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UNITED STATES NUCLEAR REGULATORY COMMISSION'S
ADVISORY COMMITTEE ON REACTOR SAFEGUARDS

July 21, 2004

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UNITED STATES OF AMERICA
NUCLEAR REGULATORY COMMISSION

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152ND MEETING

ADVISORY COMMITTEE ON NUCLEAR WASTE

(ACNW)

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WEDNESDAY, JULY 21, 2004

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ROCKVILLE, MARYLAND

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The Advisory Committee met at 1:00 p.m.
at the Nuclear Regulatory Commission, Two White
Flint North, Room T2B3, 11545 Rockville Pike, B.
John Garrick, Chairman, presiding.

COMMITTEE MEMBERS:

B. JOHN GARRICK Chairman

MICHAEL T. RYAN
Vice Chairman

ALLEN G. CROFF Member

GEORGE M. HORNBERGER
Member

RUTH F. WEINER
Member

1	JAMES CLARKE	Consultant
2	BRUCE MARSH	Consultant
3		

1 ACNW STAFF PRESENT:

2 JOHN T. LARKINS, Executive Director

3 NEIL COLEMAN

4 LATIF HAMDAN

5 HOWARD J. LARSON, Special Assistant

6 MICHAEL LEE

7 RICHARD K. MAJOR, Staff

8 SHARON STEELE

9

10 NRC STAFF PRESENT:

11 DONALD COOL, Senior Advisor, Health Physics

12 Issues, Office of Nuclear Materials

13 Safety and Safeguards

14 YAWAR FARAZ, Project Manager, USEC

15 TIM JOHNSON, NMSS

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I-N-D-E-X

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Sharon Steele

Health Physics Related Issues 28

Dr. Donald Cool

Adjourn 69

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P R O C E E D I N G S

12:59 p.m.

CHAIRMAN GARRICK: Good afternoon, our meeting will come to order.

This afternoon, we're going to hear from Sharon Steele in spite of what it said on the program yesterday or whatever. And Sharon is going to talk to us about the integrated safety assessment business. She's going to give us a background briefing.

Sharon?

MS. STEELE: Thank you.

My name is Sharon Steel. I'm on rotation to the ACRS/ACNW, previously with Fuel Cycle and NMSS. And my introduction to integrated safety analysis and Part 70 in particular, came about through my review of the MOX Fuel Cycle facility. I've also had limited involvement in the ISC review of other fuel cycle facilities.

The presentation today is threefold. I would like to give background information, as Dr. Garrick said, on the new Subpart H requirement.

I also have an example of an ISA submittal that was made recently. And I'll share some recent developments in the ISA world for fuel

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1 cycle.

2 Well, when this slide was developed, it
3 was a new rule. Subpart H was developed in
4 September of 2000. New staff guidance had been
5 identified and basically they were NUREG-1520. I
6 should say new staff guidance was developed, which
7 was the standard review plan for the license
8 application.

9 Also NUREG-1513 has guidance on
10 integrated safety analysis methodologies. But I
11 also want to point out that there are other
12 applicable guidance. NUREG-6410, which tells the
13 applicant or the licensee how to perform
14 quantitative methods for determining consequences.

15 The rule requires that by October of
16 this year, that the licensees complete their site-
17 wide integrated safety analyses and that they
18 correct all unacceptable performance deficiencies
19 that they identified through the ISA. And they also
20 need to submit their site-wide ISA Summary for the
21 NRC approval.

22 And Subpart H applies specifically to
23 nuclear fuel fabrication facilities and any new
24 enrichment facilities that will be coming in for --
25 with their applications.

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1 The Part 70, Subpart H, regulatory
2 concept has three major elements, performance
3 requirements, items relied on for safety, and
4 management measures. The focus of Subpart H is the
5 integrated safety analysis. And the applicant is
6 required to identify accident sequences and
7 determine their likelihoods and estimate
8 consequences.

9 They do so in an integrated fashion by
10 using or convening a group of various safety
11 disciplines and they comply with the -- they help to
12 assure compliance with the performance requirements
13 which I'll get to in a second and identify the items
14 relied on for safety to prevent or mitigate accident
15 sequences and establish management measures that
16 would ensure that the IROFS are available and
17 reliable.

18 As I said, here are the performance
19 requirements. This slide is really talking about
20 accident sequences that are determined to be of high
21 consequences.

22 And high consequences accidents
23 sequences must be made highly unlikely according to
24 the rule. And the high consequence accident is one
25 where the worker receives greater than 100 rem or

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1 some life-endangering chemical exposure. It also
2 applies to the public. If the public receives
3 greater than 25 rem or an irreversible chemical
4 injury.

5 Next slide. And if the accident
6 sequence is determined to be -- the accident
7 consequence is determined to be of an intermediate
8 result, then the applicant must show that that
9 accident sequence is unlikely.

