| 1  | UNITED STATES NUCLEAR REGULATORY COMMISSION                              |
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| 2  | MEETING WITH THE ADVISORY COMMITTEE ON REACTOR                           |
| 3  | SAFEGUARDS (ACRS)  |
| 4  | + + + + +  |
| 5  | THURSDAY   |
| 6  | June 7, 2007   |
| 7  | + + + + +  |
| 8  | The Commission convened at 1:30 p.m., Dale E. Klein, Chairman presiding. |
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| 10 | NUCLEAR REGULATORY COMMISSION  |
| 11 | DALE E. KLEIN, CHAIRMAN  |
| 12 | EDWARD McGAFFIGAN, JR., COMMISSIONER                                     |
| 13 | JEFFREY S. MERRIFIELD, COMMISSIONER                                      |
| 14 | GREGORY B. JACZKO, COMMISSIONER  |
| 15 | PETER B. LYONS, COMMISSIONER   |
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1 ATTENDEES:

| 2  | DR. WILLIAM SHACK, CHAIRMAN, ACRS |
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| 3  | DR. DANA POWERS, ACRS             |
| 4  | DR. THOMAS KRESS, ACRS            |
| 5  | DR. GEORGE APOSTOLAKIS, ACRS      |
| 6  | DR. MARIO BONACA, ACRS            |
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| 2  | P-R-O-C-E-E-D-I-N-G-S   |
| 3  | CHAIRMAN KLEIN: Looking forward to hearing an update from all                     |
| 4  | the good activities that ACRS has done since our October of '06 meeting and       |
| 5  | also your future activities. Before we really get into the details, there are two |
| 6  | ACRS members that are going off their activities. Tom, we appreciate all that     |
| 7  | you have done.  |
| 8  | DR. KRESS: My pleasure. Thank you.  |
| 9  | CHAIRMAN KLEIN: We have your phone number so you haven't                          |
| 10 | escaped. We know where to reach you. And Graham Wallace who is not here           |
| 11 | today is also going off. So thanks Tom for all your activities and like I said    |
| 12 | when we get in trouble we'll look you up.   |
| 13 | DR. KRESS: I'll be handy.   |
| 14 | CHAIRMAN KLEIN: Any comments before we start? Okay, Bill.                         |
| 15 | DR. SHACK: Before I start, we would like to thank Commissioner                    |
| 16 | McGaffigan who stopped by this morning for a visit with the ACRS for his kind     |
| 17 | words. We've really enjoyed working with you over the years. We also want to      |
| 18 | express our best wishes to Commissioner Merrifield since this will be our last    |
| 19 | meeting with him as a member of the Commission before he leaves the               |
| 20 | Commission. We especially appreciate his interaction with us on the research      |
| 21 | report over the years and being heavily involved in that.                         |
| 22 | I'd like to just sort of briefly go over some of our accomplishments since        |

-3-

the last time we met with the Commission in October. We've issued 24 reports. 1 The one I'd probably like to spend a little bit of time on is our Draft Final Rule to 2 3 Risk Inform 50.46. You've recently received a paper from the staff responding to the 4 recommendations in our report and again our report focused on the two critical 5 issues associated with 50.46a which is the process to monitor and control 6 7 changes in safety with the changes that are enabled by a change in the transition break size and the provision of an adequate defense in depth for 8 9 breaks greater than the transition break size. We recommended some changes in the basic monitoring and control 10 process for the risk process that we thought just made the proposed draft rule 11 more consistent with the existing guidance such as 1174. The most 12 contentious one is probably the defense in depth requirements. We look at 13 defense in depth associated with 50.46 not to control risk. 14 We've chosen the transition break size to make the risk of breaks 15 smaller than the transition break low. But to address uncertainty because 16 although we have an expert elicitation conducted by the Office of Research, I 17 think it does give us state of the art estimates of break frequencies for the large 18 breaks, there's still uncertainties associated with that choice of break size. 19 We made two specific recommendations to provide increased defense in 20 depth over the draft rule. One of those was to have prior review of the codes 21 used to analyze the breaks larger than the transition break reviewed and 22

-4-

approved by the staff rather than not.

And two, to use an application of the deterministic leak before break requirements that we already impose when people want to remove dynamic restraints from their piping system; pipe supports and that, make that at least one of the requirements to ensure that your plant met the assumptions that were built into the expert elicitation.

So for example, that would require that any hot leg welds with dissimilar metal nickel alloy welds would have to be mitigated before you could apply 50.46a to your plant. We also suggested that the primary relaxation and the requirements imposed on the equipment needed to mitigate breaks before the TBS be based on the removal for the requirements for simultaneous loop and worst single failure, not simply the frequency of beyond TBS breaks which is assumed to be low but subject to uncertainty.

The staff paper rejected our recommendations on the use of approved codes on the basis of risk significance. Again, we don't argue with them that the calculated risk significance of these breaks is low. We're worried about addressing the uncertainty associated with that break size.

They also rejected the recommendation that the demonstration of the applicability of the elicitation results be the performance of the deterministic leak before break analysis. In the staff approach then you have to do such analysis to remove pipes support, but not to eliminate the current design basis accidents.

-5-

| 1  | One other element that we did discuss was the choice of the TBS for  |
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| 2  | both the BWRs and the PWRs. In previous letters, we've supported the staff's   |
| 3  | choice of the transition break size as the largest attached piping. It's roughly in  |
| 4  | accord with frequency estimates for PWRs and is kind of a logical place to put   |
| 5  | the system. We all feel comfortable with the notion that frequency decreases   |
| 6  | as pipe breaks size and so if you have a sudden transition between a pipe and  |
| 7  | then a much larger pipe, it's a logical place to choose it.  |
| 8  | It seems to lead to results that are overly restrictive for BWRs and we  |
| 9  | suggested that reviewing that choice in terms of the frequency and the benefits  |
| 10   | that might be accrued from a somewhat smaller break size. We don't feel that   |
| 11   | that's inconsistent with the use of frequency as the primary basis for the choice  |
|  |  |
| 12   | of the transition break size.  |
| 12<br>13   | of the transition break size.<br>Overall, we feel that most of our recommendations require decisions   |
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| 13<br>14   | Overall, we feel that most of our recommendations require decisions from the staff and the Commission and not additional research. They involve  |
| 13<br>14<br>15   | Overall, we feel that most of our recommendations require decisions<br>from the staff and the Commission and not additional research. They involve<br>judgments on a degree of defense and depth. It's difficult to address that in any  |
| 13<br>14<br>15<br>16   | Overall, we feel that most of our recommendations require decisions<br>from the staff and the Commission and not additional research. They involve<br>judgments on a degree of defense and depth. It's difficult to address that in any<br>way except in informed judgment.  |
| 13<br>14<br>15<br>16<br>17   | Overall, we feel that most of our recommendations require decisions<br>from the staff and the Commission and not additional research. They involve<br>judgments on a degree of defense and depth. It's difficult to address that in any<br>way except in informed judgment.<br>Moving on, one of the other reports we did was on Draft Guide 1145,   |
| <ol> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> </ol>             | Overall, we feel that most of our recommendations require decisions<br>from the staff and the Commission and not additional research. They involve<br>judgments on a degree of defense and depth. It's difficult to address that in any<br>way except in informed judgment.<br>Moving on, one of the other reports we did was on Draft Guide 1145,<br>which was providing guidance for combined license applications for nuclear   |
| <ol> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> </ol> | Overall, we feel that most of our recommendations require decisions<br>from the staff and the Commission and not additional research. They involve<br>judgments on a degree of defense and depth. It's difficult to address that in any<br>way except in informed judgment.<br>Moving on, one of the other reports we did was on Draft Guide 1145,<br>which was providing guidance for combined license applications for nuclear<br>power reactors. Our report on DG-1145 actually focused more on the |

-6-

would have living PRAs consistent with consensus standards and that's sort of 1 consistent with our view, often expressed view, that it's important to have 2 3 high-quality PRAs that reflect the actual plant. I think the added language in Part 52 was very helpful that way. 4 Another good piece of work from the staff that we reviewed was 5 NUREG-1824 on the verification and validation of selected fire models for 6 7 nuclear plant applications. This was interesting not only because it was a good piece of technical work, but it was a cooperative effort between the Office of 8

9 Research and EPRI and we think it will significantly improve the technical basis

10 supporting fire safety evaluations.

We also wrote a report on the TRACE thermal-hydraulic system analysis code. We've had other reports reviewing TRACE and our most recent letter was really supportive of the advanced capabilities of the code. It does recognize that now we have this more capable code, we need to make the effort to incorporate it into the regulatory process. One example we had during our current meeting, the staff presented a preliminary analysis of TRACE to stability analysis in BWRs and having this

capability that the staff can make independent confirmatory analysis of

<sup>19</sup> important phenomena for EPUs, confirms our expectation that TRACE can be

<sup>20</sup> helpful in a broad range of confirmatory analysis. Next slide.

The most important element on this page is looking at the integrated

<sup>22</sup> long-range research plan. The Commission recently directed the staff to

| 1  | develop an integrated long-term regulatory research plan. We've also noted in  |
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| 2  | our recent biennial report on the research program the need for long-term  |
| 3  | research not tied to near-term issues of the regulatory process.   |
| 4  | The focus of the work proposed by the staff differed somewhat from our   |
| 5  | focus on the modernization of the way the NRC conducts its regulatory and  |
| 6  | safety mission, but we think the staff has made a good effort to look at some  |
| 7  | long-range research that's needed and we look forward to working with them as  |
| 8  | they further develop their research plan.  |
| 9  | Dr. Bonaca will be describing our effort on license renewal which  |
| 10   | remains an important part of our activities, although we seem to be coming to  |
| 11   | the end of the current bow wave of license renewal applications. At least it's   |
|  |  |
| 12   | sort of coincident timing with the arrival of COLAs.   |
| 12<br>13   | sort of coincident timing with the arrival of COLAs.<br>We've been very concerned about future plant designs. As you know,   |
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| 13   | We've been very concerned about future plant designs. As you know,   |
| 13<br>14   | We've been very concerned about future plant designs. As you know, we've established design specific subcommittees and our subcommittees are   |
| 13<br>14<br>15   | We've been very concerned about future plant designs. As you know,<br>we've established design specific subcommittees and our subcommittees are<br>now set up so that the subcommittee that does the design certification will also  |
| 13<br>14<br>15<br>16   | We've been very concerned about future plant designs. As you know,<br>we've established design specific subcommittees and our subcommittees are<br>now set up so that the subcommittee that does the design certification will also<br>handle at least the first COLA so that we'll have experienced people moving   |
| 13<br>14<br>15<br>16<br>17   | We've been very concerned about future plant designs. As you know,<br>we've established design specific subcommittees and our subcommittees are<br>now set up so that the subcommittee that does the design certification will also<br>handle at least the first COLA so that we'll have experienced people moving<br>from design certification to COLA and carry that expertise and familiarity over.   |
| 13<br>14<br>15<br>16<br>17<br>18   | We've been very concerned about future plant designs. As you know,<br>we've established design specific subcommittees and our subcommittees are<br>now set up so that the subcommittee that does the design certification will also<br>handle at least the first COLA so that we'll have experienced people moving<br>from design certification to COLA and carry that expertise and familiarity over.<br>As I've mentioned, we work to review the guidance for the SRP and Reg  |
| <ol> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> </ol> | We've been very concerned about future plant designs. As you know,<br>we've established design specific subcommittees and our subcommittees are<br>now set up so that the subcommittee that does the design certification will also<br>handle at least the first COLA so that we'll have experienced people moving<br>from design certification to COLA and carry that expertise and familiarity over.<br>As I've mentioned, we work to review the guidance for the SRP and Reg<br>Guides needed for the COLA preparation. We're busy working with other |

