

June 29, 1998

COMMISSION VOTING RECORD

DECISION ITEM: SECY-98-059

TITLE: PROPOSED OPTIONS FOR ASSESSING THE PERFORMANCE AND COMPETENCY OF LICENSEE MANAGEMENT

The Commission (with all Commissioners agreeing) approved the subject paper as recorded in the Staff Requirements Memorandum (SRM) of June 29, 1998.

This Record contains a summary of voting on this matter together with the individual vote sheets, views and comments of the Commissioners, and the SRM of June 29, 1998.

John C. Hoyle
Secretary of the Commission

Attachments: 1. Voting Summary
2. Commissioner Vote Sheets
3. Final SRM

cc: Chairman Jackson
Commissioner Dicus
Commissioner Diaz
Commissioner McGaffigan
OGC
EDO
PDR
DCS

VOTING SUMMARY - SECY-98-059

RECORDED VOTES

	APRVD	DISAPRVD	ABSTAIN	NOT PARTICIP	COMMENTS	DATE
CHRM. JACKSON	X				X	5/6/98
COMR. DICUS	X				X	5/5/98
COMR. DIAZ	X				X	5/7/98
COMR. McGAFFIGAN	X				X	5/18/98

COMMENT RESOLUTION

In their vote sheets, Commissioners Dicus and McGaffigan approved only those elements of Option 2 associated with the current staff practice of inferring licensee management performance from performance-based inspections, routine assessments, and event follow up. Although Chairman Jackson also approved Option 2, she would also have permitted the staff to proceed to develop guidance to improve the quality and consistency of licensee management (Option 2 as envisioned by the staff and described in SECY-98-059) and would have directed the staff to prepare a report on available staff

research results that address the extent to which direct indicators can be identified for management performance, safety culture, effective site communications, and the degree to which an organization can be characterized as a "learning organization," as well as an assessment of the state-of-the-art in assessing management competency. Commissioner Diaz preferred Option 1, which would have permitted the staff to continue to conduct performance-based inspections, but eliminated staff attempts to infer or articulate conclusions regarding the performance or competency of licensee management. In his comments, Commissioner Diaz also indicated he would direct the staff to discontinue all efforts to develop a comprehensive and direct assessment of licensee management as a leading indicator of plant performance, a process to assess management performance on an ongoing basis, and a separate category of management and organization within the assessment process. During consideration of the draft SRM, all Commissioners agreed to the text of the SRM issued on June 29, 1998.