2. TITLE
DRY PROCESS SHOTCRETE

3. DOCUMENT IDENTIFIER (Including Rev. No.)
BABEE0000-01717-6300-03362 REV 00

4. QA CONTROLS
Specification subject to Controls

5. Rev. No./ (Date)

6. Description of Revision
This specification section is a revision of and supersedes BABEAB0000-01717-6300-03362 REV01. This specification expands the scope from the TS North Ramp to encompass the Ramps, Main Drift and South Portal excavation. This revision has the following TBV and TBD on the design:
- TBV-193-ESF Seismic design values need to be verified.
- TBV-147-ESF Thermal stress values have yet to be determined.
- TBV-069-DD Rock mass strength estimates for TSw1 and TSw2 need to be verified. (Added this revision)
- TBD-146-ESF Thermal stress values have yet to be determined. (Added this revision)
- TBD-224 Rock Mass properties of box cut need to be verified.

This specification section incorporates and modifies the following Engineering Change Requests (ECR's) and Field Baseline Change Proposals (BCP's) and closes these interim changes:
- BCP-02-95-0180
- ECR# E95-0052
- ECR# E96-0023

This revision has made changes to Section 3.14 in response to DR YMQAD-96 NO.

The whole specification was extensively revised.

Issued for Construction

7. ORIGINATOR
Jerald W. Keifer

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O. J. Gilstrap

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Jerry L. Naaf
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PART 1 GENERAL

1.01 SECTION INCLUDES

This Specification Section includes furnishing all labor, materials, and equipment necessary for batching, transporting, placing and maintaining quality control (QC) for dry mix, unreinforced and steel fiber reinforced, shotcrete used at the Exploratory Studies Facility (ESF) underground and portal excavations.

1.02 RELATED SECTIONS

Division I General Requirements

1.03 REFERENCES

The specific issue/version of the codes and standards applicable to this specification are established in the design analysis and may not be the latest version.

A. American Concrete Institute (ACI):

1. ACI 214-77 Recommended Practice for Evaluation of Strength Test Results of Concrete
2. ACI 506R-90 Guide to Shotcrete
3. ACI 506.2-90 Specification for Materials, Proportioning, and Application of Shotcrete
4. ACI 506.3R-91 Guide to Certification of Shotcrete Nozzlemen
5. ACI 305R-91 Hot Weather Concreting
6. ACI 306R-88 Cold Weather Concreting

B. American Society for Testing and Materials (ASTM):

1. ASTM A820-90 Standard Specification for Steel Fibers for Fiber Reinforced Concrete
2. ASTM C33-93 Standard Specification for Concrete Aggregates
and Sawed Beams of Concrete

5. ASTM C150-95 Standard Specification for Portland Cement
8. ASTM C685-94 Standard Specification for Concrete Made By Volumetric Batching and Continuous Mixing
9. ASTM C1116-91 Standard Specification for Fiber-Reinforced Concrete and Shotcrete
10. ASTM C1140-89 Standard Practice for Preparing and Testing Specimens from Shotcrete Test Panels
12. ASTM C1240-95 Standard Specification for Silica Fume for Use in Hydraulic-Cement Concrete and Mortar
13. ASTM D75-87 Standard Practice for Sampling Aggregates

C. Yucca Mountain Site Characterization Project (YMP) Documents

1. YAP-15.1Q Control of Nonconformances

1.04 QUALITY ASSURANCE

A. Quality Assurance shall be conducted in accordance with Specification Section 01400 and applicable controls from other Specification Sections. This specification covers both Q shotcrete and non-Q shotcrete. QA Controls are denoted with underlining and are preceded by "QA Control".

B. Shotcrete which is permanent function ground support in the main tunnel, alcove transition zones, south portal headwall and any other areas classified Q on drawings will be designated as Q shotcrete.
C. Shotcrete installed in alcoves beyond the transition zone or installed for other reasons, such as sealing a bulkhead or for personnel safety, is considered non-Q but shall be subject to Q controls in Paragraphs 1.04 D3b, 1.06, 2.01 E2, 2.01C and 3.02 D. All other QA controls listed in this Specification Section only apply as a "QA Control" to the Q shotcrete.

