

State: Alabama

STATE REGULATION STATUS

Tracking Ticket Number: 21-28

Date: June 4, 2021

[# amendment(s) reviewed identified by a * at the beginning of the equivalent NRC requirement.]

| RATS ID | NRC Chronology Identification | Date Due for State Adoption | Incoming Letter | Outgoing Package | Notes |
|---------|---|-----------------------------|-----------------|---------------------------|---|
| 1991-1 | Safety Requirements for Radiographic Equipment Part 34 55 FR 843 (Superceded by 1997-5) | 01/10/1994 | Final | No Comments 04/02/1994 | Alabama has adopted Final Regulations equivalent to RATS ID 1997-5 |
| 1991-2 | ASNT Certification of Radiographers Part 34 56 FR 11504 (Superceded by 1997-5) | none | Not Required | Not Required | Alabama has adopted Final Regulations equivalent to RATS ID 1997-5 |
| 1991-3 | Standards for Protection Against Radiation Part 20 56 FR 23360; 56 FR 61352; 57 FR 38588; 57 FR 57877; 58 FR 67657; 59 FR 41641; 60 FR 20183; | 01/01/1994 | Final | No Comments 11/19/1997 | |
| 1991-4 | Notification of Incidents Parts 20, 30, 31, 34, 39, 40 and 70 56 FR 64980 | 10/15/1994 | Final | No Comments 04/02/1994 | |
| 1992-1 | Quality Management Program and Misadministrations Part 35 56 FR 34104 (Superceded by 2002-2) | 01/27/1995 | Final | No Comments 04/02/1994 | Alabama has adopted Final Regulations equivalent to RATS ID 2002-2 |
| 1992-2 | Eliminating the Recordkeeping Requirements for Departures from Manufacturer's Instructions Parts 30 and 35 57 FR 45566 | none | Not Required | Not Required | These regulation changes are not required to be adopted for purposes of Compatibility |

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| 1993-1 | Decommissioning Recordkeeping and License Termination: Documentation Additions [Restricted areas and spill sites] Parts 30 and 40 58 FR 39628 | 10/25/1996 | Final | No Comments 06/05/1998 | |
| 1993-2 | Licensing and Radiation Safety Requirements for Irradiators Part 36 58 FR 7715 | 07/01/1996 | Final | No Comments 06/05/1998 | |
| 1993-3 | Definition of Land Disposal and Waste Site QA Program Part 61 58 FR 33886 | 07/22/1996 | Not Applicable ⁱ | Not Applicable | Alabama does not have any licensees subject to these regulations. (See SECY-95-112) |
| 1994-1 | Self-Guarantee as an Additional Financial Mechanism Parts 30, 40 and 70 58 FR 68726; 59 FR 1618 | none | Final | No Comments 06/05/1998 | These regulation changes are not required to be adopted for purposes of Compatibility. |
| 1994-2 | Uranium Mill Tailings Regulations: Conforming NRC Requirements to EPA Standards Part 40 59 FR 28220 | 07/01/1997 | Not Applicable | Not Applicable | Alabama does not have authority to regulate this material under its Agreement |
| 1994-3 | Timeliness in Decommissioning Material Facilities Parts 30, 40 and 70 59 FR 36026 | 08/15/1997 | Final | No Comments 06/05/1998 | |
| 1995-1 | Preparation, Transfer for Commercial Distribution, and Use of Byproduct Material for Medical Use Parts 30, 32 and 35 59 FR 61767; 59 FR 65243; 60 FR 322 | 01/01/1998 | Final | No Comments 06/05/1998 | |

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| 1995-2 | Frequency of Medical Examinations for Use of Respiratory Protection Equipment Part 20 60 FR 7900 | 03/13/1998 | Final | No Comments 06/05/1998 | |
| 1995-3 | Low-Level Waste Shipment Manifest Information and Reporting Parts 20 and 61 60 FR 15649; 60 FR 25983 | 03/01/1998 | Final | No Comments 06/05/1998 | |
| 1995-4 | Performance Requirements for Radiography Equipment Part 34 60 FR 28323 (Superceded by 1997-5) | 06/30/1998 | Not Required | Not required | Alabama has adopted Final Regulations equivalent to RATS ID 1997-5 |
| 1995-5 | Radiation Protection Requirements: Amended Definitions and Criteria Parts 19 and 20 60 FR 36038 | 08/14/1998 | Final | No Comments 06/05/1998 | |
| 1995-6 | Clarification of Decommissioning Funding Requirements Parts 30, 40 and 70 60 FR 38235 | 11/24/1998 | Final | No Comments 06/05/1998 | |
| 1995-7 | Medical Administration of Radiation and Radioactive Materials Parts 20 and 35 60 FR 48623 (Superceded by 2002-2 and 2005-2) | 10/20/1998 | Final | No Comments 08/29/2000 | Alabama has adopted Final Regulations equivalent to RATS IDs 2002-2 and 2005-2 |

