

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT

1. CONTRACT ID CODE  
5000R133

PAGE 1 OF PAGES 1

2. AMENDMENT/MODIFICATION NO.  
141

3. EFFECTIVE DATE  
8/2/2000

4. REQUISITION/PURCHASE REQ. NO.  
NMS-97-009 7/19/00

5. PROJECT NO. :if applicable:

6. ISSUED BY

CODE

7. ADMINISTERED BY (If other than Item 6)

CODE

U.S. Nuclear Regulatory Commission  
Division of Contracts and Property Mgt.  
Attn: T-7-I-2  
Contract Management Branch 2  
Washington DC 20555

8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code)

(X) 9A. AMENDMENT OF SOLICITATION NO.

Southwest Research Institute  
6220 Culebra Road, PO Drawer 28510  
San Antonio, Texas 78228-0510  
Wesley C. Patrick, President, CNWRA  
210-522-5158 TIN #74-1070544

9B. DATED (SEE ITEM 11)

10A. MODIFICATION OF CONTRACT/ORDER NO.  
Con# NRC-02-97-009  
SBA#

10B. DATED (SEE ITEM 13)

CODE

FACILITY CODE

X

10-15-1987

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended, is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods:

(a) By completing Items 8 and 15, and returning copies of the amendment; (b) By acknowledging receipt of this amendment of each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

B&R 0515202105 Job Code: J5226 BOC: 252A  
Approp.: 31X0200 Amount Obligated: \$100,000

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

(X) A. THIS CHANGE ORDER IS ISSUED PURSUANT TO (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A

X B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43 103(b).

C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF

D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor [X] is not, [ ] is required to sign this document and return copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

Please see attached pages.

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)

16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)

Barbara D. Meehan

15B. CONTRACTOR/OFFEROR

15C. DATE SIGNED

16B. UNITED STATES OF AMERICA

16C. DATE SIGNED

(Signature of person authorized to sign)

BY

*Barbara D. Meehan*  
(Signature of Contracting Officer)

08-02-2000

Description of Changes Incorporated by Modification No. 141 to NRC-02-97-009

Page 2

The purpose of this modification is to obligate \$100,000 to the PFS project (J5226), and to confirm the verbal authorization of July 19, 2000, in the amount of \$50,000 for PFS. Accordingly, the following revisions are made:

Sections B.2.C.b and d are revised to show the obligation of \$100,000 under Job Code J5226, as indicated on the attached change pages 5 and 6.

Section B.2.C.e is revised to show the authorization for PFS (J5226) of \$50,000 as shown on the attached change page 7.

All other terms and conditions of this contract, including the ceiling amount for the second renewal period of \$87,611,477.00, remain the same.

A summary of new obligations for the second renewal period of this contract is given below:

Job Code D1035 (HLW)

Total FY97 obligation amount \$445,000 (see Spent Fuel (CIS) below)

Total FY98 obligation amount \$10,792,270 (Note that this reflects the \$19,000 which was obligated on Mod. 123, but not reflected in this summary)

Total FY99 obligation amount \$11,862,000.

Total FY99 deobligation amount \$183,756.57.

Total FY00 obligation amount \$12,955,000.

Total FY00 deobligation amount of \$100,000.

Cumulative Total of NRC Obligations for JC 1035 (HLW) \$35,770,513.43.

Job Code D1035 (Spent Fuel (CIS))

Total FY97 obligation amount \$200,000

Total FY98 obligation amount \$250,000

Job Code J5297 (Spent Fuel (CIS))

Total FY00 obligation amount \$100,000

Cumulative Total of NRC Obligations for CIS \$550,000.

Job Code J5164 (Tank Waste Remediation)

Total FY98 obligation amount \$250,000

Total FY99 obligation amount \$285,000

Total FY00 obligation amount \$840,000

Cumulative Total of NRC Obligations for JC J5164 \$1,375,000.

Job Code J5206 (Spent Fuel Dry Transfer)

Total FY97 obligation amount \$25,000.

Total FY98 obligation amount \$200,000.

Total FY98 deobligation amount \$33,000

Total FY99 obligation amount \$283,600

Total FY00 obligation amount \$135,000

Cumulative Total of NRC Obligations for JC J5206 \$610,600.