D. Acceptance of Product

Shotcrete is a commercial grade item. Based upon the critical characteristics, shotcrete shall be qualified in this application as follows:

1. Post Installation Testing

   a. QA Control: 34.5 MPa (5,000 psi) required unconfined compressive strength, $f'_c$, at twenty-eight days by ASTM C42 for representative cores obtained from test panels or in-place cores.

   b. Shotcrete represented by samples that fail 28-day strength requirements or workmanship grading shall be dispositioned using Yucca Mountain Site Characterization Project Administrative Procedures for non-conformance.

2. Pre and Post Installation Inspections

Inspections shall be conducted as follows:

   a. QA Control: Inspection grading of core samples from construction panels or in-place coring in accordance with core grading procedures described in Paragraph 3.12 D.

   b. Monitoring of surface preparation prior to shotcreting as described in Paragraph 3.12 B.

3. Receipt verification of materials:

   a. QA Control: Periodic checking of materials for conformance with the requirements of this Specification Section.

   b. QA Control: Receipt inspection of materials received are in accordance with the requirements of Paragraph 2.01.

   c. QA Control: Receipt inspection that required documents are received, acceptable, and in accordance with the requirements of this Specification Section.
4. Field Verification:
   
a. Storage of Materials
   
Cement, aggregates, and admixtures shall be stored in accordance with Specification Section 01600 Part 1, 2, & Paragraph 3.02 A, B & D, and manufacturers recommendations (if provided). In addition aggregate stockpiles shall comply with Paragraph 3.10 of this Specification Section and pre-bagged dry shotcrete mix shall be stored in accordance with Specification Section 01600 Paragraph 3.02C.

1.05 DEFINITIONS

A. Shotcrete: Portland Cement concrete, pneumatically projected at high velocity onto a prepared surface by means of compressed air which may contain approved admixture(s) suitable to attain quick set, high early strength, adequate compaction, and adhesion.

B. Dry Mix Process: Shotcrete, in which a low moisture, predampened, blend of cement, aggregate, and admixtures, if required, is propelled through a hose by compressed air to a nozzle. Additional water and accelerator, if required, are added to the cement and aggregate mixture at the nozzle, and the intimately mixed ingredients are projected onto the surface.

C. $f'_c$: The specified minimum required unconfined compressive strength of the shotcrete as defined by ACI 214.

D. Nozzle Operator: The individual who controls the shotcrete nozzle. Commonly referred to as the nozzleman.

1.06 SYSTEM DESCRIPTION

Shotcrete will be applied to rock surfaces in the tunnel, alcoves or portal excavations, where indicated on the Drawings, to seal the profile and bind the opening perimeter into a contiguous mass thereby preventing degradation of the rock surface. Shotcrete which is part of a permanent function ground support system designed to provide maintainable long-term ground support in designated areas is classified Q.

The Constructor may utilize non-Q shotcrete to control surface ravelling, or as a construction aid to seal lagging in accordance with the drawings. The Constructor may use shotcrete to seal bulkheads or for personnel safety at the Constructor's discretion (non-Q). QA Control: A/E approval and TCO concurrence is required before application of shotcrete anywhere in the ESF.
PART 2 PRODUCTS

2.01 MATERIALS

A. Delivery, handling, and storage of materials shall be in accordance with Specification Section 01600 Part 1, 2, & Paragraph 3.02 A, B & D, and manufacturers recommendations (if provided). In addition aggregate stockpiles shall comply with Paragraph 3.10 of this Specification Section and pre-bagged dry shotcrete mix shall be stored in accordance with Specification Section 01600 Paragraph 3.02C.

B. Portland Cement

Cement used shall conform to the requirements of ASTM C150, Type II. Cement shall be tested by the manufacturer and shall be furnished with documentation that confirms conformance to ASTM C150. Cementitious material shall be protected from pre-hydration and contamination.

C. Aggregate

Aggregates shall conform to ASTM C33. Aggregate gradation shall conform to ASTM C33, Table 2, Size No. 7 for coarse aggregate, and ASTM C33, Section 6.1 for fine aggregate. QA Control: Alkali reactivity shall be tested to ASTM C289 or ASTM C227.

