

COMPANIES IN WHICH NRC EMPLOYEES COVERED BY 5 C.F.R. 5801.102
ARE PROHIBITED FROM OWNING STOCK, BONDS,
OR OTHER SECURITY INTERESTS

1. Nuclear Power Reactor Licensees

Alachua Electric Department, FL*
Allegheny Electric Cooperative, Incorporated
AmerGen Energy Company, LLC
Ameren Corporation
American Electric Power Co., Inc.
Anaheim Electric Division, CA*
Bangor Hydro Electric Company
British Energy, plc
Bushnell Utility Dept., FL*
Carolina Power & Light Company
Central Iowa Power Cooperative
Central Vermont Public Service Corporation
City of Austin, TX *
City Public Service of San Antonio, TX *
CMS Energy Corp.
Commonwealth Energy System
Connecticut Yankee Atomic Power Company
Constellation Energy Group
Corn Belt Power Cooperative
Dairyland Power Cooperative
Dalton Water and Light Commission, GA*
Dominion Energy Holdings, Inc.
Dominion Resources, Inc.
DTE Energy Company
Duke Power LLC
Eastern Utilities Associates
El Paso Electric Company
Edison International, Inc.
Energy Northwest
Entergy Corporation (and all Entergy subsidiaries)
Exelon, Inc.
First Energy Corporation
Florida Municipal Power Agency*
Florida Progress Corporation
FPL Group, Incorporated
Gainesville-Alachua County Regional Electric, Water, and Sewer Utilities Board, FL*
GPU, Inc.
Great Plains Energy
Hudson Light & Power Dept., MA*Iowa Electric Light and Power Company
IPC Development Company
Jersey Central Power and Light Company
Kansas Electric Power Cooperative*
Kissimee Utilities, FL*
Leesburg Municipal Electric Dept., FL*
Long Island Power Authority
Los Angeles Department of Water & Power*

Maine Public Service Company
Massachusetts Municipal Wholesale Electric Company*
MidAmerican Energy Company
Montaup Electric Company
Municipal Electric Authority of Georgia*
National Grid Group, plc
Nebraska Public Power District*
New Smyrna Beach Utilities Commission, FL*
North Carolina Electric Membership Corporation*
North Carolina Municipal Power Agency No. 1*
North Carolina Eastern Municipal Power Agency*
Northeast Nuclear Energy Company
Northeast Utilities
NRG Energy, Incorporated
Nstar
Ocala Utilities Division, FL*
Oglethorpe Power Corp.
Old Dominion Electric Cooperative
Omaha Public Power District*
Orlando Utilities Commission, FL*
Pacific Gas & Electric Company
Pennsylvania Electric Company
Piedmont Municipal Power Agency*
Pinnacle West Capital Corporation
PPL Corporation
Progress Energy
Public Service Company of New Hampshire
Public Service Company of New Mexico
Public Service Enterprise Group
Reliant Energy
Resources Capital Management Corporation
Riverside Public Utilities, CA*
Sacramento Municipal Utility District*
Salt River Project Agricultural Improvement & Power District*
Saluda River Electric Cooperative
San Diego Gas and Electric Company
SCANA Corp.
Scottish Power, plc
Seminole Electric Cooperative, Incorporated
Sempra Energy Corporation
South Carolina Public Service Authority*
South Mississippi Electric Power Association
Southern California Edison Company
Southern California Public Power Authority*
Southern Company
STP Nuclear Operating Company
Taunton Municipal Lighting Plant, MA*
Tennessee Valley Authority
Textron Financial Corporation

TXU Corporation
Unitil Corporation
Westar Energy
Western Massachusetts Electric Co.
Western Resources, Incorporated WP&L Holdings
Wolf Creek Nuclear Operating Corporation
Xcel Energy, Incorporated
Yankee Atomic Electric Company

2. Manufacturers/Vendors of Power or Test Reactors

AECL Technologies, Inc.
Areva
ASEA Brown Boveri
BNFL, Ltd.
British Nuclear Group
Framatome
General Electric
IHI Corporation
McDermott International, Incorporated
Mitsubishi Heavy Industries, LTD. **
Toshiba Corporation
Washington Group International, Inc.

3. Architectural Engineering Companies

Bechtel
Black & Veatch
Brown Boveri
Burns & Roe
C.F. Braun
Duke Engineering Services
Dravo Corporation
Ensearch
Gilbert Associates/Commonwealth Companies
Sargeant & Lundy
Southern Services Co.
Shaw Group

4. Fuel Cycle-Related Applicants, Certificate of Compliance Holders, and Licensees

Alaron Corporation
American Nuclear Corporation
Applied Health Physics, Incorporated
Areva
Edlow International Company
EnergySolutions, Inc.
Fansteel, Incorporated
Foster Wheeler General Nuclear Systems, Incorporated
Holtec International
Homestake Mining Company
Hydro Resources, Incorporated
Kennecott Uranium Corporation

MDS Nordion
NAC, International
Pathfinder Mines Corporation
Radiac Research Corporation
RIO Algom Corporation
Scientific Ecology Group, Incorporated
Shieldalloy Metallurgical Corporation
Umetco Minerals Corporation
UNC Mining and Milling
USEC, Incorporated
Western Nuclear, Inc.

5. Energy or Utility Sector Mutual or Exchange Traded Funds ***

AIM Utilities Fund
Alliance Bernstein Utility Income Fund
American Century Utility Income Fund
BlackRock Utilities and Telecommunications Fund
Cohen and Steers Utility Fund
Evergreen Utility and Telecommunications Fund
Fidelity Advisor Utilities Fund
Franklin Utilities Fund
ING MFS Series Trust Utilities Fund
iShares Dow Jones U.S. Utilities Sector Index Fund
iShares S&P Global Nuclear Energy Index Fund **
Market Vectors-Nuclear Energy ETF
MFS Utilities Fund
Morgan Stanley Utilities Fund
Phoenix Global Utilities Fund
ProFunds Utilities Fund
PowerShares Dynamic Utilities Fund **
PowerShares FTSE RAFI Utilities Fund **
PowerShares Global Nuclear Energy Fund **
ProShares Ultra Utilities Fund **
Putnam Utilities Growth and Income Fund
Rydex Utilities Fund
Utilities SPDR Fund
Utilities Select Sector SPDR **
Van Eck Nuclear Energy ETF **
Vanguard Utilities ETF **
Van Kampen Utility Fund

* This entity is a municipal or state government organization. Ownership of bonds or other financial instruments issued by such an organization is proscribed only when the bonds or other financial instruments are issued specifically for the construction of nuclear power plants.

** This entity has been added by this issuance.

*** This list is compiled based on a recent Office of the General Counsel review of energy and utility mutual fund reports and prospectuses which show that at least 25% of the assets of each

fund consist of entities in the first four categories of this list. Because the assets of a fund change, employees should contact OGC if a fund listed above holds less than 25% of its assets in prohibited securities. In addition, employees who own more than \$15,000 in any other sector mutual fund should not personally and substantially participate in any particular matter that could directly and predictably affect the financial interests of any entity in that particular sector whose securities are held by that fund; however, employees who do not own more than \$50,000 in a sector mutual fund can participate in generic matters (such as rulemakings) affecting any entity in that sector held by that fund.