-8-

| 1  | Again, we'll still have many, many hours of a large portion of our effort          |
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| 2  | devoted to future reactor designs. We have the pre-application review of the       |
| 3  | EPR coming up. We're making a change in the way we do the design                   |
| 4  | certification for the ESBWR where we've agreed with the staff to review the        |
| 5  | SER on a chapter by chapter basis rather than it would have been easier for us     |
| 6  | as an integrated whole. So again you can see how everything fits together.         |
| 7  | It's important to try to help the staff maintain schedule and we've agreed         |
| 8  | to do that by going to the chapter by chapter review. Again, we have early site    |
| 9  | permits for Vogtle. Another issue involving operating plants is the dissimilar     |
| 10 | metal welds where indications were found at Wolf Creek for circumferential         |
| 11 | cracking which is really a quite different set of indications then we've typically |
| 12 | associated with dissimilar metal welds and somewhat unexpected based on the        |
| 13 | residual stress pattern that we associate with such welds.                         |
| 14 | We support the staff and industry agreement on the resolution of these             |
| 15 | weld issues which will allow the nine plants that haven't completed their          |
| 16 | inspection and mitigation activities to do this in spring 2008, contingent on      |
| 17 | some additional analysis results based on an industry - next slide - an industry   |
| 18 | finite element analysis of an advanced sort that will provide a stronger basis for |
| 19 | the leak before break argument that we really do depend on when we're              |
| 20 | dealing with known degradation systems. The licensees have committed to            |
| 21 | enhance leakage detection as a compensatory measure in the meantime. And           |
| 22 | again we think that's prudent to do.   |

-9-

| 1                                      | It is unfortunate that we didn't get a sample from Wolf Creek before the  |
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| 2                                      | licensee decided to mitigate. Again, it's our only way of really ensuring that we   |
| 3                                      | understand the degradation mechanisms that are going on and again our report  |
| 4                                      | encouraged the industry to perform and asked the staff to encourage industry  |
| 5                                      | to perform inspections before mitigation activities. We do plan to review the   |
| 6                                      | results of the advanced finite element analysis when it becomes available.  |
| 7                                      | Our ongoing and future activities - next slide, please - will obviously   |
| 8                                      | involve advanced reactor design certifications. We're also in the midst of  |
| 9                                      | reviewing a number of research projects for their quality to support the Office of  |
| 10                                     | Research in response to the OMB requirement to evaluate the quality of the  |
| 11                                     | research sponsored.   |
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| 12                                     | We had planned to take up the Commission paper on rulemaking on   |
| 12<br>13                               | We had planned to take up the Commission paper on rulemaking on 50.46a, but we believe the Commission will be providing additional direction on   |
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| 13                                     | 50.46a, but we believe the Commission will be providing additional direction on   |
| 13<br>14                               | 50.46a, but we believe the Commission will be providing additional direction on that, so there's really no need for us to plan to comment on that paper in July.  |
| 13<br>14<br>15                         | 50.46a, but we believe the Commission will be providing additional direction on<br>that, so there's really no need for us to plan to comment on that paper in July.<br>Next slide, please.  |
| 13<br>14<br>15<br>16                   | 50.46a, but we believe the Commission will be providing additional direction on<br>that, so there's really no need for us to plan to comment on that paper in July.<br>Next slide, please.<br>Digital instrumentation and control systems is a very important topic for   |
| 13<br>14<br>15<br>16<br>17             | 50.46a, but we believe the Commission will be providing additional direction on<br>that, so there's really no need for us to plan to comment on that paper in July.<br>Next slide, please.<br>Digital instrumentation and control systems is a very important topic for<br>new reactors and back fitting of old reactors. Dr. Apostolakis will be attending   |
| 13<br>14<br>15<br>16<br>17<br>18       | 50.46a, but we believe the Commission will be providing additional direction on<br>that, so there's really no need for us to plan to comment on that paper in July.<br>Next slide, please.<br>Digital instrumentation and control systems is a very important topic for<br>new reactors and back fitting of old reactors. Dr. Apostolakis will be attending<br>the July 8 <sup>th</sup> meeting and he will be discussing some of our activities in that area   |
| 13<br>14<br>15<br>16<br>17<br>18<br>19 | 50.46a, but we believe the Commission will be providing additional direction on<br>that, so there's really no need for us to plan to comment on that paper in July.<br>Next slide, please.<br>Digital instrumentation and control systems is a very important topic for<br>new reactors and back fitting of old reactors. Dr. Apostolakis will be attending<br>the July 8 <sup>th</sup> meeting and he will be discussing some of our activities in that area<br>in a little bit more subsequent part of the thing. |

-10-

Research has gone on high burn up cladding and some of their proposals for 1 ways to change the acceptance criteria for cladding to address these high burn 2 3 up issues. Human reliability analysis is also another important topic that 4 Dr. Apostolakis will be addressing later in the presentation. 5 COMMISSIONER McGAFFIGAN: Can I just ask a clarifying 6 7 question on the high burn up fuel and cladding issues? Isn't there a 50.46b draft rule floating around somewhere to that's relevant to that? 8 DR. SHACK: I don't believe there is a draft rule yet. There's 9 thoughts of a rule. 10 DR. POWERS: That's correct. Research has done some thinking 11 on the possibility of a rule and we've certainly recommended it in a letter to you 12 that we consider changing current 50.46 to a more technology neutral 13 framework so that we can accommodate new claddings that are out and are 14 coming out. It's a superior piece of research that RES has done on 15 understanding how these clads behave under design basis accident conditions. 16 DR. SHACK: But it hasn't progressed to rulemaking yet? 17 COMMISSIONER McGAFFIGAN: So we're handling it on an ad 18 hoc basis as people come in and want to use the new clads? 19 DR. POWERS: Right now the problem is the existing rule is 20 21 written specifically for Zirlo and Zircaloy so they have achieved something of an exemption or deviation to use the more modern claddings. That is an irritation. 22

It's not a rule. What it does is deter using some of these clads that show 1 superior resistance to corrosion during normal operation and have good 2 3 behavior under accident conditions. COMMISSIONER McGAFFIGAN: That doesn't sound good. 4 DR. POWERS: If you're going to change 50.46, you might as well 5 get both A and B at the same time. The staff has done a good piece of 6 research. They're not guite finished, but they've done enough so we 7 understand it well. 8 9 DR. SHACK: Next slide. Again, we'll be preparing a report this year on the NRC Safety Research Program. It's our biennial report. We're 10 looking at that and we're still involved in the resolution of GSI-191 debris. 11 Again, the difficulties there in addressing the effects of chemical interactions on 12 the sump performance. 13 COMMISSIONER MERRIFIELD: Mr. Chairman, before Bill turns 14 off that page. This is old history to Ed and I and certainly the folks on the other 15 end of the table. The NRC Safety Research Program, the review of our 16 research programs is something that had been a longstanding requirement and 17 the Commission has asked ACRS to continue that effort. 18 One of the things that occurred when Dana Powers was chair of ACRS 19 is I think there was a refocus on that effort. Many times previous to that when 20 we asked ACRS to conduct that review or when they did conduct that review, it 21 looked at a lot of areas where there were gaps and that was very valuable 22

information for the Commission to receive.

| 2  | One of the charges that we made, and I had highly encouraged this, is             |
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| 3  | that we also look at areas where we can sort of turn the tap off. I think what    |
| 4  | ACRS has evolved to in this report is a more useful framework for us in a more    |
| 5  | holistic way looking at our research programs to see where there are areas that   |
| 6  | we have gaps and need to do more and where are there areas where we have          |
| 7  | a good basis of knowledge where we can perhaps reduce or redirect some            |
| 8  | moneys to more effectively utilize the research dollars we have.                  |
| 9  | With my departure, certainly someone will need to take the flag up as the         |
| 10 | champion of that report and I certainly would encourage you and the other         |
| 11 | members of the Commission to do that because I think it's an important tool, it's |
| 12 | an important effort that ACRS conducts for us. I wouldn't want to let that one    |
| 13 | go by.  |
| 14 | CHAIRMAN KLEIN: Having had been involved in research for                          |
| 15 | number of years and Pete as well, I'm surprised to hear you say that Dana         |
| 16 | working at a National Lab would ever want to turn any research off.               |
| 17 | COMMISSIONER MERRIFIELD: It's turning somebody else's                             |
| 18 | research off. That's not quite so hard.   |
| 19 | DR. POWERS: I think we are acutely aware that there are more                      |
| 20 | demands for research information at the agency than the agency has resources      |
| 21 | or perhaps the Nation has resources to satisfy.                                   |
| 22 | So we are anxious and Commissioner Merrifield has been very helpful in            |

-13-

helping us and encouraging us to look in a fairly disciplined way on when 1 research had met the regulatory needs and when we could progress on to 2 3 address some of the issues that have been sitting on the back burner for a while. It's been a delight, guite frankly, working with you on that. 4 COMMISSIONER MERRIFIELD: I concur; the same in the other 5 direction. 6 DR. SHACK: Next slide. Again, we are looking forward to working 7 with the staff on the state of the art reactor consequence analysis which we 8 9 hope to pick up again in the coming months. As I mentioned, we are preparing another report on the technology neutral framework. Dr. Kress will be 10 discussing some of our previous reports, but we think we will have a report out 11 to you in July on the technology neutral framework. 12 And with that, I've sort of completed my overview. I'd like to ask 13 Dr. Kress to pick up the effort and discuss some of our efforts on the framework 14 for future plant licensing. 15 DR. KRESS: Thank you. We have been working for some time 16 with the staff while this has been a work in progress. It's a useful way to 17 interact with the staff because we are able to exchange views as things go 18 along and debate these difficult issues. 19 In general in this process, we think the staff is generally on the right 20 track. They're doing a good job with these difficult issues. We've given them a 21 lot of input, a lot of thoughts on how they should go and how they should revise 22

and modify, but we actually only had I think two formal letters to you. 1 One of them was in response to your SRM to us - next slide, please - in 2 3 which you asked us for our views on whether or not they ought to continue with this general development of framework or would it be more useful to take a 4 specific type of reactor and do a specific framework for that. 5 In our letter of April 20<sup>th</sup> on this, we had some general comments which I 6 won't go into because we'll talk about those in the July letter, but we did say 7 that we felt the framework had progressed to a point where they really ought to 8 complete it before they try to apply it to a specific plant, to a specific new 9 design. 10 The reason for that being, of course, is that we think they'll need those 11 concepts when they get ready to develop a design specific framework. So they 12 might as well go ahead and complete the general framework and get it right 13 before they start with the specific application. 14 There didn't seem to us to be any urgent need at the moment for a 15 specific application, but when it comes time for that, we did recommend that 16 this application be for the PBMR. That's because the PBMR is so much 17 different from an LWR that it would be a good test and not only that the 18 required information that you'll need is pretty much available in the various 19 white papers they have submitted. 20 COMMISSIONER LYONS: Just to clarify; is your recommendation 21

specific to the pebble bed or specific to high-temperature gas reactors?

