re-evaluated the event and determined that a reportable event did occur. On July 25, 2000, another one-hour report was made.

Historical Background

In November of 1995, at the time authorization was granted, all requirements for authorizing temporary unescorted access were satisfactorily met, and the individual exercised Protected Area access from November 10, 1995, to January 16, 1996. The individual voluntarily terminated on January 16, 1996, and has not been onsite since this date.

On January 11, 1996, while completing the full background investigative report, information was received that, had it been fully investigated, may have uncovered the individual's prior convictions. It is believed that if this information had been fully investigated, either by talking with the subject or by checking the local criminal

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TEXT (If more space is required, use additional copies of NRC Form 366A) (17)

records, Access Screening personnel would have learned about the individual's criminal history in January of 1996; possibly even before the individual terminated on January 16, 1996. WCNOG Access Screening personnel believe that these convictions, if disclosed, would have caused a denial of unescorted access. The historical records do not provide evidence that the information was further investigated.

Root Cause:

WCGS Performance Improvement Request (PIR) 2000-2060 was initiated and used to document the investigation and evaluation of the event, the root causes of the event, and to identify and document appropriate corrective actions. Personnel performing these investigations and evaluations could not determine who handled the particular piece of derogatory information when it was received. Due to the lack of documentation in the subject's file, it could not be determined who may have received, adjudicated, or filed the information. The investigators found that personnel interviewed had no specific memory of the incident or the individual, and they found no evidence of inadequate training or work control processes at the time of the 1995 event. Because of this lack of information, the root cause was indeterminate; however, WCGS personnel did fail to properly document their review of the information, as required by procedure.

Potential Contributing Cause:

One potential contributing cause to the event was the level of understanding of the proper handling of derogatory information at the time of the 1995 event. Personnel at that time may not have understood the importance of fully documenting any review actions taken.

Corrective Actions Taken:

- On July 25, 2000, a one-hour report was made in accordance with 10 CFR 73.71.
- Prior to the retraction of the initial one-hour report, on January 20, 2000, WCGS Access Screening personnel had completed the review of fifty-two instances when unescorted access authorization was granted in 1995, 1996, 1997, and 1998. In addition, as a part of WCGS Self Assessment 2000-016, issued June 21, 2000, WCGS Access Screening personnel reviewed a sample of fifty files of individuals that were authorized unescorted access from January 1, 1999, through May 5, 2000, to ensure that all derogatory information was noted and addressed, as required by procedure, prior to granting an individual unescorted access authorization. No similar problems were identified during these reviews.
- In Access Screening staff meetings dated March 17, 2000 and May 19, 2000, Access Screening personnel were instructed to address each piece of derogatory information received. On August 23, 2000, additional information was sent to Access Screening personnel reinforcing the expectations for review and documentation.
- As an enhancement, the wording of WCGS procedure AI 01-001, "Access Authorization Procedure," will be changed to state: "Derogatory information obtained from confidential/unnamed sources shall be resolved, either positively or negatively, and this result documented; this information shall not be used to deny access unless corroborated."

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TEXT (If more space is required, use additional copies of NRC Form 366A) (17)

Safety Significance:

This event had no impact on plant safety. The worker, who performed fire watch and cleaning activities, was authorized to the Protected Area only, and was not authorized access to any vital areas of the plant.

Other Previous Occurrences:

Previous LERs were reviewed for the years 1995, 1996, 1997, 1998, 1999, and 2000, for similar events, that is, the granting of access to personnel with previous criminal convictions. No such additional events were identified.