D. Water

Mixing water shall comply with the requirements of ASTM C94.

E. Admixtures (If Used)

1. Admixtures shall conform to ASTM C1141 Type I requirements.

2. QA Control: The use of chloride based compounds as accelerators is prohibited.

3. Each admixture shall be furnished with documentation certifying that the admixture meets the requirements of ASTM C1141.

F. Steel Fiber Reinforcement (If Used)

1. The fiber shall be uniformly distributed throughout the mix, in accordance with ASTM C1116.

2. Steel fiber reinforcement shall conform to ASTM A820, Types I and II.

3. The steel fiber shall have a size nominal of 0.25 mm x 0.56 mm x 25 mm.
G. Silica Fume (If Used)

Silica fume shall conform to ASTM C1240 with a maximum addition of 15 percent by weight of cement.

H. Pre-Bagged Dry Shotcrete Mix (If used)

Dry shotcrete mixes from an off-site source: The cement, aggregate, admixtures, steel fibers, silica fume, and any other materials, and the proportioning, shall all be in accordance with this Specification Section and Paragraph 2.02.

2.02 MIX DESIGN

A. The mixes shall be designed to provide an unconfined compressive strength ($f'_c$), of 34.5 MPa at 28 days when tested.

B. Twenty days minimum prior to shotcrete placement the Constructor shall submit for A/E acceptance a proposed mix design for the shotcrete with the tolerances of any variable components identified. The design shall include a complete list of materials and copies of test reports showing that the mix has been successfully tested to produce shotcrete with the properties specified. The proportions of ingredients selected on the basis of trial mixes and field trials shall be used in the actual application of shotcrete. The submittal shall include test results on test panels for each mix design being considered following the testing guidelines of Paragraph 3.12 C. 3. of this Specification Section. (HOLD POINT)

C. Proportions. The combined aggregate shall be a blend of sizes as required to produce a combined grading within the limits of ACI 506R, Table 2.1, Gradation No. 2. The cement or equivalent cementitious materials content shall be a minimum of 420 Kg per cubic meter of batched shotcrete. Pre-bagged dry shotcrete meeting gradation No. 1, as listed in Table 2.1, ACI 506R, is also acceptable.

PART 3 EXECUTION

3.01 BATCHING AND MIXING

A. Equipment: Provide equipment and establish procedures to batch and completely mix materials at a rate to maintain continuous placement capability and assure uniformity of product. The constructor may batch shotcrete under any approved A/E concrete batching procedures generated from other specifications provided that the procedure and this specification do not have conflicting requirements. If new batch procedures for shotcrete are required they shall be submitted to the A/E for approval.

B. Mix proportions shall be controlled by weight batching in accordance with ASTM C94 or by volume batching meeting the requirements of ASTM C685. Volume batching shall be checked by a weight batch every week during production.

C. Central Mixed Shotcrete Transported from Surface to Subsurface (If Used)
Shotcrete, that is mixed and predampened in a stationary central-mixer for subsequent transport to a subsurface delivery point, shall meet all requirements of ASTM C94, Sections 11 and 12. Equipment used for subsurface transport of the ready mixed shotcrete shall be approved by the A/E. (Hold Point)

D. A predampener unit compatible with the placement equipment shall be used to predampen pre-bagged dry shotcrete to effectively mix and meet the dust emissions requirements of Specification Section 01501. If silica fume is used special provisions will be required to ensure effective mixing and predampening.

3.02 PRODUCTION OF SHOTCRETE

A. The inclusion in the mix of rebound or previously expended material is unacceptable.

B. Temperature Control

1. Follow the guidelines in ACI 305R, Hot Weather Concreting and ACI 306R, Cold Weather Concreting to evaluate temperature controls required to ensure that the shotcrete will not be adversely affected by temperature. Excess water shall never be used to slow shotcrete set time. Provisions shall be made to use chilled water if the ambient tunnel temperature exceeds 38 degrees C. Batched shotcrete shall not exceed 32 degrees C. Do not place dry process shotcrete when materials are above 38 degrees C.