DR. KRESS: It could be either. The pebble bed is one type but it 1 has a bunch of white papers. It has a lot of the information that the staff will 2 3 need to apply this framework. That's one of the reasons we specifically called pebble bed. 4 COMMISSIONER McGAFFIGAN: It also seems like it's making 5 about zero progress each month – in South Africa according to the trade press. 6 7 It's been suspended for guite some time now. Whereas the next generation nuclear plant is getting very strong support from the House Appropriations 8 Committee and certain Senators and it looks like we owe a licensing framework 9 next year to the Congress. 10 DR. KRESS: That might be the one to choose then, given that. 11 COMMISSIONER MERRIFIELD: I would footnote, however, while 12 there have been some delays in South Africa, the Chinese have a very 13 aggressive pebble bed program that's not getting as much notoriety, but that 14 does seem to be continuing to move forward. 15 DR. KRESS: The other letter was in response to the question 16 whether or not the staff should proceed with some sort of rulemaking activities 17 as opposed to just developing the framework. We agreed, I think, with the staff 18 there didn't seem to be any urgent need to go to rulemaking, but all of the 19 plants coming up for licensing will be LWRs for COLs in the near future. 20 We agreed with the staff that they can defer that and concentrate more 21 on completing the framework and we did think it would help guide the next 22

-16-

| 1  | generation nuclear plant if they completed it. While we think the framework is |
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| 2  | in an advanced stage and is going in the right direction, before it can be     |
| 3  | codified into rule we think it needs some modifications.                       |
| 4  | It's not quite ready for rulemaking yet, so this letter that Chairman Shack    |
| 5  | suggested may be out in the July meeting will be our attempt to clarify the    |
| 6  | ACRS position and actually spell out specifically what we think the            |
| 7  | modifications or things that need improving in that framework. You might wait  |
| 8  | with bated breath for that.  |
| 9  | At this moment, I suspect there may be added comments.                         |
| 10 | Commissioner McGaffigan, I hope you're there to read them.                     |
| 11 | COMMISSIONER McGAFFIGAN: That's in reference to this                           |
| 12 | morning that I said I always enjoyed these various letters that had divided    |
| 13 | comments.  |
| 14 | DR. KRESS: This may very well be a two-handed letter,, on the                  |
| 15 | one hand and on the other hand   |
| 16 | COMMISSIONER McGAFFIGAN: Not three-handed?                                     |
| 17 | DR. KRESS: It will probably be three-handed.                                   |
| 18 | DR. SHACK: We have a small pool as to how many added                           |
| 19 | comments there will be.  |
| 20 | DR. KRESS: That's all I have.  |
| 21 | DR. SHACK: The next person will be discussing our work on                      |
| 22 | Digital I&C activities and Dr. Apostolakis will be apprising us on that.       |

DR. APOSTOLAKIS: We received an SRM last November in 1 which we were directed to provide our views on the efforts by the staff to do 2 3 something about Digital I&C and also our views regarding backups to digital systems. We had a meeting with the staff and they presented to us the new 4 management structure that involved senior managers to develop a plan, a 5 project plan to improve the deployment of digital I&C technology for new and 6 7 operating reactors. Personally, I've been hearing about plans now for five or six years. I 8 9 hope this is the last time we're developing a plan and we start implementing something. This is personal. not the ACRS view, but we do concur with the 10 staff's approach. We thought it was very nice. Then we made as usual a 11 number of recommendations about how to improve things. 12 The first and one of the most important recommendations is that we 13 should have an inventory and classification of digital systems that are being 14 used and may be used in the near future in nuclear reactors. This is extremely 15 important because most of the literature comes from the aerospace business, 16 where their systems are not necessarily the same as the ones we're using or 17 we plan to be using and there is a lot of confusion when it comes to discussing 18 methods that are appropriate for safety evaluation. 19 For example, a lot of our systems are simple actuation systems. When 20 you talk about an actuation system when somebody tells you what happened to 21

Ariane in Europe, you're talking about apples and oranges. We need this

-18-

classification to say, look, these are the categories of systems that we'll be
using and hopefully the next step will be to say for this class of systems these
are the appropriate methods, for this other class there's another set of
methods.

In order to do that, the second very important recommendation is to 5 collect the operating experience both in the nuclear industry which will not be 6 too large, but also other industries. The main idea being to try to understand 7 the failure modes because this is a major concern right now. You hear rumors 8 that software failed in different ways. They don't fail in continuous ways. You 9 changed the input a little bit and you don't know what you're going to get out. 10 So we have to understand what has happened in the past and then using that 11 as a basis to proceed. 12

So we believe that these two recommendations of classification,
characterization of digital systems and the understanding of failure modes plus
additional investigation to understand better the failure modes should be the
basis for proceeding with regulatory guidance at some point on defense in
depth and diversity.

You asked us directly what we thought about backup systems and we feel this information is so important that since it's unavailable to us, we cannot really tell you what we think about it. We just don't think about it. And on that happy note, back to you Mr. Chairman.

-19-

have a meeting sometime - is it July - on Digital I&C. You may be the skunk at
the picnic. Last year, I remember at one of these early meetings we had I think
it was a fellow from EPR who was sort of demanding that we help them with
how digitized their control room could be. They were going to be buying
support things.

If this is where ACRS is and it reflects where the staff is, it's hard to see
how these new plants or even the existing plants build Digital I&C in, any time
soon.

DR. APOSTOLAKIS: There have been extensive tests and 9 reviews and analysis of various platforms that some companies are using. The 10 question in my mind comes when you get that and you want to convince 11 yourself that there is adequate protection and right now I think mostly it relies, 12 the way I understand it, on extensive tests and maybe other things, but I still 13 would like to see the two things that we are recommending and try to 14 understand better what is going on. 15 DR. SHACK: Our next topic will be the license renewal and 16 extended power uprates. Dr. Bonaca will be discussing our activities. 17 DR. BONACA: Good afternoon. In license renewal we performed 18 interim reviews of three applications at Vermont Yankee, Palisades and Oyster 19 Creek and two reviews of final applications on Palisades and Oyster Creek 20 since October 2006. We will perform an interim review of one application, 21 Fitzpatrick, and final review of two applications, Vermont Yankee and Pilgrim, 22

<sup>1</sup> during the remainder of calendar year 2007.

| 2  | We will perform three interim reviews and four final reviews in calendar        |
|----|---|
| 3  | year 2008; Fitzpatrick, Susquehanna, Wolf Creek and Harris. You can see         |
| 4  | there's still a significant number of plants coming through. Next slide.        |
| 5  | For Palisade's license renewal we recommended continued operation of            |
| 6  | Palisades during the entire period of extended operation contingent on the      |
| 7  | resolution of three time-limited aging analysis issues associated with reactor  |
| 8  | pressure vessel integrity. Reactor vessel at Palisades will exceed some of the  |
| 9  | acceptance limits for fracture toughness during the period of extended          |
| 10 | operation and specifically the upper shell energy criterion will be exceeded by |
| 11 | 2021 and the PTS criterion will be exceeded by 2014 and also the pressure       |
| 12 | temperature curves will have to be drawn by 2014.                               |
| 13 | The licensee has opted to manage aging during the period of extended            |
| 14 | operation, which means essentially that they will, three years before they      |
| 15 | exceed limits, they will submit analysis and whatever plant modification to     |
| 16 | support analysis to the staff in order to re-qualify the vessel for continued   |
| 17 | operation for the remainder of the period of continued operation. We felt that  |
| 18 | this was an appropriate way of going about that. The licensee will explore      |
| 19 | whatever ways it has to deal with this limitation.                              |
| 20 | COMMISSIONER McGAFFIGAN: Mr. Chairman, can I ask a                              |
| 21 | clarifying question? Isn't there supposed to be a pressurized thermal shock     |
| 22 | rule? I remember you guys at the previous meeting praising the research effort  |
|    |   |

-21-

on that. Wouldn't the pressurized thermal shock rule sort of solve this by large
 fractions?

DR. BONACA: Largely, yes. That was not stated by the licensee, 3 but I'm sure that's one of the options they are looking at very carefully. It 4 depends on when, of course, the new rule will be available. 5 COMMISSIONER McGAFFIGAN: Commissioner Merrifield has 6 7 pointed out that if the pressurized thermal shock rule goes through for many plants. We may have a second license renewal. That's quite attractive. 8 DR. BONACA: It will provide significant margin, yes. 9 COMMISSIONER McGAFFIGAN: I hope we get it done by 2014. 10 DR. BONACA: 2011. Although they can opt, I think by 2011 they 11 have to lay out what the plan is to resolve the issues by 2014. 12 COMMISSIONER McGAFFIGAN: The plan is that we believe 13 NRC's research is very, very good and we'd like to use it. I suppose. 14 DR. BONACA: I would expect so, yes. Next slide. We reviewed 15 the Oyster Creek license renewal application. As you know, the external 16 surface of the drywell has experienced significant corrosion through the years. 17 The actions implemented by the licensee appear to have arrested the corrosion 18 and also confirmed comparability of the drywell. There were two types of 19 calculations both of them supported the comparability. The only difference was 20 21 how much margin is there.

22

-22-

| 1        | we proposed the first two and the third one was proposed by the staff and we        |
|----------|---|
| 2        | support. The first one is identifying options to eliminate or reduce leakage in     |
| 3        | the refueling cavity liner. This is really a recommendation we provided to          |
| 4        | address root cause of the problem which is really the leakage that they are         |
| 5        | experiencing rather than the mitigation of the consequences which they have         |
| 6        | been focusing on. They volunteered, in fact, to perform this study.                 |
| 7        | The second is to perform three-dimensional finite element analysis of the           |
| 8        | drywell shell with modern methods and again the objective of this is to confirm     |
| 9        | margin. And finally, to increase the frequency of drywell inspection and monitor    |
| 10       | two drywell trenches which have exposed rebar. The objective of this is to          |
| 11       | manage aging during the period of extended operation.                               |
| 12       | Increased frequency would be appropriate in this sense and the                      |
| 13       | objective of course is to assure that the structural capability of the drywell will |
| 14       | be maintained through the period of extended operation. Next slide.                 |
| 15       | We reviewed one extended power uprate, the 5% power uprate                          |
| 16       | amendment for Browns Ferry Unit 1. We recommended approval of that. As              |
| 17       | you know, these plants when they go through extended power uprate they're           |
| 18       | asking for created back procesure or accident procesure and containment and on      |
|          | asking for created back pressure or accident pressure and containment and on        |
| 19       | our part the question is always whether in fact they have demonstrated that         |
| 19<br>20 |   |
|          | our part the question is always whether in fact they have demonstrated that         |

-23-

evaluations of two scenarios; one is the long-term LOCA. To demonstrate that
 accident pressure exceeds the requested back pressure created with margin
 after consideration of uncertainty. That's an important thing that we provide
 them with credit that we provide that exists there.

5 For the Appendix R scenario, that turned out to be a more severe 6 scenario for this plant. They required credit for 62 hours, a significant amount 7 of credit and the concern that the scenario brought as a justification for credit 8 only in risk analysis. The risk analysis addressed the risk associated with this 9 scenario and stated that it was low; however, the risk analysis submitted 10 missed some important initiators of fire.

Specifically, for example, seismic induced fire which at this frequency level is going to be a contributor. So we asked them if they would re-perform this evaluation for the 120% power case, when they come up for that, including all the initiators which are pertinent with this scenario.

Of course, for an alternative, they would still have for the Appendix R is
 to protect the second train of RHR. That would give them all the credit they
 would need. There would be no further need for providing credit. That's the
 option that for example Vermont Yankee chose under Appendix R.
 COMMISSIONER McGAFFIGAN: Second train of RHR – what
 was your acronym?
 DR. BONACA: RHR means Residual Heat Removal System.

