

[7590-01-P]

U. S. NUCLEAR REGULATORY COMMISSION

Agency Information Collection Activities: Proposed Collection; Comment Request

AGENCY: U. S. Nuclear Regulatory Commission (NRC)

ACTION: Notice of pending NRC action to submit an information collection request to OMB and solicitation of public comment.

SUMMARY: The NRC is preparing a submittal to OMB for review of continued approval of information collections under the provisions of the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35).

Information pertaining to the requirement to be submitted:

1. The title of the information collection: Request for Taxpayer Identification Number.
2. Current OMB approval number: OMB: NO. 3150-0188

DF03

3. **How often the collection is required: One time from each applicant or individual to enable the Department of the Treasury to process electronic payments or collect debts owed to the government.**

4. **Who is required or asked to report: All individuals doing business with the U.S. Nuclear Regulatory Commission, including contractors and recipients of credit, licenses, permits, and benefits.**

5. **The number of annual respondents: 300**

6. **The number of hours needed annually to complete the requirement or request: 25 (5 minutes per response.)**

7. **Abstract: The Debt Collection Improvement Act of 1996 requires that agencies collect taxpayer identification numbers (TINs) from individuals who do business with the Government, including contractors and recipients of credit, licenses, permits, and benefits. The TIN will be used to process all electronic payments (refunds) made to licensees by electronic funds transfer by the Department of the Treasury. The Department of the Treasury will use the TIN to determine whether the refund can be used to administratively offset any delinquent debts reported to the Treasury by other government agencies. In addition, the TIN will be used to collect and report to the Department of the Treasury**

any delinquent indebtedness arising out of the licensee's or applicant's relationship with the NRC.

Submit, by (insert date 60 days after publication in the Federal Register), comments that address the following questions:

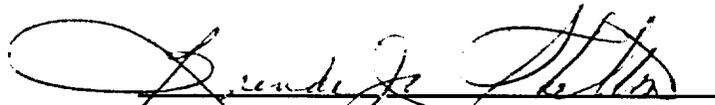
1. Is the proposed collection of information necessary for the NRC to properly perform its functions? Does the information have practical utility?
2. Is the burden estimate accurate?
3. Is there a way to enhance the quality, utility, and clarity of the information to be collected?
4. How can the burden of the information collection be minimized, including the use of automated collection techniques or other forms of information technology?

A copy of the draft supporting statement may be viewed free of charge at the NRC Public Document Room, 2120 L Street, NW (lower level), Washington, DC. OMB clearance requests are available at the NRC worldwide web site (<http://www.nrc.gov/NRC/PUBLIC/OMB/index.html>). The document will be available on the NRC home page site for 60 days after the signature date of this notice.

Comments and questions about the information collection requirements may be directed to the NRC Clearance Officer, Brenda Jo. Shelton, U.S. Nuclear Regulatory Commission, T-6 E6, Washington, DC 20555-0001, by telephone at 301-415-7233, or by Internet electronic mail at BJS1@NRC.GOV.

Dated at Rockville, Maryland, this 19th day of June 2000.

For the Nuclear Regulatory Commission.


Brenda Jo. Shelton, NRC Clearance Officer
Office of the Chief Information Officer

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Dated at Rockville, Maryland, this 19th day of June 2000.

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Brenda Jo. Shelton, NRC Clearance Officer
Office of the Chief Information Officer

DOCUMENT NAME: A1000504FRN: *See previous concurrences.

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DATE	05/01/2000	05/04/2000	06/19/2000		

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Dated at Rockville, Maryland, this _____ day of _____ 1999.

For the Nuclear Regulatory Commission.