2. Methods of Heating and Cooling: The Constructor shall submit for review and approval prior to installation a procedure for heating or cooling the shotcrete. (HOLD POINT)

C. Accelerator may be added to the extent necessary for suitable early strength commensurate with the application. The quantity of accelerator shall be within manufacturer’s recommendations.

D. QA Control: Admixtures containing organic components shall not be used except when alternative means are unacceptable. Twenty days prior to use of any organic admixture, the Constructor shall submit for acceptance to the A/E a request to use that admixture in the shotcrete application. The submittal shall include the reasons why alternative means are unacceptable. (HOLD POINT)

3.03 SURFACE PREPARATION

Surfaces against or upon which shotcrete is to be placed shall be free from standing water, mud or debris prior to placing shotcrete. All surfaces shall be free from oil, grease, or any other substance which will prevent bonding of the shotcrete. Rock surfaces against which shotcrete is to be placed shall be scaled to remove loose, semi-detached rock fragments. However, there may be situations where complete removal of fragments may be hazardous or inadvisable, including where early support is required and where the in place rock is fractured. Rock surface shall be washed with water and the surface shall attain Surface Saturated Dry condition prior to shotcrete application.
3.04 SHOTCRETE PLACEMENT

A. Placement: Shotcrete shall be applied to the required thickness and tolerances at the locations shown on the applicable Drawings or as necessary for worker safety. The coverage of any welded wire fabric shall be in accordance with ACI 506.2, Parts 3.3.3 and 3.3.4.

B. QA Control: The Constructor shall use certified shotcrete nozzle operators as specified in Paragraph 3.14 to operate the shotcrete nozzle.

C. Twenty days prior to the start of shotcrete placement, the Constructor shall submit a placement plan for acceptance describing: preconstruction testing of mix design, control of thickness, dust control, surface preparation, curing of in-place shotcrete, curing and handling of test panels including provisions for chain of custody, precautions taken to avoid and/or control spills of cementitious materials and methods for prompt removal of rebound. (HOLD POINT)

3.05 REINFORCEMENT

Shotcrete reinforcement, including steel fiber reinforcement, shall be incorporated as indicated on the Drawings.

3.06 REPAIR OF DEFECTS

Repair defects in accordance with non-conformance report.

3.07 FINISHING

Provide natural gun finish to tolerances as indicated on the Drawings.

3.08 JOINTS

Unless construction joint configurations are otherwise shown on the Drawings, the edge of the placed shotcrete shall be tapered to facilitate a smooth transition between adjacent application areas. The shotcrete thickness to tapered length ratio shall not be less than 1:4.

3.09 CURING AND PROTECTION

A. Initial curing of shotcrete in the tunnel and alcoves requires that freshly applied shotcrete be at ambient temperature and humidity and kept moist by continual spraying for a period up to 72 hours.
B. Shotcrete place outside of the tunnel in the portal box cut shall be kept continuously moist by water spray for a minimum of 24 hours. The contractor may use alternative means to initially cure the box cut shotcrete in accordance with the recommendation of ACI 506.2R by submitting the proposed method to the A/E for approval.

C. Final curing of shotcrete should take place at ambient temperature and humidity.

D. Liquid, membrane-forming curing compounds shall not be used.

3.10 STORAGE OF MATERIALS

A. Aggregates shall be delivered for storage to a prepared hard, clean surface in a manner that will preclude the inclusion of foreign material.

B. Premixed dry shotcrete, in bags or flow bins, shall be stored to prevent direct contact with the ground, and shall be covered to minimize damage from inclement weather.

3.11 EQUIPMENT

Shotcrete equipment shall be kept clean and in good operating order.

3.12 FIELD QUALITY CONTROL

A. The Constructor shall be responsible for recording shotcrete placement location, installation witnessing and test documentation in accordance with this Specification Section, Specification Section 01400 and Specification Section 01501. The Constructor’s documentation shall include as a minimum:

1. QA Control: Documentation of shotcrete application area which is sufficiently accurate to permit subsequent identification of the application area at any time following installation.