22 They have four trains and only one right now is protected for Appendix R and

the other three are not. Next slide.

| 2  | We plan to review the extended power uprates for Browns Ferry Units 1,           |
|----|--|
| 3  | 2, and 3 after receiving the complete safety evaluation reports later this year. |
| 4  | We'll also review in the fall the extended power uprates for Hope Creek and      |
| 5  | Susquehanna. This completes my presentation.                                     |
| 6  | DR. SHACK: The final presentation will be by Dr. Apostolakis on                  |
| 7  | Human Reliability Analysis Models.   |
| 8  | DR. APOSTOLAKIS: At our last meeting with the Commission,                        |
| 9  | one of us was unable to control himself and expressed some views on human        |
| 10 | reliability. Within days, we had an SRM directing us to work with the staff and  |
| 11 | other stakeholders to evaluate existing HRA, human reliability analysis models,  |
| 12 | in an effort to propose either a single model or a suite of models that could be |
| 13 | used in appropriate applications.  |
| 14 | We met with the staff and we were informed that they are in the process          |
| 15 | of producing a cooperative agreement or - I don't know what the technical term   |
| 16 | is - a memorandum of understanding with EPRI to develop a plan - my favorite     |
| 17 | word - to evaluate the human reliability models.                                 |
| 18 | We thought that at a high level plan was reasonable, although we                 |
| 19 | emphasized that we would like to see a clear articulation of the goals of the    |
| 20 | plan up front and we would like to see specific deadlines for intermediate       |
| 21 | milestones as the plan progresses. The objective of this exercise in our view    |
| 22 | should be to develop a common understanding of the relative importance of the    |
|    |  |

-25-

various factors that affect human performance and what ways are available to
 incorporate those into the models.

3 That of course is a prerequisite for allowing the staff and us to develop a guidance on which model should be used. The staff made a big deal out of this 4 HRA Empirical Study that they are organizing now to perform model to model 5 comparisons using the simulator in Halden, Norway. The ACRS views this 6 7 study only as one part of the broader effort to collect evidence regarding the validity of HRA models. 8 We do believe that the study by itself will not be sufficient for us to 9 develop meaningful estimates of the probabilities of error. Another source of 10 information that would be relevant to this effort should be collected from 11 operating experience, especially the reports that the Augmented Inspection 12 Teams produced on past incidents. I have had opportunities to read several of 13 those. They're extremely detailed and extremely valuable. 14 Of course, they're not written for HRA purposes, but there is a lot of 15

<sup>16</sup> information there that experienced people can utilize. So we plan to meet with

the staff periodically to be briefed on what they're doing, how things are

progressing and we hope that eventually we can have an appropriate suite of

19 models.

20 DR. SHACK: That completes our formal presentation.

21 CHAIRMAN KLEIN: Thank you very much for that update and 22 now comes time for the fun part; to get additional clarifying questions. As you

| 1  | know, we get to rotate who starts, so I get to start today followed by                |
|----|---|
| 2  | Commissioner McGaffigan and then the rest of the Commissioners.                       |
| 3  | Tom, when you followed up to Pete Lyons question on the framework for                 |
| 4  | gas reactors, if you do a pebble bed in a prismatic, would the fundamental            |
| 5  | framework be that much different?   |
| 6  | DR. KRESS: No. It would be very, very similar. It would be a                          |
| 7  | good test with either one of them.  |
| 8  | CHAIRMAN KLEIN: On page 18, you talked a little bit about that                        |
| 9  | you thought that the framework was a good way, but then on the letter that you        |
| 10 | all sent out on May 16 <sup>th</sup> , there seem to be some questions about the risk |
| 11 | informed performance based part. Could you talk a little bit about the debate         |
| 12 | that's going on in ACRS about the framework, risk informed and so forth?              |
| 13 | DR. KRESS: We certainly don't have an ACRS position. I can                            |
| 14 | talk about some of the debate we've been having. We think that the framework          |
| 15 | needed articulates our top level objectives first and then show how the specific      |
| 16 | framework addresses top-level objectives. Part of the debate we've been               |
| 17 | having is what should those top level objectives be.                                  |
| 18 | One of them on the very top is we think this is a good chance to try to               |
| 19 | put a regulation together that pretty much ensures that you meet the                  |
| 20 | quantitative health objectives of the safety goals. We recognize they are just a      |
| 21 | goal and they're not a requirement, but we think you can craft the regulations in     |
| 22 | such a way that it attempts to put together a design that would meet that.            |

| 1  | Part of the debate we're having on that part of it is do you design a plant      |
|----|--|
| 2  | so that that plant when put on a site meets the QHOs? Or should you              |
| 3  | anticipate that there's going to be multiple modules if it's say a pebble bed or |
| 4  | it's a gas cooled or maybe multiple plants on different sites and maybe as it's  |
| 5  | now progressing the new plants on old sites that already have plants there.      |
| 6  | So the question is if one plant by itself meets the QHOs, then the site          |
| 7  | won't need it. So the question is how do you provide design criteria so that you |
| 8  | meet QHOs and should it be each plant or should it be fore anticipating several  |
| 9  | plants? And, of course, QHOs is a site characteristic and do you use a           |
| 10 | representative site? So the debate goes on there.                                |
| 11 | The technology framework has put some emphasis on developing                     |
| 12 | licensing basis events, which in my mind are essentially the same thing as       |
| 13 | design basis accidents. We generally think that's a good way to go because       |
| 14 | you need something like that to give a designer something to design to and to    |
| 15 | have a licensing basis.  |
| 16 | Along with design basis accidents, you need figures of merit. We call            |
| 17 | them that, that have to be met by the analysis tools that you have and so we've  |
| 18 | had some debate on what those figures of merit ought to look like, what level    |
| 19 | they ought to be cast at.  |
| 20 | The staff has what they call a frequency consequence curve and they              |
| 21 | choose these licensing basis events based on the PRA sequences that are          |
| 22 | mostly dominant or at least give the most consequence. And so the question is    |

-28-

what sort of figures of merit do you have to preserve the concepts of margins
 and defense in depth and conservative analysis. So our debate is focused
 somewhat on that.

We also feel that it's not sufficient just to have design basis accidents and figures of merit because in the present, current regulatory system that's basically what we have and you end up with a fleet of plants that vary in their safety in a sense. If we want to define safety as a CDF and alert as opposed to a QHOs, they vary over a very relatively wide range.

We think that the framework also needs a summation of risk as a
requirement and the summation of risk, we think, in order for it to be technology
neutral, it has to be something like a complementary cumulative distribution
function which is the way to sum a risk. It's a true frequency consequence
curve.

We're having some debate, a great deal of debate, on what the "C", the 14 "consequence" ought to be in that frequency consequence curve. My own 15 personal opinion was that you have to cast this curve in such a way that you 16 could relate it to this CDF and LERF that we now have. Those have been 17 extremely useful concepts and they're design concepts. You can design a 18 plant for a given CDF and LERF. You know what safety level it's at and we use 19 it throughout the regulations in risk informing things. So if you could have a 20 CCDF curve that is equivalent to that --21

22 COMMISSIONER McGAFFIGAN: CCDF, again, is

-29-

1 complementary --?

| 2  | DR. KRESS: Complementary Cumulative Distribution Function.                         |
|----|--|
| 3  | It's a normal output of PRAs by the way. It's not anything that's going to require |
| 4  | a lot of changes to the PRA. It's something they produce already. So our           |
| 5  | debate is about how to develop that curve and what level it should be cast at.     |
| 6  | Should you develop that curve just like the licensing basis events so that you     |
| 7  | meet the QHOs?   |
| 8  | For example, the LERF we talk about has been ten to the minus five for             |
| 9  | years. It is said to be a surrogate for the prompt fatality QHO for the current    |
| 10 | LWR plants. Can we come up with a surrogate like that for the new plants and       |
| 11 | rather than it be for one QHO, should we anticipate that there may be three,       |
| 12 | four or five plants on a site, and have a site QHO or each plant may introduce     |
| 13 | only some fraction of that.  |
| 14 | This is the kind of debate we're having and by no means agree on how               |
| 15 | to approach these things. I hope in the meetings today and July we can at          |
| 16 | least have some concept of what the full committee feels and then maybe            |
| 17 | additional comments that will give other opinions.                                 |
| 18 | CHAIRMAN KLEIN: My guess is the risk informed performance                          |
| 19 | base will always be a work in progress.  |
| 20 | DR. KRESS: It may very well be.  |
| 21 | CHAIRMAN KLEIN: I'll come back with some more questions                            |
| 22 | later, but I'll turn to Commissioner McGaffigan now.                               |

-30-

| 1  | COMMISSIONER McGAFFIGAN: I think I'll just follow up. It's an                       |
|----|---|
| 2  | interesting line of questions. The last time we met or one of the times we met, I   |
| 3  | called this the unified theory of everything. This has been looking at forever      |
| 4  | and you seem to be making about the same degree progress as the physics             |
| 5  | community and getting to that unified theory of everything. I'm perhaps more        |
| 6  | practical, that's why the questions at the outset about why not focus on the        |
| 7  | plant that may be built in Idaho that seems to have some support.                   |
| 8  | I understand from a paper we have in front of us at the moment on                   |
| 9  | GNEP that the staff is working on a licensing strategy for that facility and it's a |
| 10 | Part 50 licensing strategy which makes me a little worried since Part 50 has        |
| 11 | calculably nothing in it on gas cooled reactors. It presumably is a strategy that   |
| 12 | says we're going to use Part 50 where it's strategically appropriate and toss out   |
| 13 | all the light water reactor stuff and we're going to substitute something. I'm      |
| 14 | always interested in what the substitute something is. Not the theory of            |
| 15 | everything, but the substitute.   |
| 16 | If the Department of Energy is going to try to license a gas cooled                 |
| 17 | reactor in Idaho by 2021, then we probably should know by sometime early in         |
| 18 | the next decade - no urgency right now - but sometime early in the next decade      |
| 19 | what the design basis accidents are. We'll have to confront the issue that          |
| 20 | we've dodged so far about what sort of containment you need, if any, and all        |
| 21 | that.   |
|    |   |

I get a bigger charge out of trying to figure out that, what the Part 50

-31-

revised rule would be for gas reactors than figuring out some of the things 1 you're just talking about that could apply to everything. Then I still have to start 2 3 over and figure out what I'm going to do for the gas cooled reactor. I think in trying to solve everything, my experience in life has been I end up not solving a 4 lot of things. I'd just be interested in your response to that, I guess. I do 5 appreciate your service. I appreciate your very, very long service as the 6 Chairman said and I think you've been one of the foremost advocates of risk 7 informed regulations during your tenure. 8 I think - what are Powers and I? Are we rationalists? Structuralists? 9 And you're the classic rationalist. That may be why I have a hard time. I'm 10 giving you a minute and 51 seconds in this round to sort of tell me why I'm 11 wrong. 12 DR. KRESS: Well, you're not exactly wrong. 13 COMMISSIONER MERRIFIELD: You know, I say that to Ed all 14 the time. 15 DR. KRESS: You know, if you view this technology neutral 16 framework in a rationalist way, what they're doing is trying to have a technically 17 based way to decide what ought to be basically your design basis accidents. 18 That's the first thing you have to do if you're going to use Part 50. You need 19 have a set of design basis accidents. They're trying to develop a rational way 20 to choose those using a PRA. So you do start from a PRA and that will be a 21 requirement number one. 22