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| 1996-1 | Compatibility with the International Atomic Energy Agency Part 71 60 FR 50248; 61 FR 28724 (Superseded by 2004-1) | 04/01/1999 | Final | No Comments 08/29/2000 | Alabama has not yet adopted RATS ID 2004-1 in final. |
| 1996-2 | One Time Extension of Certain Byproduct, Source and Special Nuclear Materials Licenses Parts 30, 40 and 70 61 FR 1109 | 02/15/1999 | Not Required | Not Required | These regulation changes are not required to be adopted for purposes of Compatibility |
| 1996-3 | Termination or Transfer of Licensed Activities: Recordkeeping Requirements Parts 20, 30, 40, 61 and 70 61 FR 24669 | 06/17/1999 | Final | No Comments 08/29/2000 | |
| 1997-1 | Resolution of Dual Regulation of Airborne Effluents of Radioactive Materials; Clean Air Act Part 20 61 FR 65120 | 01/9/2000 | Final | No Comments 08/29/2000 | |
| 1997-2 | Recognition of Agreement State Licenses in Areas Under Exclusive Federal Jurisdiction Within an Agreement State Part 150 62 FR 1662 | 02/27/2000 | Final | No Comments 08/29/2000 | |
| 1997-3 | Criteria for the Release of Individuals Administered Radioactive Material Parts 20 and 35 62 FR 4120 | 05/29/2000 | Final | No Comments 08/29/2000 | |
| 1997-4 | Fissile Material Shipments and Exemptions Part 71 62 FR 5907 (Superseded by 2004-1) | 02/10/2000 | Not Required | Not Required | These regulation changes are not required to be adopted for purposes of Compatibility. (See STP-97-078) |

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|---------|--|-----------------------------|-----------------|---------------------------|--|
| 1997-5 | Licenses for Industrial Radiography and Radiation Safety Requirements for Industrial Radiography Operations Parts 30, 34, 71 and 150 62 FR 28947 | 06/27/2000 | Final | No Comments 08/29/2000 | |
| 1997-6 | Radiological Criteria for License Termination Parts 20, 30, 40 and 70 62 FR 39057 | 08/20/2000 | Final | No Comments 08/29/2000 | |
| 1997-7 | Exempt Distribution of a Radioactive Drug Containing One Microcurie of Carbon-14 Urea Part 30 62 FR 63634 | 01/02/2001 | Final | No Comments 06/05/1998 | |
| 1998-1 | Deliberate Misconduct by Unlicensed Persons Parts 30, 40, 61, 70, 71 and 150 63 FR 1890; 63 FR 13773 | 02/12/2001 | Final | No Comments 10/01/2001 | |
| 1998-2 | Self-Guarantee of Decommissioning Funding by Nonprofit and Non-Bond-Issuing Licensees Parts 30, 40, and 70 63 FR 29535 | 07/01/2001 | Not Required | Not Required | These regulation changes are not required to be adopted for purposes of Compatibility |
| 1998-3 | License Term for Medical Use Licenses Part 35 63 FR 31604 (Superceded by 2002-2) | 07/10/2001 | Not Required | Not Required | These regulation changes are not required to be adopted for purposes of Compatibility. (See STP-98-074) Alabama has adopted Final Regulations equivalent to RATS IDs 2002-2. |

