

## **GENERAL INFORMATION**

### **1.2 INSTITUTIONAL INFORMATION AND AUTHORIZED USE**

#### **1.2.1 PURPOSE OF REVIEW**

The purpose of this review is to establish that the license application includes adequate institutional information concerning the applicant, and information concerning the proposed activities at the applicant's facility.

#### **1.2.2 RESPONSIBILITY FOR REVIEW**

Primary: Licensing Project Manager

Secondary: None

Supporting: Office of the General Counsel; Office of Administration/Division of Facilities and Security

#### **1.2.3 AREAS OF REVIEW**

Information provided for review should include the identity and address of the applicant's facility and corporate headquarters; corporate information sufficient to show the relationship of the applicant's organization relative to other corporate entities; the existence and extent of foreign ownership or influence; financial information sufficient to indicate the resources available to the applicant to pursue the activities for which the license is sought; the site location as legally described in land records; a description of each proposed licensed activity in the form of requested authorized uses; the type and term of license being applied for; and the type, quantity, and form(s) of material(s) proposed to be used at the licensed facility.

Note: It is expected that most operations of the type reviewed under this SRP will be associated with Department of Energy (DOE) facilities and have financial guarantees for operation and decommissioning from DOE. As such, the applicant's financial viability and guarding of national security information would be expected to be completed before DOE's selection of the applicant as a contractor and therefore not be reviewed by NRC.

#### **1.2.4 ACCEPTANCE CRITERIA**

##### **1.2.4.1 Regulatory Requirements**

The regulations applicable to the areas of review in this SRP are 10 CFR 70.22, "Contents of Applications," § 70.23, "Requirements for the Approval of Applications," § 70.33, "Renewal of Licenses," and 10 CFR Part 95, "Security Facility Approval and Safeguarding of National Security Information and Restricted Data."

##### **1.2.4.2 Regulatory Guidance**

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There are no regulatory guides that apply to institutional information for facilities licensed under 10 CFR Part 70.

### 1.2.4.3 Regulatory Acceptance Criteria

The application should be acceptable if the following criteria are met:

#### 1. Corporate Identity

The applicant has furnished its full name and address. The address of the facility is provided if it is different from that of the applicant. If the application is for renewal, the applicant identifies the number of the license to be renewed. A full description of the plant site location (State, county, and municipality) is given. The State where the applicant is incorporated or organized and the location of the principal office are indicated. If the applicant is a corporation or other entity, the names and citizenship of its principal officers are provided. The entity to be licensed is clearly described with respect to any higher level related corporate structure. The description clearly identifies and explains any proposed foreign ownership or control of activities. Primary ownership and relationships to other components of the same ownership are explicitly described. The presence and operations of any other company on the site to be licensed are fully described.

#### 2. Financial Qualifications

As the applicant for this type of facility should be guaranteed by DOE, the applicant only needs to describe the relationship with DOE.

If the applicant is not a DOE contractor, then a description of financial qualifications demonstrates the applicant's current and continuing access to the financial resources necessary to engage in the proposed activity in accordance with the regulations within 10 CFR Part 70. A reference to the appropriate sections of the applicant's decommissioning funding plan may be acceptable.

#### 3. Type, Quantity, and Form of Licensed Material

The elemental name, maximum quantity, and specifications, including the chemical and physical form(s), of the special nuclear material the applicant proposes to acquire, deliver, receive, possess, use, transfer or store are identified. The specifications should include the isotopic content and maximum enrichment by weight percent. In addition, fission products and other or transuranics are characterized by identity and maximum concentration.

#### 4. Authorized Uses

Each activity or process in which special nuclear material is proposed to be acquired, delivered, received, possessed, used, processed, transferred, or stored is described in a general manner. The authorized uses must be consistent with the Atomic Energy Act of 1954, et seq. The description should be verified to be consistent with more detailed process descriptions submitted as part of the ISA summary reviewed under Chapter 3.0 of this SRP.

5. Licensing Term

The applicant should state the period of time for which the license is requested.

If the application is for a renewal, the applicant states the period of time for which license renewal is requested, and why the renewal application should be considered timely in accordance with 10 CFR Part 70.

6. Special Exemptions or Special Authorizations

Specific requests for exemptions or unusual authorizations should be listed in this section and justified in the appropriate technical section of the application.

7. Security of Classified Information

As a contractor to DOE, the applicant's security programs to protect classified information is expected to be handled by DOE. As such, the applicant should describe the agreements with DOE.

If this is not the case, the applicant should show that they have received a facility security clearance in accordance with 10 CFR Part 95, as necessary.

**1.2.5 REVIEW PROCEDURES**

**1.2.5.1 Acceptance Review**

The primary reviewer should evaluate the application to determine whether it addresses the "Areas of Review" discussed in Section 1.2.3, above. If significant deficiencies are identified, the applicant should be requested to submit additional material before the start of the safety evaluation.

**1.2.5.2 Safety Evaluation**

After determining that the application is acceptable for review in accordance with Section 1.2.5.1, above, the primary reviewer should perform a safety evaluation against the acceptance criteria described in Section 1.2.4.3. The material to be reviewed is for the most part informational in nature; a detailed technical analysis is generally not required beyond verifying that information in the acceptance criterion is included in the application. The reviewer should request review assistance, if needed, from the Division of Facilities and Security and the Office

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of the General Counsel in the review of corporate and financial information. The primary reviewer should document the evaluation as described in Section 1.2.6.

### 1.2.6 EVALUATION FINDINGS

After completing the evaluation of material in response to this section, the primary reviewer should write an SER section addressing each topic reviewed under this SRP section and explain why the NRC staff has reasonable assurance that the institutional information provided is acceptable. The SER section should include a summary statement of what was evaluated and the basis for the reviewers' conclusions. License conditions may also be proposed in this SER section to impose requirements where the application is deficient.

The staff can document the evaluation as follows:

*The staff has reviewed the institutional information for [name of facility] according to Standard Review Plan Section 1.2. [Insert a summary statement of what was evaluated and why the reviewer finds the submittal acceptable.] Based on the review, the NRC staff has determined that the applicant has adequately described and documented the corporate structure and financial information, and that the applicant is in compliance with those parts of 10 CFR 70.22 and other sections of Part 70 related to other institutional information. In addition, the applicant has adequately described the types, forms, quantities, and proposed authorized uses of licensable materials to be permitted at this facility as follows:*

<u>Material</u>	<u>Form</u>	<u>Quantity</u>	<u>Authorized Use(s)</u>
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*The applicant's proposed activities are consistent with the Atomic Energy Act. The applicant has provided all institutional information necessary to understand the ownership, financial qualifications, location, planned activities, and nuclear materials to be handled in connection with the requested license.*

### 1.2.7 REFERENCES

Code of Federal Regulations, *Title 10, Energy*, Part 70, "Domestic Licensing of Special Nuclear Material."