-32-

| 1  | You have to have a fairly good PRA which means you already have to   |
|--|--|
| 2  | have a fairly decent design in mind before you start and which there will be, I'm  |
| 3  | sure. That is the rational way to actually come up with design basis accidents   |
| 4  | that to some extent are the design tool items and you want that design tool to   |
| 5  | render your plant design to some level of what I would call a CDF and a LERF.  |
| 6  | Well, instead of a CDF and a LERF, all we're talking about is a cumulative   |
| 7  | complimentary distribution function. They're equivalent. They really are.  |
| 8  | Where the "C" may be curies released or maybe dose at the site boundary, but   |
| 9  | they're equivalent. You can relate one to the other.   |
| 10   | COMMISSIONER McGAFFIGAN: So using gas cooled reactors  |
| 11   | as the example, the general thought on gas reactors is that they're inherently   |
|  |  |
| 12   | safer by a large margin.   |
| 12<br>13   | safer by a large margin.<br>DR. KRESS: No doubt about that.  |
|  |  |
| 13   | DR. KRESS: No doubt about that.  |
| 13<br>14   | DR. KRESS: No doubt about that.<br>COMMISSIONER McGAFFIGAN: How much of the theory do I  |
| 13<br>14<br>15   | DR. KRESS: No doubt about that.<br>COMMISSIONER McGAFFIGAN: How much of the theory do I<br>have to get to say I am so far ahead of these quantitative health objectives that   |
| 13<br>14<br>15<br>16   | DR. KRESS: No doubt about that.<br>COMMISSIONER McGAFFIGAN: How much of the theory do I<br>have to get to say I am so far ahead of these quantitative health objectives that<br>I don't have to worry about it and the only issue is do I pull in the emergency  |
| 13<br>14<br>15<br>16<br>17   | DR. KRESS: No doubt about that.<br>COMMISSIONER McGAFFIGAN: How much of the theory do I<br>have to get to say I am so far ahead of these quantitative health objectives that<br>I don't have to worry about it and the only issue is do I pull in the emergency<br>planning requirements to a much shorter radius then we have for the light water   |
| <ol> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> </ol>             | DR. KRESS: No doubt about that.<br>COMMISSIONER McGAFFIGAN: How much of the theory do I<br>have to get to say I am so far ahead of these quantitative health objectives that<br>I don't have to worry about it and the only issue is do I pull in the emergency<br>planning requirements to a much shorter radius then we have for the light water<br>reactor.   |
| <ol> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> </ol> | DR. KRESS: No doubt about that.<br>COMMISSIONER McGAFFIGAN: How much of the theory do I<br>have to get to say I am so far ahead of these quantitative health objectives that<br>I don't have to worry about it and the only issue is do I pull in the emergency<br>planning requirements to a much shorter radius then we have for the light water<br>reactor.<br>The issue with the gas reactors is we have enormous margin and I'll shut |

| 1  | to do that, you have to have a set of accidents that you define and you have to  |
|----|--|
| 2  | have a fission product release model and the appropriate database for that.      |
| 3  | COMMISSIONER McGAFFIGAN: How does the technology                                 |
| 4  | neutral approach get us there?   |
| 5  | DR. KRESS: These are the things that you will have to do to                      |
| 6  | show that you'll meet the requirements in the technology neutral reactor. I have |
| 7  | no doubt that the gas cooled reactors won't come in well under the               |
| 8  | requirements. The question is how much do you bother. We need to prove           |
| 9  | that, I think.   |
| 10 | COMMISSIONER McGAFFIGAN: I've used my time. There'll be                          |
| 11 | another round. We'll give you a chance, George, next time.                       |
| 12 | CHAIRMAN KLEIN: Commissioner Merrifield?   |
| 13 | COMMISSIONER MERRIFIELD: Well, first, Mr. Chairman, I'd like                     |
| 14 | to start by saying it has been a pleasure over the years to engage with the      |
| 15 | members of this particular body. On various occasions I'm sure Dana and Bill     |
| 16 | and George can probably remember - Mario as well - remember meetings in          |
| 17 | my office, actually probably meetings with every one of you in my office, we     |
| 18 | giving you suggestions about various ways in which you can engage with the       |
| 19 | Commission and communicate some of your results.                                 |
| 20 | I want to compliment all of you. Obviously, you have extraordinary               |
| 21 | backgrounds and bring tremendous things to the Commission. I think the work      |
| 22 | you and your colleagues have done to try and translate that in a way which is    |

approachable for the not so technology sophisticated and also in a way that's
balanced and not overly, for lack of better word, unnerving sometimes I think is
helpful. I think the ACRS has made a lot progress in terms of how it presents
this data in these kinds of public forum, so I certainly want to give you my
compliments on that one.

Time has changed. I'm noting one thing I do feel more comfortable with 6 today is at least now I know how to pronounce "Apostolakis", which frankly was 7 a lot greater difficulty for me when I first got here. I say that with a smile 8 because George and I have a very good relationship. I'm happy for that. 9 I guess I'll pick up just to make sure we have some consistency on the 10 record. George, you wanted to add some comments in response to 11 Commissioner McGaffigan's last question. So I'll let you pick up there. 12 DR. APOSTOLAKIS: Thank you very much. I think there are a 13 few issues that need to be addressed before we say, "Gee, let's look at the gas 14 reactor and modify the existing Part 50 as appropriate." First of all, the 15 technology neutral framework is really a framework. It's not as regulatory 16 system. It sets up principles and one of the principles for example that you will 17 have to face with a gas reactor it seems to me is that of defense in depth. So it 18 attempts to give some guidance regarding prevention, mitigation and so on. 19 Here you have a reactor that is admittedly much safer. How do you 20 implement that principle? Do you go all the way to prevention? Do you want to 21 preserve something for mitigation; how much and so on? Then if you get 22

-35-

another type of reactor, then you'll have to start again from scratch, Part 50, 1 find the parts that don't apply, modify them. I think this framework will facilitate 2 3 that process. That's really what we'll do. It will facilitate it. You will have a common metric, either the curies or the dose that the 4 staff is proposing to be able to compare also different types of reactors and 5 make sure that we're all on the same path. Otherwise, again, with the LWRs 6 we have a situation where we have a wide variability for that much frequency in 7 LERF and some of them are much safer than others. We will perpetuate that 8 situation in the future if we start modifying Part 50 for each technology type. 9 We will have reactors that will be much safer than other types and so on. 10 It's not a regulatory system. It's just a framework that tells you, if you will, how 11

So I think that's the main defense for it. There are many, many disagreements among us as to what the licensing basis event should be and so on, but I'm

12

you would change Part 50 to make it appropriate for another type of reactor.

sure we'll see the light. 15 COMMISSIONER MERRIFIELD: I think for me that's helpful. I 16 guess the one thing I would say reflecting on the comments that both of you 17 have made is in the end it seems to me some of this has to be set up and 18 recognizing the very active and energetic debate that you're engaged in, 19 ultimately in the end, like any road map that a Commissioner would want to 20 21 have it's going to have options to it. There's more than one it way to get from point A to Point B. 22

-36-

| 1  | Ultimately, in the end while there are many obviously clear scientific           |
|----|--|
| 2  | issues that are laid out, there are policy decisions that have an analog to many |
| 3  | of those. I think the Commission, part of the import of what you're going to     |
| 4  | have to do is sort of lay those out if the Commission chooses to go this         |
| 5  | direction on the road map, these are the outcomes. If the Commission chooses     |
| 6  | to go that direction, these are the outcomes. In the end, that kind of document  |
| 7  | that presents that would certainly seem to me be able to be reflective of some   |
| 8  | of the differing positions that we've seen articulated previously.               |
| 9  | There's some big issues. There's some big issues the Commission has              |
| 10 | ahead of it and as we consider pebble bed reactors and some of the other gas     |
| 11 | based technologies, there's some value judgments really and hard policy calls    |
| 12 | that the Commission is going to have to make in terms of how far or how short    |
| 13 | it wants to go in regulating those. But certainly your guidance in laying out    |
| 14 | those options and laying out those different avenues to approach that road map   |
| 15 | is going to be quite critical. Thank you, Mr. Chairman.                          |
| 16 | CHAIRMAN KLEIN: Commissioner Jaczko?   |
| 17 | COMMISSIONER JACZKO: Perhaps I'm going to be unfair and                          |
| 18 | make a comment on the technology neutral framework and not ask a question,       |
| 19 | so you won't have a chance to respond. I think it's an interesting discussion.   |
| 20 | For me, I think probably the comment that George made is one of the              |
| 21 | more interesting ones that I've heard which is that it's a framework and not a   |
| 22 | regulatory system. I'm not necessarily convinced that that's the approach the    |

staff is taking right now. I think the approach that I've always felt that this was 1 more like was a regulatory system where we would have a fairly broad based 2 3 risk informed performance based regulatory system that was technology neutral. Not just a framework to getting to the regulatory system. 4 I think viewed in the latter way it's something that I certainly am more 5 open to looking at. It gives you a way to pick what the regulatory system is, 6 what the design basis accidents are, what I think George or Tom you 7 8 mentioned would be a way to pick the things to change in Part 50, then I think it's something that can be more useful. 9 But to some extent I think I'm a little bit more where Commissioner 10 McGaffigan is which is from a practical standpoint we need to put in place a 11 regulatory system and I think it would be difficult to get to a place where we 12 have a Part 50X that is truly technology neutral. I think that's never really going 13 to be an effective and efficient regulatory system to have. 14 As I said, if there's any real strong thoughts about that I'm happy to have 15 you respond. I wanted to turn to another issue, though, perhaps on another 16 area which may be somewhat related, Digital I&C. 17 The Committee has made some comments in a letter and expressed 18 some interest in getting some more information. One of the areas that I have 19 been wondering about with Digital I&C in particular as we move toward a more 20 risk informed performance based type of regulatory framework where we have 21 a lot more reliance on PRA is to what extent we have the ability to model Digital 22

-38-

<sup>1</sup> I&C systems.

| 2  | They are not flaws in Digital I&C systems that, I assume, are not                 |
|----|---|
| 3  | inherently probabilistic. If you have a software error, you have a software error |
| 4  | and that is not a probabilistic event. So how do you incorporate that into these  |
| 5  | probabilistic models and can you combine those two systems? If any of you         |
| 6  | have thoughts on that, I'd be interested in hearing.                              |
| 7  | DR. APOSTOLAKIS: Unfortunately, we don't have any methods                         |
| 8  | to do that. The reason is that the failure modes of digital software are what we  |
| 9  | would call in the hardware space design and manufacturing errors. In              |
| 10 | hardware space, we don't model design and manufacturing errors either. We're      |
| 11 | assuming that the pump is good when it starts or the valve or whatever. This is   |
| 12 | a tremendous problem. There's a whole literature out there where they take        |
| 13 | digital software. They try to force the existing reliability models on them, but  |
| 14 | they're really completely useless. So this is now the effort.                     |
| 15 | There are some people in fact that will say you'll never be able to do that       |
| 16 | and you have to figure out another way of handling them, similar to what we       |
| 17 | think about organizational issues, for example. There was an attempt years        |
| 18 | ago to bring those into management and organization. Now most people say          |
| 19 | maybe that's not a good idea. We'll do it outside. So we don't know,              |
| 20 | Commissioner.   |
| 21 | One of the projects in the plan is to look into how to bring Digital I&C into     |