2. Document date and time of shotcrete installation, batch number(s)

3. QA Control: Document installation thickness as attaining the specified thickness within the tolerance given on the applicable drawing.

B. Monitoring: The Constructor’s QC inspector shall monitor surfaces prior to shotcreting for loose material, debris, chips, mud, dirt or other foreign matter to ensure removal to the extent practical. The inspector shall also inspect reinforcement (if used), and embedded items (if used) to assure compliance with the Drawings and the requirements of this Specification Section.
C. Sampling and Testing

1. **QA Control:** Sampling and testing of aggregates shall be performed, and may be performed by the Constructor's testing laboratory working under the general oversight of the Title III Engineering organization.

Final acceptance of test results shall be by the Constructor's QC organization.

2. Aggregates: Upon delivery of aggregates to the batch plant, fine and coarse aggregates shall be sampled in accordance with ASTM D75 and tested for compliance with ASTM C33. Aggregates shall be sampled during plant production and tested for moisture content and gradation.

3. Shotcrete
   a. The in-place shotcrete shall be visually monitored between coats and immediately following final application for laitances, loose material, cracking, sloughing, and sagging.
   b. **QA Control:** The Constructor shall make 460 mm x 460 mm nominal size steel test panels placed in the vertical position for every 40 m³ of shotcrete placed but at least one panel per shift. The shotcrete shall be applied perpendicular to the receiving surface and a minimum of 150 mm thick. The Constructor's regular nozzle operator shall place the panels during the regular course of the work. The panels shall be cured initially for a minimum 48 hours under the same or similar conditions as the work, including humidity, temperature, and shielding from direct sunlight. The test panels shall be covered with wet burlap and plastic immediately before removal from the tunnel or portal excavation area. The panel, when removed from the tunnel or portal excavation area, shall be immediately transported to the testing laboratory for coring and curing.
   c. A chain of custody shall be established from the time the test panels are placed to where they are stored during initial field curing through transporting to the test facility. The Constructor shall assure that the panels are handled, transported and treated properly.
   d. **QA Control:** The Constructor shall extract a minimum of eight cores of a nominal 75 mm diameter and a length meeting an L/D ratio of between 1.94 and 2.10 from each panel. These cores shall be graded under Paragraph 3.12 D. Time tolerances may be relaxed and cores placed in moisture conditioning in accordance with ASTM C42 until removed for testing. Two cores shall be tested at three days, two cores shall be tested at seven days, and two cores shall be tested at twenty-eight days in accordance with ASTM C42. The remaining two cores will be held for 90 days for further testing if needed. They may be discarded after 90 days if the 28 day breaks exceeded the specified strength and all cores were of grade 2.5 or better.
For the three and seven day tests the laboratory test breaks may be scheduled to normal business days/hours and the results prorated for the three and seven day breaks. QA Control: The 28 day compressive strength test shall meet the standard time tolerances since it is considered as the acceptance criteria.

e. QA Control: Strength shall be evaluated following the recommended practice for evaluation of strength in ACI 214. Control charts for results of strength tests shall be made as shown in Figure 4.4 of ACI 214 for three, seven and 28-day strengths. The Title III Engineering shall be notified of any adverse trends and low sample values that will likely cause a non-conformance to the 28-day strength. Test results shall be reported to the A/E as they become available or as requested by the A/E.

f. The shotcrete shall meet the specified strength and shall not contain defects in excess of those specified under Paragraph 3.12 D of this Specification Section.

g. When shotcrete test panel cores do not meet the specified requirements, additional samples shall be taken and tested in accordance with paragraph d above. These samples shall be taken from the in-place shotcrete from the area of work represented by the test panel. The test results from the additional samples shall be provided as part of the non conformance disposition. QA Control: These core samples shall be a nominal 75 mm diameter and full thickness of the in-place shotcrete. For in-place core samples with an L/D ratio less than 1.94, the results shall be corrected in accordance with ASTM C42.

h. Temperature of freshly placed shotcrete shall be taken with a concrete thermometer in accordance with ASTM C1064.

