

COMMISSION DIRECTION IN SRM/SECY-04-0111 AND SRM/SECY-05-0187

SRM/SECY-04-0111: “Recommended Staff Actions Regarding Agency Guidance in the Areas of Safety Conscious Work Environment and Safety Culture”

- ▶ Enhance the Reactor Oversight Process (ROP) treatment of cross-cutting issues to more fully address safety culture.
- ▶ Continue to monitor industry efforts to assess Safety Culture and ensure the Commission remains informed of industry efforts and progress.
- ▶ Develop tools that allow inspectors to rely on more objective findings.
- ▶ Consider including enhanced problem identification and resolution initiatives as part of this effort.
- ▶ Ensure that the inspectors are properly trained in the area of Safety Culture.
- ▶ Consider if the cross-cutting issues in the enhanced ROP treatment may be more appropriately labeled Safety Management rather than Safety Culture.
- ▶ In making any changes, the staff should follow the established processes for revising the ROP, in particular the process for involving stakeholders.
- ▶ Include as part of its enhanced inspection activities for plants in the Degraded Cornerstone Column (referred to as Column 3) of the ROP Action Matrix, a determination of the need for a specific evaluation of the licensee’s Safety Culture. The staff should interact with our stakeholders to develop a process for making the determination and conducting the evaluation.
- ▶ Continue to monitor developments by foreign regulators.

SRM/SECY-05-0187: “Status of Safety Culture Initiatives and Schedule for Near Term Deliverables”

- ▶ Continue to interact with external stakeholders and build from enhancements already made to the ROP in response to the Davis-Besse Lessons Learned Task Force.
- ▶ Develop a process for determining if an evaluation of safety culture is warranted when a plant falls into the degraded cornerstone column of the ROP action matrix.
- ▶ Keep the Commission offices fully and currently informed of the status of this activity.
- ▶ Inform the Commission offices of the key elements of the process before finalizing it.
- ▶ Complete requisite training of inspectors on the enhancements to address safety culture.
- ▶ Document significant changes to the ROP addressing safety culture in the ROP guidance documents and/or basis documentation.
- ▶ Ensure that the resulting modifications to the ROP are consistent with the regulatory

principles that guided the development of the ROP.