Job Code J5210 (Aluminum-Based Spent Fuels)

Total FY98 obligation amount \$125,000.

Cumulative Total of NRC Obligations for JC J5210 \$125,000

Job Code J5186 (TMI-2 Fuel Debris)

Total FY98 obligation amount \$208,000.

Total FY98 deobligation amount \$8,000.

Total FY99 obligation amount \$100,000

Total FY99 deobligation amount \$15,898.

Cumulative Total of NRC Obligations for JC J5186 \$284,102.

Job Code J5226 (Private Fuel Storage)

Total FY98 obligation amount \$158,000

Total FY99 obligation amount \$332,630

Total FY00 obligation amount \$400,000

Cumulative Total of NRC Obligations for JC J5226 \$890,630.

Description of Changes Incorporated by Modification No. 141 to NRC-02-97-009

Page 4

Job Code J5324 (SKB Peer Review)  
Total FY00 obligation amount \$9,987

Cumulative Total of NRC Obligations for JC J5324 \$9,987.

Job Code J5327 (Naval Spent Fuel)  
Total FY00 obligation amount \$161,000

Cumulative Total of NRC Obligations for JC J5327 \$161,000.

This modification obligates \$100,000 in FY2000 funds.

e. Total amount authorized by Operations/Project Plans was as follows:

|   |  |
|---|--|
| High-Level Waste<br>Job Code: D1035<br>Amount: \$ 44,662,918      | Licensing Support System<br>Job Code: L1590<br>Amount: \$35,900  |
| Research<br>Job Code: B6666<br>Amount: \$ 15,584,461.47           | Waste Solidification Systems<br>Job Code: L1793<br>Amount: \$642,391.87<br>Job Code: J5190<br>Amount: \$39,900 |
| Transportation<br>Job Code: D1070<br>Amount: \$0                  | Monitored Retrievable Storage<br>Job Code: L2516<br>Amount: \$20,459.70  |
| Tank Waste Remediation<br>Job Code: J5164<br>Amount: \$772,035.00 | Safety Review of TMI-2<br>Job Code: J5186<br>Amount: \$158,290   |

Total amount authorized: \$ 61,916,356.04

f. The total award fee available; the award fee earned thus far and the evaluation period applicable thereto were as follows:

| <u>Evaluation Period</u> | <u>Available<br/>Award Fee</u> | <u>Award Fee<br/>Earned</u> |
|--------------------------|--------------------------------|-----------------------------|
| 11 9/27/92-4/9/93        | \$309,465                      | 259,951                     |
| 12 4/10/93-9/24/93       | 347,645                        | 316,673                     |
| 13 9/25/93-9/30/94       | 709,894                        | 668,010.43                  |
| 14 10/1/94-9/29/95       | 817,617                        | 793,088                     |
| 15 9/30/95-9/27/96       | 665,948                        | 645,970                     |
| 16 9/28/96-9/26/97       | 556,736                        | 528,899                     |

Current Data

C. September 27, 1997 - September 27, 2002

- a. The total estimated ceiling amount of this Cost-Plus-Award-Fee type contract for the above mentioned period is \$87,611,477.00.
- b. The amount presently obligated by the Government with respect to this contract for this period is \$ 39,776,832.43. In addition, carryover funds in the amount of \$3,302,599.76 are available for use in this renewal period as indicated below. The award fee pool will be as stated in the AFDP. The plan will reflect the actual award fee pool based on cumulative estimated costs for performance of approved Operations/Project Plans. The applicable base fee percentage is also described in the AFDP.

- c. Evaluation of the award fee earned will be accomplished in accordance with the then current AFDP (Attachment 11). Neither the determination as to the amount of fee available during a given period, the amount of award fee earned, nor the determination of the criteria under which the subject award fee will be made, shall be subject to FAR Subpart 52.233-1, entitled, "Disputes".
- d. Total funds currently obligated by Job Code (JC) are as follows:

High-Level Waste  
Job Code: D1035  
New Funds: \$ 36,054,270  
Deob.: \$283,756.57  
Carryover: \$1,989,455.71  
Total: \$37,759,969.14

Waste Solidification Systems  
Job Code: L1793  
New Funds:  
Carryover: \$2,763.35  
Total: \$2,763.35