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| 1998-4 | Licenses for Industrial Radiography and Radiation Safety Requirements for Industrial Radiographic Operations Part 34 63 FR 37059 | 07/09/2001 | Final | No Comments 08/29/2000 | |
| 1998-5 | Minor Corrections, Clarifying Changes, and a Minor Policy Change Parts 20, 32, 35, 36 and 39 63 FR 39477; 63 FR 45393 | 10/26/2001 | Final | No Comments 08/29/2000 | |
| 1998-6 | Transfer for Disposal and Manifests: Minor Technical Conforming Amendment Part 20 63 FR 50127 | 11/20/2001 | Final | No Comments 08/29/2000 | |
| 1999-1 | Radiological Criteria for License Termination of Uranium Recovery Facilities Part 40 64 FR 17506 | 06/11/2002 | Not Applicable | Not Applicable | Alabama does not have authority to regulate this material under its Agreement |
| 1999-2 | Requirements for Those Who Possess Certain Industrial Devices Containing Byproduct Material to Provide Requested Information Part 31 64 FR 42269 | 10/04/2002 | Not Required | Not Required | These regulation changes are not required to be adopted for purposes of Compatibility |
| 1999-3 | Respiratory Protection and Controls to Restrict Internal Exposure Part 20 64 FR 54543; 64 FR 55524 | 02/02/2003 | Final ML021090092 | No Comments 04/25/2002 ML021160517 | |
| 2000-1 | Energy Compensation Sources for Well Logging and Other Regulatory Clarifications Part 39 65 FR 20337 | 05/17/2003 | Final ML062000076 | No Comments 08/10/2006 ML062220014 | |

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|---------|--|-----------------------------|--|---|-------|
| 2000-2 | New Dosimetry Technology Parts 34, 36 and 39 65 FR 63750 | 01/08/2004 | Final ML062000076 | No Comments 08/10/2006 ML062220014 | |
| 2001-1 | Requirements for Certain Generally Licensed Industrial Devices Containing Byproduct Material Parts 30, 31 and 32 65 FR 79162 | 02/16/2004 | Final ML062000076 | No Comments 08/10/2006 ML062220014 | |
| 2002-1 | Revision of the Skin Dose Limit Part 20 67 FR 16298 | 04/05/2005 | Final ML052940420 | No Comments 11/08/2005 ML053120389 | |
| 2002-2 | Medical Use of Byproduct Material Parts 20, 32 and 35 67 FR 20249 | 10/24/2005 | Final ML062000076 | No Comments 08/10/2006 ML062220014 | |
| 2003-1 | Financial Assurance for Materials Licensees Parts 30, 40 and 70 68 FR 57327 | 12/03/2006 | Final ML062000076 | No Comments 08/10/2006 ML062220014 | |
| 2004-1 | Compatibility With IAEA Transportation Safety Standards and Other Transportation Safety Amendments Part 71 69 FR 3697 | 10/01/2007 | Final ML14293A397 Revised Final ML16203A187 | Comments 12/16/2014 ML14290A377 No Comments 08/19/2016 ML16203A174 | |
| 2005-1 | Security Requirements for Portable Gauges Containing Byproduct Material Part 30 70 FR 2001 | 07/11/2008 | Final ML13176A135 License Condition ML081960176 | No Comments 09/18/2013 ML13176A123 Comments 07/28/2008 ML082100083 | |

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| 2005-2 | Medical Use of Byproduct Material - Recognition of Specialty Boards Part 35 70 FR 16336; 71 FR 1926 | 04/29/2008 | Final ML062000076 | No Comments 08/10/2006 ML062220014 | |
| 2005-3 | Increased Controls for Risk-Significant Radioactive Sources (NRC Order EA-05-090) 70 FR 72128 | 12/01/2005 | License Condition ML052570218 | No Comments 09/15/2005 ML052620319 | |
| *2006-1 | Minor Amendments Parts 20, 30, 32, 35 40 and 70 71 FR 15005 | 03/27/2009 | Final ML13176A135 Revised Final ML21131A090 | Comments 09/18/2013 ML13176A123 Comments 06/04/2021 ML21131A077 | |
| *2006-2 | National Source Tracking System - Serialization Requirements Part 32 with reference to Part 20 Appendix E 71 FR 65685 | 02/06/2007 | Final ML13176A135 Revised Final ML21131A090 | Comments 09/18/2013 ML13176A123 No Comments 06/04/2021 ML21131A077 | |
| 2006-3 | National Source Tracking System Part 20 71 FR 65685, 72 FR 59162 | 01/31/2009 | Final ML13176A135 License Condition ML082820556 | No Comments 09/18/2013 ML13176A123 No Comments 10/21/2008 ML082820596 | |