PRA. There is some progress, I would say, but the fundamental problem of

-39-

design error has not been addressed. Not because they didn't try; it's really 1 very difficult. It's a different way of thinking. So we have to figure out another 2 3 way of handling them perhaps, but we'll see. COMMISSIONER JACZKO: I think that's an interesting answer 4 and I'm almost reluctant to ask this. I'm wondering -- clearly we're moving to a 5 Digital I&C system and eventually it's going to happen. If we go back to some 6 of the comments about technology neutral framework and essentially what 7 we're looking at is fundamentally some kind of effectively a PRA based – what 8 was it? The conditional - CCDF. 9 If that is inherently a probabilistic model, do we have a fundamental 10 problem then that we're going to be leaving out certain elements of plant 11 performance in getting to the QHOs and getting to that issue if we can't 12 inherently model the Digital I&C component? 13 DR. APOSTOLAKIS: You're right. Not everything will be there. 14 Again, the last major semi-almost-incident we had was due to a management 15 issue. 16 COMMISSIONER McGAFFIGAN: You're referring to 17 Davis-Besse? 18 DR. APOSTOLAKIS: Yes. These are not in the PRAs. We have 19 20 to do something about it. There is hope, though, and I'll tell you why. I think the way the staff came up to handle management issues, which is a 21 performance based approach and so on, which was really something that 22

| 1  | nobody had thought of several years ago gives me comfort that maybe we'll       |
|----|---|
| 2  | come up with something like that to handle Digital I&C unless of course         |
| 3  | somebody comes up with a brilliant idea and brings them into the PRA which I    |
| 4  | have very serious doubts that this would ever happen. So in my view, most       |
| 5  | likely they will have to be handled outside the CCDF.                           |
| 6  | DR. KRESS: There's always going to be parts of the PRA that are                 |
| 7  | incomplete. That's one reason we still advocate margins and defense in depth    |
| 8  | and using conservative acceptance criteria.                                     |
| 9  | DR. APOSTOLAKIS: The rationalists are very structured as to                     |
| 10 | what's appropriate.   |
| 11 | DR. SHACK: It is, of course, always appropriate to be a                         |
| 12 | structuralist.  |
| 13 | COMMISSIONER JACZKO: Thank you. I appreciate the                                |
| 14 | comments.   |
| 15 | CHAIRMAN KLEIN: Commissioner Lyons? We did save some                            |
| 16 | questions for you.  |
| 17 | COMMISSIONER LYONS: Maybe following up on the direction                         |
| 18 | Greg was going. I very much agree that Digital I&C is going to be a continuing  |
| 19 | challenge, a very real challenge and offers substantial opportunities for       |
| 20 | improved safety along with substantial challenges to maintain defense in depth. |
| 21 | I'm just curious if from the Committees point of view enough effort is          |
|    |   |

| 1                                | DR. APOSTOLAKIS: What was the question? I'm sorry.  |
|----------------------------------|---|
| 2                                | CHAIRMAN KLEIN: Is there enough effort going into Digital I&C?  |
| 3                                | COMMISSIONER LYONS: I'm sorry. I thought you were thinking.   |
| 4                                | DR. APOSTOLAKIS: What's enough? I don't know.   |
| 5                                | COMMISSIONER LYONS: I don't know and that's why I'm asking.   |
| 6                                | I think it's an extremely important area.   |
| 7                                | DR. APOSTOLAKIS: Commissioner, I think the most important   |
| 8                                | thing right now is for us as a community to understand the failure modes  |
| 9                                | because even if we want to handle I&C outside the CCDF – what does it mean  |
| 10                               | to handle? What does it mean to apply defense in depth? You have to   |
| 11                               | understand how the thing may fail.  |
| 12                               | I am very pessimistic right now that we will get probabilities at some  |
|                                  |   |
| 13                               | point, but I am optimistic that we will get a much better understanding and   |
| 13<br>14                         | point, but I am optimistic that we will get a much better understanding and methods for exploring the failure modes, which I think will be a major step   |
|                                  |   |
| 14                               | methods for exploring the failure modes, which I think will be a major step   |
| 14<br>15                         | methods for exploring the failure modes, which I think will be a major step<br>forward toward implementing defense in depth approaches and whatever else  |
| 14<br>15<br>16                   | methods for exploring the failure modes, which I think will be a major step<br>forward toward implementing defense in depth approaches and whatever else<br>we want to do.  |
| 14<br>15<br>16<br>17             | methods for exploring the failure modes, which I think will be a major step<br>forward toward implementing defense in depth approaches and whatever else<br>we want to do.<br>Are we doing enough? I think there is progress. We can always do  |
| 14<br>15<br>16<br>17<br>18       | methods for exploring the failure modes, which I think will be a major step<br>forward toward implementing defense in depth approaches and whatever else<br>we want to do.<br>Are we doing enough? I think there is progress. We can always do<br>more, even though I'm not from a national laboratory, I will always support more              |
| 14<br>15<br>16<br>17<br>18<br>19 | methods for exploring the failure modes, which I think will be a major step<br>forward toward implementing defense in depth approaches and whatever else<br>we want to do.<br>Are we doing enough? I think there is progress. We can always do<br>more, even though I'm not from a national laboratory, I will always support more<br>research. |

resources. I can't say that. I think the effort, the challenge is really intellectual
 at this point to make this breakthrough.

3 COMMISSIONER LYONS: How about from the perspective of the 4 ACRS. Again, for any of you, is the ACRS - should there be more effort within 5 the ACRS, additional expertise in this area or do think you're adequately staffed 6 in this area?

DR. APOSTOLAKIS: Can I answer that? We discussed this 7 many times. We have tried very hard to find both members and consultants. 8 We are very fortunate that one of our consultants right now is very experienced 9 and has been very helpful to us. The problem has been that if you find 10 somebody who is a true expert in safety of digital systems, that person either 11 works for the NRC already, so we can't hire them; or comes from a community 12 that for which nuclear is something foreign or they're not interested; or they're 13 awfully limited and as a member you would like somebody who would be willing 14 to approach broader issues at some point; but we're having great difficulty even 15 finding consultants who will appreciate the issues and be useful to us. 16 It's a very small community, the people who have worked on Digital I&C 17 and also know what a nuclear reactor is. It's the intersection of communities. 18 It's a very small set. We keep trying. We discussed it again this morning 19 during our free-for-all meeting and we hope that we will surprise you pleasantly 20 21 soon. I know it has been a concern of yours. It's not an easy thing to find somebody. 22

| 1  | COMMISSIONER LYONS: Well, it's a concern of mine because I  |
|--|---|
| 2  | think it's going to be a major challenge for the industry and for the agency.   |
| 3  | Perhaps in closing on this subject, ACRS has certainly involved foreign   |
| 4  | expertise consultants in various ways in some of your studies. Have you   |
| 5  | considered, given that there is considerably more work – there's certainly a lot  |
| 6  | of work on Digital I&C outside of the U.S. and a number of examples where it  |
| 7  | has been deployed. Have you talked about trying to tap into the international   |
| 8  | knowledge base in any larger way through ACRS?  |
| 9  | DR. APOSTOLAKIS: Yes. Well, first of all I did think it would be  |
| 10   | very difficult to bring a foreign citizen, even a consultant.   |
| 11   | COMMISSIONER LYONS: You certainly have them participate in  |
|  |   |
| 12   | your meetings from time to time.  |
| 12<br>13   | your meetings from time to time.<br>DR. APOSTOLAKIS: That's different.  |
|  |   |
| 13   | DR. APOSTOLAKIS: That's different.  |
| 13<br>14   | DR. APOSTOLAKIS: That's different.<br>COMMISSIONER McGAFFIGAN: Getting a blue badge is a  |
| 13<br>14<br>15   | DR. APOSTOLAKIS: That's different.<br>COMMISSIONER McGAFFIGAN: Getting a blue badge is a<br>different issue.  |
| 13<br>14<br>15<br>16   | DR. APOSTOLAKIS: That's different.<br>COMMISSIONER McGAFFIGAN: Getting a blue badge is a<br>different issue.<br>DR. APOSTOLAKIS: You were saying about conferences and  |
| 13<br>14<br>15<br>16<br>17   | DR. APOSTOLAKIS: That's different.<br>COMMISSIONER McGAFFIGAN: Getting a blue badge is a<br>different issue.<br>DR. APOSTOLAKIS: You were saying about conferences and<br>meetings and interactions, that kind of thing. In various meetings, in fact, I  |
| <ol> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> </ol>             | DR. APOSTOLAKIS: That's different.<br>COMMISSIONER McGAFFIGAN: Getting a blue badge is a<br>different issue.<br>DR. APOSTOLAKIS: You were saying about conferences and<br>meetings and interactions, that kind of thing. In various meetings, in fact, I<br>know some very good people in Germany who have been doing this. We might  |
| <ol> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> </ol> | DR. APOSTOLAKIS: That's different.<br>COMMISSIONER McGAFFIGAN: Getting a blue badge is a<br>different issue.<br>DR. APOSTOLAKIS: You were saying about conferences and<br>meetings and interactions, that kind of thing. In various meetings, in fact, I<br>know some very good people in Germany who have been doing this. We might<br>pursue this and bring the guy here for a free meeting with us as an invited |

it's much more difficult to convince people here that something is safe than in
other places.

| 3  | COMMISSIONER McGAFFIGAN: That may be a good idea.                                 |
|----|---|
| 4  | DR. APOSTOLAKIS: I'm sure it is.  |
| 5  | COMMISSIONER LYONS: I'll come back later.   |
| 6  | CHAIRMAN KLEIN: I think this demonstrates one of the areas                        |
| 7  | that Commissioner Lyons has been looking at is do we need to put more             |
| 8  | emphasis on Digital I&C research center and start getting more people trained     |
| 9  | from the academic communities in terms of people that look at these activities.   |
| 10 | So that's something that we kicked around ideas.                                  |
| 11 | Clearly, Digital I&C is going to happen because that's where the makers           |
| 12 | are going, the international communities are going that way, the Navy nuclear     |
| 13 | program is going that way. I think there's a lot of examples we can learn from.   |
| 14 | Mario, I have a question for you. We've got a good database on license            |
| 15 | renewals and power uprates. What are the trends? Are we doing better?             |
| 16 | DR. BONACA: Well, I think in the license renewal we've become                     |
| 17 | more efficient, of course. I think that the applications are quite complete. Many |
| 18 | of the older plants tend to go with exceptions because they already have          |
| 19 | problems which have been proven for a long time. They propose them to the         |
| 20 | staff. The staff evaluates and accepts them. But in general, I think the trend is |
| 21 | good.   |
| 22 | We have seen some plants which are unusual, like Oyster Creek and                 |

Palisades. In many cases, like for example, Vermont Yankee was just
reviewed this week. There are no issues. There are no open issues. What's
happening really is the industry by now is quite skilled in knowing what the
expectations of the NRC are and they have teams that go around, they come
and participate and they come very prepared for the follow-up. It's becoming
more of a routine activity.

In EPUs, it's a little more different. We're still on a learning curve and 7 there are still issues with the steam dryers that really have not been fully 8 addressed in some cases and there are issues to do with some of the 9 technology that goes behind. We've been reviewing this week a methodology 10 that GE has developed to allow more ability to the operators to operate at 120% 11 power essentially by changing flow conditions rather than rod insertions. 12 So they are presenting new technology. It's going to be challenging for 13 the staff for a period of time, it's going to be challenges for us because we're on 14 a learning curve. 15 CHAIRMAN KLEIN: Have you seen the quality of the applications 16 increase over the years that you watched them? 17 DR. BONACA: I think the applications are about the same. I think 18 what has helped us a lot has been the audits that the staff has been doing now. 19 They have audit teams that they hire. They send them out and they come up 20 with very detailed evaluations of the programs the utility is proposing. That's 21 very helpful. 22

-46-

The applications are pretty much consistent. They haven't improved any more. I think that the period of time for review for the staff and our review has gone to being as short as you can possibly be. I don't think we can improve on that.