 Brenda Jo. Shelton, NRC Clearance Officer
 Office of the Chief Information Officer

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DATE	<i>5-1-2000</i>		<i>540</i>				

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**DRAFT OMB SUPPORTING STATEMENT
FOR NRC FORM 531 "REQUEST FOR TAXPAYER
IDENTIFICATION NUMBER"
REVISION**

Description of Information Collection

The Debt Collection Improvement Act of 1996 (P.L. 104-134) was signed into law on April 26, 1996. Under this law, Federal agencies are required to collect Taxpayer Identification Numbers (TINs) from all persons who do business with the government. NRC Form 531 is used to collect TINs and information sufficient to identify the licensee or applicant for licenses, certificates, approvals and registrations. In 1997, requests for TINs were mailed to licensees in the vendor database for which there was no TIN on file. An additional 250 new licensees and applicants were mailed TINs in 1997, and 330 were mailed TINs in 1998 and 1999. Based on experience, 300 TINs will be mailed to new licensees each year.

JUSTIFICATION

1. Need for and Practical Utility of the Collection of Information

The Debt Collection Improvement Act of 1996 requires all Federal agencies to obtain TINs from all persons who do business with the Government including contractors and recipients of credit, licenses, permits, and benefits.

2. Agency Use of Information

The TIN will be requested from all licensees and applicants for which we have not previously collected a TIN. The TIN will be entered into the vendor file of the Federal Financial System (FFS), the NRC accounting system. The TIN will be used on payments (refunds) made to licensees by electronic funds transfer by the Department of the Treasury. The Department of the Treasury will use the TIN to determine whether the refund can be used to administratively offset any delinquent debts reported to the Treasury by other government agencies. In addition, the TIN will be used to collect and report to the Department of the Treasury any delinquent indebtedness arising out of the licensee's or applicant's relationship with the NRC.

3. Reduction of Burden Through Information Technology

There are no legal obstacles to reducing the burden associated with this information collection through the use of information technology. The Taxpayer Identification Number Form 531, is presently on NRC's Website where it can be electronically accessible to the licensees.

4. Effort to Identify Duplication and Use Similar Information

The Information Requirements Control Automated System (IRCAS) was searched for duplication and none was found.

5. Effort to Reduce Small Business Burden

Approximately 1,290 NRC licensees are small businesses. The collection is minimal and is only requested one time to issue payment to licensees and applicants.

6. Consequences to Federal Program or Policy Activities if the Collection is not Conducted or is Conducted Less Frequently

The impact to the NRC of not conducting or conducting the collection of information less frequently will result in licensees and applicants not receiving payment due them from the NRC and will result in the Department of the Treasury being unable to perform administrative offset of delinquent debts. The frequency cannot be reduced because this is a one-time request for information from the public.

7. Circumstances Which Justify Variation from OMB Guidelines

This request does not vary from OMB guidelines.

8. Consultations Outside the NRC

An opportunity to comment on the NRC Form 531 information collection requirements has been published in the Federal Register.

9. Payment or Gift to Respondents

Not applicable

10. Confidentiality of Information

This information is protected from public disclosure under the Privacy Act of 1974 and is handled in accordance with the most recent notice published in the Federal Register for System of Records--NRC-32, "Office of the Controller Financial Transactions and Debt Collection Management Records--NRC," and with the routine uses specified in the Privacy Act Statement for NRC Form 531.

11. Justification for Sensitive Questions

Not applicable

12. Estimate of Burden and Burden Hour Cost

The estimated time to complete the form is five minutes. The annual request for TINs will be 300. The estimated annual burden is 25 hours (.083 hr x 300 TINs). The public cost is computed as follows: 300 per year x 5 minutes (.083) x \$143 per hour equals \$3,561.

13. Estimate of Other Additional Costs

None

14. Estimated Annualized Cost to the Federal Government

The estimated annual cost to the Federal Government for data entry is \$3,561. (5 minutes per form x 300 x \$143) or $300 \times .083 \times \$143 = \$3,561$.

15. Reasons for Change in Burden or Cost

The overall burden has decreased from 72 hours to 25 hours for a net reduction of 47 hours as the result of a reestimate of burden based on the last 3 years' experience. The average number of responses received within the last three years is approximately 300 (250 + 330 + 330/3 yrs). Based on this experience, the number of responses has been reestimated from 867 to 300 for a net reduction of 567 responses (867 - 300) for the next clearance period.