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| 2007-1 | Medical Use of Byproduct Material - Minor Corrections and Clarifications Parts 32 and 35 72 FR 45147, 54207 | 10/29/2010 | Final ML14293A397 Revised Final ML16203A187 Revised Final ML16314E173 Revised Final ML18236A301 Revised Final ML19043A789 | Comments 12/16/2014 ML14290A377 Comments 08/19/2016 ML16203A174 Comment 12/27/2016 ML16314D996 Comment 10/16/2018 ML18236A295 No Comments 02/25/2019 ML19043A788 | |
| 2007-2 | Exemptions From Licensing, General Licenses, and Distribution of Byproduct Material: Licensing and Reporting Requirements Parts 30, 31, 32 and 150 72 FR 58473 | 12/17/2010 | Final ML14293A397 Revised Final ML16203A187 | Comments 12/16/2014 ML14290A377 No Comments 08/19/2016 ML16203A174 | |

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| *2007-3 | Requirements for Expanded Definition of Byproduct Material Parts 20, 30, 31, 32, 33, 35, 61 and 150 72 FR 55864 | 11/30/2010 | Final ML14293A397 Revised Final ML16203A187 Revised Final ML16314E173 Revised Final ML18236A301 Revised Final ML19043A789 Revised Final ML21131A090 | Comments 12/16/2014 ML14290A377 Comments 08/19/2016 ML16203A174 Comments 12/27/2016 ML16314D996 Comments 10/16/2018 ML18236A295 Comment 02/25/2019 ML19043A788 No Comments 06/04/2021 ML21131A077 | |
| 2007-4 | Order Imposing Fingerprinting Requirements and Criminal History Records Check Requirements for Unescorted Access to Certain Radioactive Material NRC Order EA-07-305 72 FR 70901 | 06/05/2008 | License Condition ML081090443 | No Comments 05/01/2008 ML081220314 | |
| 2008-1 | Occupational Dose Records, Labeling Containers, and Total Effective Dose Equivalent Parts 19 and 20 72 FR 68043 | 02/15/2011 | Final ML13176A135 | No Comments 09/18/2013 ML13176A123 | |

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| 2009-1 | Medical Use of Byproduct Material – Authorized User Clarification Part 35 74 FR 33901 | 09/28/2012 | Final ML14293A397 | No Comments 12/16/2014 ML14290A377 | |
| 2011-1 | Decommissioning Planning Parts 20, 30, 40 and 70 76 FR 35512 | 12/17/2015 | Final ML14293A397 | No Comments 12/16/2014 ML14290A377 | |
| 2011-2 | Licenses, Certifications, and Approvals for Materials Licensees Parts 30, 36, 39, 40, 70 and 150 76 FR 56951 | 11/14/2014 | Final ML15127A281 | No Comments 06/11/2015 ML15127A276 | |
| 2012-1 | Change of Compatibility of Parts 31.5 and 31.6 (See RATS ID: 2001-1 for Rule text) 77 FR 3640 | 01/25/2015 | Final ML15127A281 Revised Final ML16203A187 | Comments 06/11/2015 ML15127A276 No Comments 08/19/2016 ML16203A174 | |
| 2012-2 | Advance Notification to Native American Tribes of Transportation of Certain Types of Nuclear Waste Part 71 77 FR 34194 | 08/10/2015 | Final ML16076A374 Revised Final ML16203A187 | Comments 04/22/2016 ML16076A369 No Comments 08/19/2016 ML16203A174 | |

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| 2012-3 | Technical Corrections Parts 30, 34, 40 and 71 77 FR 39899 | 08/06/2015 | Final ML14293A397 Revised Final ML16203A187 | Comments 12/16/2014 ML14290A377 Comments 08/19/2016 ML16203A174 | |
| 2012-4 | Requirements for Distribution of Byproduct Material Parts 30, 31, 32, 40 and 70 77 FR 43666 | 10/23/2015 | Final ML14293A397 Revised Final ML16203A187 Revised Final ML16314E173 | Comments 12/16/2014 ML14290A377 Comments 08/19/2016 ML16203A174 No Comments 12/27/2016 ML16314D996 | |
| 2013-1 | Physical Protection of Byproduct Material Parts 20, 30, 32, 33, 34, 35, 36, 37, 39 and 71 78 FR 16922 | 03/19/2016 | Proposed ML15252A268 Final ML15323A029 Revised Final ML16203A187 | Comments 10/15/2015 ML15252A240 Comment 12/16/2015 ML15323A030 No Comments 08/19/2016 ML16203A174 | Comment is regarding 30.13 |