CHAIRMAN KLEIN: In my interactions with the international 5 community, a lot of concern is now being expressed by other countries because 6 7 they are now looking at license renewals as well. I'm sure we have some 8 lessons learned that we can share with our international partners in this area. 9 DR. BONACA: I think when I look globally at what we've seen in the past few years, there is a lot of information being shared by the industry 10 because they are going through license renewal. That just has to be a plus 11 because experience is shared so much more frequently and totally than it used 12 to be 10 years ago. I think a lot of the merit is coming from license renewal. I 13 think this experience should be valuable to foreign companies that are planning 14 to go the same direction. 15 CHAIRMAN KLEIN: Thanks. Commissioner McGaffigan? 16 COMMISSIONER McGAFFIGAN: One follow-up on the Digital 17 I&C discussion. It's the question we did ask in our last SRM that you couldn't 18

19 get to. It just strikes me having heard this discussion that the issue of backups

<sup>20</sup> is going to be the central issue in deciding these things. You're not going to

21 convince me based on this discussion we just had that there isn't going to be

tremendous uncertainty and that you're going to need some sort of backup,

-47-

maybe modern, non-digital equipment. At least for some of these systems, we
 can't take a chance.

But at some point, given that you feel you don't have the information at this point to even discuss backups, at some point soon with the existing plants and with the new plants, we're going to have to have that discussion as part of a licensing process.

DR. APOSTOLAKIS: I think the classification of systems can be 7 8 done in two weeks; the failure modes in six months you're going to have a very 9 good report. That's my guess. We are not talking about a long-term research. What does backup mean? You have to know what you're backing up. 10 COMMISSIONER McGAFFIGAN: I understand. I hope the staff 11 agrees with you on the two week and six month time lines. 12 DR. APOSTOLAKIS: That's not an ACRS position. 13 COMMISSIONER McGAFFIGAN: I'd like to see that too if that 14 was possible. 15 CHAIRMAN KLEIN: One of the clarifying issues that we learned 16 when we went to the Navy nuclear activities was that what they've done is 17 they've put some backup systems, separate independent systems at various 18 components, so they'll give you another signal that's not coupled with their 19 digital. There's ways that it can be done. 20 COMMISSIONER McGAFFIGAN: I'm sure that's the case, but it 21

would be nice for us to get on with figuring that out and having some sort of

systematic approach to approaching that.

| 2  | I'm going back, I guess, to Tom and George. We're talking about how                  |
|----|--|
| 3  | easy it's likely to be - or I was talking and you weren't disagreeing - with regard  |
| 4  | to high-temperature gas reactors in terms of you're pretty sure they are going to    |
| 5  | be safer, there will be some issues - on the other end of the spectrum, our co-      |
| 6  | located reprocessing sodium cooled fast reactors and fuel cycle facilities           |
| 7  | handling and doing all sorts of fairly dangerous things. You put them in your        |
| 8  | framework and instead of relaxing, we're going to be hardening Part 50.              |
| 9  | DR. KRESS: I imagine you may be.   |
| 10 | COMMISSIONER McGAFFIGAN: Will your framework help me                                 |
| 11 | with that? I pretty much know if you count all those facilities together. You said   |
| 12 | one of the issues you guys are arguing about is do you do a quantitative health      |
| 13 | objective facility by facility or site by site.                                      |
| 14 | If you have a lot of stuff on one site, each of which has some significant           |
| 15 | danger associated with it or safety issues that have to be resolved, if you say      |
| 16 | you have to use the cumulative approach, we're going to have to sum                  |
| 17 | everything up, siting these sites might be pretty hard.                              |
| 18 | DR. KRESS: Well, it may be, but I think that's the correct way to                    |
| 19 | go.  |
| 20 | COMMISSIONER McGAFFIGAN: I've got two good experiments                               |
| 21 | going forward that the nation seems to be interested in; one is high-temperature     |
| 22 | gas reactors and one is co-located facilities for closing the fuel cycle. The latter |

| 1  | is going to be the harder challenge. Does that help us? Do you think this              |
|----|--|
| 2  | technology neutral framework, which I'm always calling the grand unified fuel          |
| 3  | theory, will that really help us with the hard choices we're going to make on the      |
| 4  | closed fuel cycle facility?  |
| 5  | DR. KRESS: I certainly think it will.  |
| 6  | COMMISSIONER McGAFFIGAN: I see Dana shaking his head.                                  |
| 7  | DR. KRESS: Dana may have a different opinion about the safety                          |
| 8  | level of gas cooled reactors, but I certainly think it will. It gives you a systematic |
| 9  | look, pretty much like the hazards analysis does, except it tries to quantify          |
| 10 | those. It gives you a systematic look at them and tries to quantify what the           |
| 11 | consequences and the probabilities are. I don't know of any other way to say           |
| 12 | you have a safe site without having safety criteria and having a way to quantify       |
| 13 | that.  |
| 14 | COMMISSIONER McGAFFIGAN: My question is I agree with all                               |
| 15 | that, but do I have to have the technology framework to –                              |
| 16 | DR. KRESS: I think so; unless it's an LWR, then I think you can                        |
| 17 | still go.  |
| 18 | COMMISSIONER McGAFFIGAN: I've used up enough time,                                     |
| 19 | although Dana has been absolutely quiet today.   |
| 20 | DR. KRESS: He's one of the other hands that's going to have                            |
| 21 | added comments   |
| 22 | COMMISSIONER JACZKO: There's a perfect opportunity to add                              |

1 something.

DR. POWERS: Well, it is fair to say that I disagree with Tom
 almost categorically on this area.

DR. KRESS: Which is not unusual. 4 DR. POWERS: To come back to Commissioner McGaffigan's 5 comment, yes, I think you're going to have to reexamine your QHOs because I 6 7 think they lead to a conundrum that it is clearly better to locate a closed fuel 8 cycle system together to avoid the transportation issues that you would have if you located them separately, yet your QHOs seem to preclude that. You 9 gentlemen are going to get the big bucks to figure that one out. 10 It's where your QHOs were never designed to handle those systems and 11 yet it is absolutely transparent. You do not want to have reprocessing facilities 12 in one state, actinide burners in another state, fuel fabrication in yet a third 13 state and transport the material around. The societal safety is just much worse 14 in that case verses collective. Yet you get this conundrum where the QHOs, as 15 Tom correctly says, is a site characteristic it just runs afoul of that. You will 16 have problems there. 17 You'll be either unable to find a site or unable to build a facility safe 18 enough that you can afford to handle all the materials. It's a conundrum you're 19 going to have to address. 20

COMMISSIONER McGAFFIGAN: I appreciate that comment.
 Someday, some Commission is going to have to face these issues. I think the

way GNEP is going in Congress that day is not exactly imminent.
DR. KRESS: One concept I'd like to throw out in a rejoinder, here
is I guess is I view the QHO as an implied cost-benefit contract. You don't
actually go out and do a cost-benefit, but it's implied and they arrived at it by a
different path. But if it is a cost benefit, one might think about changing the

6 QHOs for something that has these multiple purposes on it because the benefit

7 is much better.

I don't think the QHOs necessarily are cast in concrete. My choice of
 those is just for sites that have nuclear power plants. You may rethink what
 your safety criteria are for these multiple purpose sites.

11 CHAIRMAN KLEIN: Commissioner Merrifield?

12 COMMISSIONER MERRIFIELD: This has been a very good 13 conversation. I think it's been well vetted, so I won't add to it. I want to make 14 one comment that I didn't make previously, but I think it's worth noting. 15 I think the Commission has spent a significant amount of time over the 16 last nine years looking at the composition of ACRS. I think we continue to 17 succeed in having an environment where there's an ability to have a diversity of

18 opinions.

One of those which I think we have done a better job at is making sure we have individuals who have commercial operations experience. I think it's very important as a Commissioner that obviously we need to have a good grounding on the theoretical and good grounding on a variety of technologies. I

| 1                                | think it's vitally important that that be balanced within the context of the   |
|----------------------------------|--|
| 2                                | evaluation of these issues by having individuals who have actually had to  |
| 3                                | operate nuclear power plants and I'm glad we've made a real effort to get more   |
| 4                                | of those folks on board and we have more in the pipeline, which is good.   |
| 5                                | With that, Mr. Chairman, I'm going to do something that I rarely, if ever,   |
| 6                                | done as a member of Commission and I'm going to waive the remainder of my  |
| 7                                | time. Thank you.   |
| 8                                | CHAIRMAN KLEIN: For a lawyer, that's amazing. Commissioner   |
| 9                                | Jaczko?  |
| 10                               | COMMISSIONER JACZKO: I guess I just have more of a general   |
| 11                               | question for a final question. It seems that the more I learn about PRA the  |
| 12                               | more I learn what PRA doesn't do.  |
|                                  |  |
| 13                               | COMMISSIONER McGAFFIGAN: That's about where I was about  |
| 13<br>14                         | COMMISSIONER McGAFFIGAN: That's about where I was about eight years ago.   |
|                                  |  |
| 14                               | eight years ago.   |
| 14<br>15                         | eight years ago.<br>COMMISSIONER JACZKO: We all seem to be although with   |
| 14<br>15<br>16                   | eight years ago.<br>COMMISSIONER JACZKO: We all seem to be although with<br>Part 52 and with Commissioner McGaffigan's initiative we've outlined - and I   |
| 14<br>15<br>16<br>17             | eight years ago.<br>COMMISSIONER JACZKO: We all seem to be although with<br>Part 52 and with Commissioner McGaffigan's initiative we've outlined - and I<br>think Bill you commented on that – to have requirements for living PRA for new   |
| 14<br>15<br>16<br>17<br>18       | eight years ago.<br>COMMISSIONER JACZKO: We all seem to be although with<br>Part 52 and with Commissioner McGaffigan's initiative we've outlined - and I<br>think Bill you commented on that – to have requirements for living PRA for new<br>reactors, we still have 104 reactors in operation that potentially could see long                        |
| 14<br>15<br>16<br>17<br>18<br>19 | eight years ago.<br>COMMISSIONER JACZKO: We all seem to be although with<br>Part 52 and with Commissioner McGaffigan's initiative we've outlined - and I<br>think Bill you commented on that – to have requirements for living PRA for new<br>reactors, we still have 104 reactors in operation that potentially could see long<br>life ahead of them. |

1 I'm wondering if you could just comment on where you see the state of the art in PRA for the existing fleet and where we go in the future. I think we 2 are doing a good job in the fire protection area because of NFPA-805 and that 3 seems to be driving advancement and development of the PRA in that area, but 4 there are a lot of other initiating events, a lot of others aspects of PRA that may 5 be lacking. If you could maybe just comment; anybody who wants to on your 6 sense of that. 7 DR. APOSTOLAKIS: I think it's important not to oversell PRAs. I 8 9 think for LWRs, Level One that means core damage. I think we have a pretty

10 good PRA now that give you a good description of the ways accidents may

progress and damage the core. These accidents will involve natural

12 phenomenon and random failures of various equipment. They do not include

organizational issues. They do not include management issues and to the

14 extent that they are being used, they don't include Digital I&C.

The human error probability is, especially when it comes to recovery actions during an accident, as I've said before, there are several models, most likely they disagree in their numbers, but progress is being made there as well. So there are certain areas that are larger uncertainties and things are not done as well as we would like to like in human reliability. There are certain areas that are completely out of the PRA and I don't think they will be included any time soon.