Tank Waste Remediation  
Job Code: J5164  
New Funds: \$1,375,000  
Carryover: \$1,246,284.95  
Total: \$2,621,284.95

Waste Solidification Systems  
Job Code: J5190  
New Funds:  
Carryover: \$35,349.05  
Total: \$35,349.05

Spent Fuel (TMI-2 Fuel Debris)  
Job Code: J5186  
New Funds: \$308,000  
Deob.: \$23,898  
Carryover: \$28,746.69  
Total: \$312,848.69

Spent Fuel (Dry Transfer)  
Job Code: J5206  
New Funds: \$643,600  
Deobligate: \$33,000  
Carryover: \$0  
Total: \$610,600

Aluminum-Based Spent Fuels  
Job Code: J5210  
New Funds: \$125,000  
Total: \$125,000

Spent Fuel (CIS)  
Job Code: D1035  
New Funds: \$450,000  
Total: \$450,000

Spent Fuel (PFS)\*  
Job Code: J5226  
New Funds: \$890,630  
Total: \$890,630

Spent Fuel (CIS)  
Job Code: J5297  
New Funds: \$100,000  
Total: \$100,000

SKB Peer Review  
Job Code: J5324  
New Funds: \$9,987  
Total: \$9,987

Naval Spent Fuel  
Job Code J5327  
New Funds: \$161,000  
Total: \$161,000

Total New Funds Obligated for 2nd Renewal Period: \$40,117,487.00  
Funds Deobligated during 2nd Renewal Period: 340,654.57  
Net Obligated: \$39,776,832.43

\*PFS is subject to the license fee recovery provisions located elsewhere in this contract.  
The TAC number for this project is L22462.

e. Total amount authorized by Operations/Project Plans is as follows:

|   |   |  |
|---|---|--|
| High-Level Waste<br>Job Code: D1035<br>Amount: \$36,069,629         | Waste Solidification Systems<br>Job Code: J5190<br>Amount: \$35,349.05<br>Job Code: L1793<br>Amount: \$2,763.35 |  |
| Tank Waste Remediation<br>Job Code: J5164<br>Amount: \$2,525,478.29 | Spent Fuel (TMI-2 Fuel Debris)<br>Job Code: J5186<br>Amount: \$312,849  |  |
| Spent Fuel (Dry Transfer)<br>Job Code: J5206<br>Amount: \$573,001   | Spent Fuel (CIS)<br>Job Code: D1035<br>Amount: \$450,000  |  |
| Aluminum-Based Spent Fuel<br>Job Code: J5210<br>Amount: \$125,000   | Spent Fuel (CIS)<br>Job Code: J5297<br>Amount: \$100,000  |  |
| SKB Peer Review<br>Job Code: J5324<br>Amount: \$9,987               | Spent Fuel (PFS)<br>Job Code: J5226<br>Amount: \$840,630  | Naval Spent Fuel<br>Job Code: J5327<br>Amount: \$115,469 |

Total amount authorized: \$41,160,155.69

f. The total award fee available, the award fee earned thus far and the evaluation period applicable thereto are as follows:

| <u>Evaluation Period</u> | <u>Available Award Fee</u> | <u>Award Fee Earned</u> |
|--------------------------|----------------------------|-------------------------|
| 17 9/27/97-9/25/98       | \$598,989                  | \$581,021               |
| 18 9/26/98-9/24/99       | 797,438                    | 781,490                 |
| 19 9/25/99-9/29/00       |                            |                         |
| 20 9/30/00-9/28/01       |                            |                         |
| 21 9/29/01-9/27/02       |                            |                         |

Section C - Description/Specifications/Work Statement

C.1 Statement of Work for Operation of the Center for Nuclear Waste Regulatory Analyses

C.1.1 Concept for CNWRA Support to NRC

C.1.1.1 Nuclear Waste Policy Act of 1982

The Nuclear Waste Policy Act of 1982 as amended (NWPAA) sets forth the policy of the United States with regard to the management, storage and disposal of this nation's high-level radioactive waste from commercial and defense activities. The NWPAA charges the Department of Energy (DOE) as the lead Federal Agency to manage the siting, construction,