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|---------|--|--|---|---|-------|
| 2013-2 | Distribution of Source Material to Exempt Persons and to General Licensees and Revision of General License and Exemptions Parts 30, 40 and 70 78 FR 32310 | 08/27/2016 | Proposed ML16203A187 Final ML16314E173 Revised Final ML18236A301 Revised Final ML19043A789 | No Comments 08/19/2016 ML16203A174 Comment 12/27/2016 ML16314D996 Comment 10/16/2018 ML18236A295 No Comment 02/25/2019 ML19043A788 | |
| 2015-1 | Domestic Licensing of Special Nuclear Material – Written Reports and Clarifying Amendments Part 70 79 FR 57721, 80 FR 143 | 01/26/2018 | Proposed ML18052B061 | No Comments 03/16/2018 ML18052B059 | |
| 2015-2 | Safeguards Information - Modified Handling Categorization, Change for Materials Facilities Parts 30, 37, 73 and 150 79 FR 58664, 80 FR 3865 | 01/28/2018 | Proposed ML18095A804 Final ML18186A523 | No Comments 4/27/2018 ML18095A803 No Comments 07/17/2018 ML18186A519 | |
| 2015-3 | Revisions to Transportation Safety Requirements and Harmonization with International Atomic Energy Agency Transportation Requirements Part 71 80 FR 33987 | 07/13/2018 *extended to 08/15/2020 See STC 17-060 | Proposed ML18236A301 Final ML19043A789 | Comments 10/16/2018 ML18236A295 No Comments 02/25/2019 ML19043A788 | |

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| 2015-4 | Miscellaneous Corrections, Parts 37 and 40 80 FR 45841 | 09/02/2018 | Proposed ML18236A301 Final ML19043A789 | No Comments 10/16/2018 ML18236A295 No Comments 02/25/2019 ML19043A788 | |
| 2015-5 | Miscellaneous Corrections, Parts 19, 20, 30, 32, 37, 40, 61, 70, 71 and 150 80 FR 74974 | 12/31/2018 | Proposed ML18095A804 Final ML18186A523 | Comments 4/27/2018 ML18095A803 Comment 07/17/2018 ML18186A519 | |
| *2018-1 | Medical Use of Byproduct Material – Medical Event Definitions, Training and Experience, and Clarifying Amendments, Parts 30, 32 and 35 | 01/14/2022 | Proposed ML21131A090 | Comments 06/04/2021 ML21131A077 | |
| *2018-2 | Miscellaneous Corrections – Organizational Changes Parts 37, 40, 70 and 71 | 12/21/2021 | Proposed ML21131A090 | No Comments 06/04/2021 ML21131A077 | |
| *2018-3 | Miscellaneous Corrections – Organizational Changes Parts 1, 2,34, 37, 50, 71, 73, and 140 | 07/30/2022 | Proposed ML21131A090 | No Comments 06/04/2021 ML21131A077 | |
| *2019-1 | Miscellaneous Corrections Parts 2, 21, 37, 50, 52, 73, and 110 | 12/18/2022 | Proposed ML21131A090 | No Comments 06/04/2021 ML21131A077 | |

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| 2019-2 | Organization Changes and Conforming Amendments Parts 1, 2, 37, 40, 50, 51, 52, 55, 71, 72, 73, 74 100, 140, and 150 | 12/30/2022 | | | |
| 2020-1 | Individual Monitoring Devices 10 CFR Parts 34, 36, and 39 | 06/16/2023 | | | |
| *2020-2 | Social Security Number Fraud Prevention 10 CFR Parts 9 and 35 | 08/17/2023 | Proposed ML21131A090 | No Comments 06/04/2021 ML21131A077 | |
| *2020-3 | Miscellaneous Corrections 10 CFR Parts 1, 2, 19, 20, 21, 30, 34, 35, 40, 50, 51, 52, 60, 61, 62, 63, 70, 71, 72, 73, 74, 75, 76, 110, and 140 | 11/16/2023 | Proposed ML21131A090 | No Comments 06/04/2021 ML21131A077 | |
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