As long as we are aware of these things, it seems to me that a

-54-

1 combination of defense in depth and risk informed insight is a proper way to go and this is the way the Commission has been going now for nine years or so. 2 Now when it comes to new reactors, I think it will be useful to go back 3 and think of what happened to light water reactors when we started out and 4 what we have learned. How many times have we been surprised, for example, 5 that something happened that we never expected it to happen? Now you have 6 new designs. 7 People are optimistic because designers by their very nature are 8 9 optimistic. They're not out to make things that fail. They're out to make things that work and produce power. It's not a malicious kind of thing. That's their 10 nature. Then you have analysts. 11 In fact, it's very interesting - in the old days we had methods for 12 identifying the initiating events. Now for light water reactors nobody's going to 13 do that now. We have standard lists, procedure guides and so on. Then we 14 talk about new reactors. We have to go back and start thinking. You have to 15 go back and rethink the issue of initiators. 16 COMMISSIONER JACZKO: By new, are you referring to passive 17 18 designs or are we talking about next generation? DR. APOSTOLAKIS: I don't know what may happen. I have a 19 good list for PWRs, a good list of LWRs and the standard advice is start with 20 those and look at your own plant if there is something special that you want to 21 include. That's fine. If I'm talking about a gas reactor or I'm talking about a 22

-55-

2 fairly complete?

| 3                                | Looking back at the history, we realize that we were surprised a few  |
|----------------------------------|---|
| 4                                | times. It stands to reason that we will be surprised again if we ever build any of  |
| 5                                | those things. So using PRA to summarize; for LWRs, Level One and maybe  |
| 6                                | Level Two; Level Three we haven't really done much. But for Level One I'm   |
| 7                                | pretty confident that we will not be surprised again anytime soon.  |
| 8                                | Davis-Besse was not a surprise. A lot of people were saying that; that  |
| 9                                | something like that would happen. But for the new reactors, the new type  |
| 10                               | reactors, I think we should be very cautious because surprises will be there.   |
| 11                               | It's human nature. You can't figure out everything, especially the initiators.  |
| 12                               | That's the way I see it.  |
|                                  |   |
| 13                               | COMMISSIONER JACZKO: Does anybody else want to  |
| 13<br>14                         | COMMISSIONER JACZKO: Does anybody else want to comment? Thank you.  |
|                                  |   |
| 14                               | comment? Thank you.   |
| 14<br>15                         | comment? Thank you.<br>CHAIRMAN KLEIN: Commissioner Lyons?  |
| 14<br>15<br>16                   | comment? Thank you.<br>CHAIRMAN KLEIN: Commissioner Lyons?<br>COMMISSIONER LYONS: I have one fairly specific question on  |
| 14<br>15<br>16<br>17             | comment? Thank you.<br>CHAIRMAN KLEIN: Commissioner Lyons?<br>COMMISSIONER LYONS: I have one fairly specific question on<br>the human reliability portion of the presentation and it was reference to using   |
| 14<br>15<br>16<br>17<br>18       | comment? Thank you.<br>CHAIRMAN KLEIN: Commissioner Lyons?<br>COMMISSIONER LYONS: I have one fairly specific question on<br>the human reliability portion of the presentation and it was reference to using<br>the capabilities of the Halden facility to evaluate different models. I had the  |
| 14<br>15<br>16<br>17<br>18<br>19 | comment? Thank you.<br>CHAIRMAN KLEIN: Commissioner Lyons?<br>COMMISSIONER LYONS: I have one fairly specific question on<br>the human reliability portion of the presentation and it was reference to using<br>the capabilities of the Halden facility to evaluate different models. I had the<br>opportunity to visit the Halden facility. |

1 U.S. I was told that Halden only had used Swedish, Finnish and perhaps a few German crews. That doesn't mean that I wasn't very impressed with the 2 Halden facility, it also increased my interest in having facilities in this country 3 where such work could be done, but I just wonder if that point - if that raises 4 any concerns with your comments to use Halden in terms of evaluation models. 5 I'm just not sure that models necessarily cross cultural and training differences. 6 DR. APOSTOLAKIS: We specifically asked that question when 7 the staff told us they would carry out this new study in Halden and I believe the 8 answer was that yes, there would be American crews. Either they already had 9 a commitment or they were about to get a commitment from American utilities 10 to send Americans to participate in the exercise. It was left at that. We are 11 fully aware of this issue. This was the answer from the staff. 12 COMMISSIONER LYONS: I would feel a whole lot better if that 13 statement is correct and if we're going to base data from Halden on crews with 14 our cultural and training backgrounds. 15 DR. APOSTOLAKIS: I think Dr. Powers has a guestion there. 16 What was it? 17 18 DR. POWERS: What do you learn from a Norwegian reactor, run by Finnish crews, using French procedures? 19 COMMISSIONER LYONS: That's another way of stating my 20 question. 21 DR. POWERS: You still have the problem, the unfamiliarity and 22

-57-

1 whatnot of the environment and things like that. We have a wealth of data from our simulators that we just have not mined effectively and I think that's part and 2 parcel of the future plants is to use the wealth of data that we have and to 3 explore these models. It doesn't get us out of the problem that PRA does not 4 now have good ways of handling errors of commission and a list of other things 5 that I'll add to your list on things that doesn't happen. 6 And augment what George said about PRA to say that I suspect it will 7 not surprise me if one of the conclusions that comes from our research report is 8 that we're concerned about the stagnation of development and methods within 9 the agency in the area of PRA. 10 COMMISSIONER McGAFFIGAN: It's good to have these structuralists 11 around, Mr. Chairman. I hope some of these new folks we're bringing on in 12 PRA expertise have structuralist tendencies. 13 DR. POWERS: They're rationalist, every one of them. 14 CHAIRMAN KLEIN: I assume you're saying "structuralist" and not 15 "obstructionists". 16 COMMISSIONER McGAFFIGAN: Structuralists are good people. 17 18 I think I'm one, they've told me years ago I was a structuralist. DR. POWERS: I knew I liked you for some reason. 19 COMMISSIONER LYONS: Thank you. 20 CHAIRMAN KLEIN: Thank you very much for a very good 21 presentation and a lively discussion. We certainly thank you for your 22

1 independent advice and counsel. It helps us in our deliberations. We certainly expect our workload to increase, which means your workload will increase as 2 well with a lot of things on our plate. Again, thank you for what you do for the 3 Commission. 4 On behalf of the Commission, thanks for what you do. Tom, thanks 5 again for your service as well. I think Commissioner McGaffigan might have 6 some comments. 7 COMMISSIONER McGAFFIGAN: I second your comments 8 particularly with regard to Tom. When did you arrive? 9 DR. KRESS: 1991. 10 COMMISSIONER McGAFFIGAN: 1991. So you easily beat my 11 tenure on the Commission. The purpose of me asking for the floor at this point 12 is to recognize that this is Jeff Merrifield's final public meeting in this room. Jeff 13 and I have been together for over 8  $\frac{1}{2}$  years on the Commission, even 14 counting the times when we were off waiting for the Senate to act on various 15 nominations and whatever. It's been a great service to work with Jeff. 16 He will end up, I think, the sixth longest serving Commissioner in NRC's 17 18 history. We ended up having a time here where we had the first, the third, the sixth and the seventh all at one time. We were the people who greeted Dick 19 Meserve when he showed up and said goodbye to Dick Meserve as he left. 20 It's been a tremendous honor to serve with Jeff and I think we have a 21 record of accomplishment partly because of that continuity that we had among 22

all those long serving Commissioners.

| 2  | I also want to recognize Larry Chandler. Larry is about to retire. This is           |
|----|--|
| 3  | his last meeting down here representing Karen Cyr. He's about to retire with 37      |
| 4  | years of Federal service, 35 of them here with us; the last 18 as the Associate      |
| 5  | General Counsel for hearings. Last eight. I've got the wrong date.                   |
| 6  | He could have retired a long time ago. I've always been joking he could              |
| 7  | have retired in 2001. I prayed that he would not do the calculation as to how        |
| 8  | much money he makes when he comes to the office. He's a truly dedicated              |
| 9  | public servant and we're going to miss you as well. With that, Mr. Chairman, I'll    |
| 10 | turn it over to my colleagues.   |
| 11 | CHAIRMAN KLEIN: Thank you. Jeff?   |
| 12 | COMMISSIONER MERRIFIELD: Well, I'd just like to thank you for                        |
| 13 | those kind words. We've had a lot of fun the last 8 $\frac{1}{2}$ years and we've    |
| 14 | accomplished a lot. This is not the same agency that we inherited when we got        |
| 15 | here and I think the work that the Commission has done, the work that our            |
| 16 | senior staff has accomplished and in the end the hard work that has been             |
| 17 | engendered by all of our staff has put this Commission in the best place its         |
| 18 | been in perhaps in the entirety of its history.                                      |
| 19 | Obviously, our three newest members inherit a Commission that is                     |
| 20 | strong, is vibrant, is ready and is well prepared for the challenge that it will see |
| 21 | in the future. I feel very good about what we've done and I feel very good           |
| 22 | about the relationship that you and I have had over these years.                     |

-60-

| 1  | I would also want to join you in congratulating Larry. Larry has provided           |
|----|---|
| 2  | great counsel to me on a variety of occasions in the past and he is a dedicated     |
| 3  | servant. Like you, I would reflect Larry, maybe because I'm an attorney and I       |
| 4  | have a little better appreciation of this, Larry could have earned lots and lots of |
| 5  | money on the outside. Maybe he will when he nonetheless leaves. It's a pretty       |
| 6  | good time for attorneys leaving the Commission. I certainly wish him well. He's     |
| 7  | been great for us.  |
| 8  | CHAIRMAN KLEIN: Thanks.   |
| 9  | COMMISSIONER JACZKO: I certainly want to echo the                                   |
| 10 | comments about Larry. I haven't had as many opportunities to interact with          |
| 11 | Larry, but I think when it comes to attorneys, that's always a good thing. Not      |
| 12 | because it's bad to interact with attorneys, but usually because you interact with  |
| 13 | attorneys in bad situations. Let me clarify that somewhat.                          |
| 14 | COMMISSIONER MERRIFIELD: Present company at the table                               |
| 15 | excluded.   |
| 16 | COMMISSIONER JACZKO: Right. And then of course, I would                             |
| 17 | like to say a few words about Jeff. As I remarked earlier, he was one of the first  |
| 18 | people who I met with before I actually came to the Commission after I'd been       |
| 19 | confirmed. He gave me some good advice then and it was I think the start of         |
| 20 | what I hope is as productive of a relationship for you as it's been for me to       |
| 21 | serve with you. It's not been certainly the length of time that Ed has served       |
| 22 | with you, but I certainly have enjoyed working with you for a little over two       |

1 years.

| 2  | I think it's pretty clear the contributions that you and Ed have made to            |
|----|---|
| 3  | the agency and you certainly have a lot to look forward to as to embark on your     |
| 4  | next career. I think wherever you go, you certainly will be a tremendous asset      |
| 5  | to whatever your next endeavor is. I appreciate your service and have enjoyed       |
| 6  | working with you.   |
| 7  | CHAIRMAN KLEIN: Pete?   |
| 8  | COMMISSIONER LYONS: I certainly want to echo the comments                           |
| 9  | both from Ed and Greg and also from Jeff with regard to Larry. We're certainly      |
| 10 | seeing the departure of two very dedicated public servants. I tremendously          |
| 11 | appreciate the contributions that both of you have made.                            |
| 12 | Jeff, I've looked to you for advice in many areas and as we have more               |
| 13 | opportunities to discuss and roast you, I'll go into more of some of those areas.   |
| 14 | But in any case, I really appreciate the service from both of you and wishing       |
| 15 | you all the very best.  |
| 16 | CHAIRMAN KLEIN: In summary, I'd like to thank Jeff and Larry                        |
| 17 | for their public service and the only question Larry, is that you didn't start here |
| 18 | right away. You took a slight detour in your public service before you started.     |
| 19 | MR. CHANDLER: Just a brief period for two years.                                    |
| 20 | CHAIRMAN KLEIN: Thanks again for what all of you have done                          |
| 21 | for the NRC and best wishes in your next careers, whatever that may be.             |
| 22 | Meeting is adjourned.   |

-62-