D. QA Control: Shotcrete Core shall be graded for workmanship in accordance with the following criteria:

1. GRADE 1: Shotcrete specimens are solid; there are no laminations, sandy areas, or voids. Small air voids with a maximum diameter of 3 mm and maximum length of 6 mm are normal and acceptable. The surface against the form or bond plane shall be sound with full paste, without a sandy texture, or voids.

2. GRADE 2: Shotcrete specimens shall have no more than two laminations or sandy areas with dimensions not to exceed 3 mm thick by 25 mm long. The height, width, or depth of voids shall not exceed 10 mm. The surface against the form or bond plane shall be sound with full paste, without a sandy texture, or voids.

3. GRADE 3: Shotcrete specimens shall have no more than two laminations or sandy areas with dimensions exceeding 5 mm thick by 32 mm long, or one major void (height, width, or depth greater than 10 mm), sand pocket, shadow, or laminations containing loosely bonded sand not to exceed 16 mm thick and 32 mm in width. The surface against the form or bond plane may be sandy with voids containing overspray to a depth of 2 mm.

4. GRADE 4: The core shall meet in general the requirements of Grade 3 cores, but may
have two conditions such as described for Grade 3 or may have one flaw with a maximum dimension of 25 mm perpendicular to the face of the core with a maximum width of 38 mm. The end of the core that was shot against the form may be sandy and with voids containing overspray to a depth of 3 mm.

5. **GRADE 5**: A core that does not meet the criteria of core Grades 1 through 4, by being of poorer quality, shall be classified as Grade 5.

6. Determination of grade from test panels or in-place cores shall be by computing the mean of a minimum of two core specimens.

7. A mean numerical grade of 2.50 or less is acceptable, a mean grade of 2.51 or greater is unacceptable. Individual shotcrete cores with a numerical grade higher than 3 are unacceptable.

NOTE: The preceding core grades are based on cores of a nominal 76 mm diameter by a nominal 152 mm long dimension.

E. **QA Control: Strength Test Results**: The Constructor shall evaluate the unconfined compressive strength of the shotcrete \( f'_c \) in accordance with ACI 214.

1. The average of the test results of the two samples as required in Paragraph 3.12 C. 3. d. shall be considered the acceptable value. The Constructor, at his option, may sample additional cores from the same test panel and include them in determining the average 28 day strength of a panel.

2. If a specimen shows evidence, other than low strength, of improper sampling, handling, curing or testing, it shall be discarded and the strength of the remaining core shall then be considered the test result.

F. **QA Control: Monitoring the operational records in paragraph 3.15.**

3.13 **HOUSEKEEPING**

Upon completion of shotcrete work the Constructor shall remove all rebound.

3.14 **PERSONNEL QUALIFICATIONS**

**QA Control**: The Constructor shall document training and certification of nozzle operators. At least twenty days prior to installation of Q shotcrete, the Constructor shall submit for A/E review and approval a procedure for the certification and training of nozzlemen following the guidelines of ACI 506.3R. (HOLD POINT) The procedure shall include provisions for written testing of the nozzlemen and workmanship testing. Workmanship test panels sizes shall be in accordance with ASTM C1140 and shall have a minimum area of 0.37 m² (4 ft²).
3.15 OPERATIONAL RECORDS

The following operational information shall be recorded:

A. Batch time, delivery time, discharge time, and batch proportions used (batch, delivery and discharge times are not applicable to dry, bagged shotcrete which has not been predampened)

B. Admixture quantities used

C. Time and temperature of placement location

D. Special precautions for hot/cold weather

E. Identity of the nozzle operator who performed work.

PART 4 SUBMITTALS AND NOTIFICATION

4.01 SUBMITTALS

Submittals shall be in accordance with Specification Section 01300, the attached Submittal and Notification Requirements sheet and applicable YMP procedures.

4.02 NOTIFICATION

Should any changes in this Specification Section be required to comply with these requirements, the Constructor shall notify the A/E in writing.
### SUBMITTAL AND NOTIFICATION REQUIREMENTS

*Only applicable items are to be completed*

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**COMMENTS:**

"X" in the Notification Columns denotes a Hold or Witness point is required in the specification subsection, but prior notification of the A/E is not necessary.

**END OF SPECIFICATION SECTION**

8/07/96 03362-15