16. Publication for Statistical Use

This information will not be published for statistical use.

17. Reason for Not Displaying the Expiration Date

The expiration date will be displayed.

18. Exceptions to the Certification Statement

Not applicable.

B. COLLECTION OF INFORMATION EMPLOYING STATISTICAL METHODS

Not applicable.

Attachment: NRC Form 531

APPROVED BY OMB: NO. 3150-0000

EXPIRES: MM/DD/YYYY

Estimated burden per response to comply with this mandatory collection request: 5 minutes. This information is required by NRC to process payments to contractors. Forward comments regarding burden estimate to the Information and Records Management Branch (T-6 F33), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, and to the Paperwork Reduction Project (3150-0000), Office of Management and Budget, Washington, DC 20503. NRC may not conduct or sponsor, and a person is not required to respond to, an information collection unless it displays a currently valid OMB control number.

PRIVACY ACT STATEMENT

Pursuant to 5 U.S.C. 552a(e)(3), enacted into law by section 3 of the Privacy Act of 1974 (Public Law 93-579), the following statement is furnished to individuals who supply information to the Nuclear Regulatory Commission (NRC) on NRC Form 531. This information is maintained in a system of records designated as NRC-32 and described at 61 Federal Register 16811 (April 17, 1996), or the most recent Federal Register publication of the Nuclear Regulatory Commission's "Republication of Systems of Records Notices" that is available at the NRC Public Document Room, Gelman Building, 2120 L Street NW, Washington, DC.

- 1. **AUTHORITY:** 5 U.S.C. 552a(b)(12); 5 U.S.C. 5514; 15 U.S.C. 1681(a)(1); 26 U.S.C. 6103(m)(2); 31 U.S.C. 37, subchapters I and II; 31 U.S.C. 3711, 3716, 3717, 3718, 3720A; 42 U.S.C. 2201, 5841; Cash Management Improvement Act Amendments of 1992 (Public Law 102-589); 10 CFR Parts 15, 16, 170, and 171 (1988). Executive Order 9397, dated November 22, 1943, and the Debt Collection Act of 1966 (Public Law 104-134).
- 2. **PRINCIPAL PURPOSE(S):** To obtain taxpayer identification number/ social security number/individual taxpayer identification number to enable the NRC to collect delinquent debts.
- 3. **ROUTINE USES:** Information from this form may be transmitted to debt collection contractors and/or Federal agencies for collecting delinquent debts. The taxpayer identification numbers and/or social security numbers will also allow the NRC to report delinquent debtors to consumer reporting agencies. Information from this form may also be transmitted to any Federal, State, or local agencies to conduct authorized computer matching programs and to credit reporting agencies. The information may also be disclosed to an appropriate Federal, State, local, or Foreign agency in the event the information indicates a violation or potential violation of law and in the course of an administrative or judicial proceeding. In addition, this information may be transferred to an appropriate Federal, State, local, and

Foreign agency to the extent relevant and necessary for an NRC decision about you or to the extent relevant and necessary for that agency's decision about you. Information from this form may also be disclosed, in the course of discovery under a protective order issued by a court of competent jurisdiction, and in present evidence, to a Congressional office to respond to their inquiry made at your request, or to NRC-paid experts, consultants, and others under contract with the NRC, on a need-to-know basis.

- 4. **WHETHER DISCLOSURE IS MANDATORY OR VOLUNTARY AND EFFECT ON INDIVIDUAL OF NOT PROVIDING INFORMATION:** It is mandatory that you furnish the requested information pursuant to the Debt Collection Improvement Act of 1996 (Public Law 104-134). If you do not provide the requested information, we may no longer be able to conduct business with you, and if you are due a refund, you may give up your rights to your refund.
- 5. **SYSTEM MANAGER AND ADDRESS:**
Director, Division of Accounting and Finance
Office of the Controller
U.S. Nuclear Regulatory Commission
Washington, DC 20555-0001

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON DC 20555-0001

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