

April 21, 2000

MEMORANDUM TO: James T. Wiggins, Deputy Regional Administrator, RI
Bruce Mallett, Deputy Regional Administrator, RII
James L. Caldwell, Deputy Regional Administrator, RIII
Thomas P. Gwynn, Deputy Regional Administrator, RIV
A. Randolph Blough, Director, DRP, RI
Wayne D. Lanning, Director, DRS, RI
Loren R. Plisco, Director, DRP, RII
Charles A. Casto, Director, DRS, RII
Geoffrey E. Grant, Director, DRP, RIII
John A. Grobe, Director, DRS, RIII
Kenneth E. Brockman, Director, DRP, RIV
Arthur T. Howell III, Director, DRS, RIV

FROM: William M. Dean, Chief */RA*
by Cornelius F. Holden Acting For
Inspection Program Branch
Division of Inspection Program Management
Office of Nuclear Reactor Regulation

SUBJECT: PROGRAM FEEDBACK DURING INITIAL
IMPLEMENTATION OF THE REVISED REACTOR
OVERSIGHT PROCESS

Feedback from inspectors and regional management is important to improving our programs and processes. Therefore, we are making a formal feedback process an integral part of our ongoing self assessment activities. While we will continue to refine the reactor oversight process, regional personnel should use the attached form and the general guidelines presented here in providing feedback to the program office.

The form should be used for documenting comments, suggestions, and recommendations for all aspects of the revised Reactor Oversight Process (ROP): inspection, significance determination process, assessment, enforcement, plant issues matrices, etc. Temporary Instruction (TI) 2515/144, "Performance Indicator Data Collecting and Reporting Review," includes a form to report issues with interpreting the industry guidance on performance indicators (PIs). All other comments related to PIs should be documented on the form attached to this memo.

We would like each region to review the forms from their inspectors before forwarding them to IIPB. Our expectation is that the regions would respond to those issues raised by inspectors that the regions can readily answer and do not need our consideration or which do not require program changes. However, we are asking that all feedback forms should be sent to IIPB so we can assess what areas may need further emphasis.

During the pilot program, we asked inspectors to complete a feedback form every time a procedure was used because we used the feedback in evaluating how well pilot program criteria were met. That is not our expectation for initial implementation of the ROP. We encourage inspectors to provide their feedback, but it is not a requirement of the program that

they submit a form after each inspection. However, if an inspector is providing a comment or suggestion on an inspection procedure that was just used, we ask that the inspector answer the four questions regarding the inspection procedure on the feedback form in addition to giving us his/her comment.

The regions should send forms with comments to Chief, Inspection Program Branch, NRR (email: PIPBCAL).

Attachment: Reactor Oversight Process Feedback Form

cc: R. Barrett, NRR
J. Hannon, NRR
T. Quay, NRR
G. Tracy, NRR
B. Boger, NRR
F. Gillespie, NRR

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*see previous concurrence

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OFC:	NRR/IIPB		NRR/IIPB		NRR/IIPB			
NAME:	SRStein*		MRJohnson*		WMDean			
DATE:	4/10/00		4/14/00		4/21/00			

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Instructions: Identify the procedure number, associated attachment number, or other program document number related to your feedback. Send the form to NRR/IIPB through your supervisor (or regional DRP branch chief). Although not necessary, we would appreciate answers to the questions below applicable to the area of the oversight process on which you are commenting. Thank you.

Document No.: _____ Attachment No. (if applicable): _____

Topic:

Inspection	<input type="checkbox"/>	SDP	<input type="checkbox"/>	PIs	<input type="checkbox"/>
Assessment	<input type="checkbox"/>	Enforcement	<input type="checkbox"/>	Other	<input type="checkbox"/>

General:

1. Clarity: Are the requirements and guidance clear and understandable?

Inspection:

1. Scope: Does IP cover the right areas to inspect risk significant activities consistent with cornerstone attributes and any associated PIs?

2. Frequency: Is frequency of inspection sufficient to gain adequate periodic indications of performance consistent with the importance of the area being inspected?

3. Level of Effort: Are the number of samples and depth of inspection adequate to indicate performance and uncover problems in this area?

Comments/Recommendations: (Please state the problem and impact on the program.)

Commenter Information*:

Name/Email: _____ Phone No.: _____ Region/Div: _____

Plant Name (if applicable): _____

Date Submitted:

Regional Review:

Reviewed by:

Regional Action:

Respond

Send to IIPB

Remarks:

IIPB Action:

IIPB Contact:

Name/Email: _____ Phone No.: _____

Date Received:

Initial Action:

Date of Initial Action:

Final Action:

Date of Final Action:

Remarks:

*Optional information. Necessary for responding to commenter.