10 And in unlikely, the performance
11 requirements is that there is between 25 and 100 rem
12 for the worker, irreversible chemical injury. And
13 for the public, it's greater than 5 rem but less
14 than 25 rem. And there's also environmental
15 guidance.

16 Next slide. And this slide is just a
17 matrix to summarize or put it all together in one
18 page. Basically, as I said, high consequence events
19 must be demonstrated to be highly unlikely in order
20 to fall into the acceptable range.

21 And medium -- well, this says medium but
22 the terms is really intermediate consequence events
23 must be demonstrated to be unlikely in order to be
24 acceptable.

25 Next slide. One of the concerns is that

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1 with this methodology that likelihood evaluation is
2 n not quantitative. Well, in the guide -- and the
3 rule does not require it to be quantitative. And in
4 our guidance, we have some qualities that we look
5 for if the applicant is going to use qualitative
6 techniques and quantitative techniques to determine
7 likelihood.

8 If the applicant's definitions for
9 likelihood are qualitative, they would be found to
10 be acceptable if -- well, first of all, that
11 criteria must be reasonably clear and based on
12 objective criteria. And you must be able to
13 differentiate between a highly unlikely and an
14 unlikely accident.

15 And basically you're looking at their
16 reliability and availability qualities related to
17 the IROFS that would be applied to those accident
18 sequences. And so you want to assure that these
19 measures or controls have a large -- provides for a
20 large margin of safety, there are low failure rates
21 associated with them.

22 You want to demonstrate a preference for
23 engineered, passive controls over administrative
24 controls. And insure that there's a high level of
25 quality assurance.

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1 The controls must be auditable and have
2 surveillance measures that limit their downtime.
3 They must demonstrate defense in depth, a high
4 degree of redundancy, and a degree of independence
5 diversity of the controls. And they must be able to
6 protect against the vulnerabilities of common cause
7 failures.

8 The rule also allows -- or the guidance
9 -- the guides also allow to use a quantitative
10 measure for likelihood. And that guide, in
11 particular, in is NUREG-1520. In 1520, it talks
12 about high consequence accident sequences where the
13 -- it says that in order to be acceptable, that that
14 accident must occur less frequently than 1 times 10
15 to the minus 5, for example. And if it's to be
16 unlikely, it must occur 1 times 10 to the minus 4.

17 Next slide. This is what the staff
18 generally expects from integrated safety analyses.
19 And essentially we would like -- we think it will
20 end up -- we'll end up with a streamlined process
21 for licensing.

22 And that the licenses can actually make
23 the facility -- would be able to make facility and
24 procedural changes without prior approval from the
25 NRC unless -- well, under certain conditions. And

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1 they're listed there. You know, if the IROFS is not
2 downgraded and so on.

3 However, the licensees must submit
4 annually a summary of all such changes to the NRC.
5 And as a result, we hope that the annual summary
6 updates would significantly reduce the need for the
7 scope of the renewals.

8 I'm going to move on to the example of
9 an ISA submittal that we received. And this
10 particular one is the NFS Blended Low Enrichment
11 Uranium or the BLEU Project. And I highlighted this
12 portion of the figure to just sort of -- to show
13 where NFS would come in.

14 Just by the way of background, NFS will
15 be receiving off-spec high enriched uranium
16 materials. And then they will down blend it into
17 low-enriched oxides, which will be sent to fuel
18 fabrication facilities for further processing.

19 And NFS submitted applications for the
20 BLEU Project under three different -- three major
21 parts. There's the Uranyl Nitrate building, which
22 will receive and store the materials.

23 Then the BLEU preparation facility,
24 where it will -- the actual down blending will
25 occur. And then there's an oxide conversion

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1 facility. And the focus of this example is for the
2 Uranyl Nitrate building. And because it's a new
3 process, even though it's at an existing site, it's
4 a new process, a new building. Therefore, an ISA
5 must be conducted.

6 And here are the overall steps that --
7 I'm going to go through the steps or procedures that
8 NFS use and then actually show some of the results
9 that they came up with.

10 Essentially, they convened a team of 50
11 disciplines. And this team got together and
12 performed a process hazard analysis. But the method
13 they selected is called a HAZOP. And basically with
14 the HAZOP, it's a very systematic way of selecting
15 nodes and the processes and you use guide words to
16 determine whether you're going to be too high in a
17 particular area, too low, and so on.

18 So they performed the individual and the
19 specific analyses to identify the hazards and the
20 accident sequences. Then those accident sequences
21 are evaluated to see whether they meet the
22 performance requirements or not. And so they're
23 binned. And that part, as I may have mentioned
24 before, is quantitative.

25 And then they categorized the likelihood

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1 of each accident sequence. And they are using the
2 risk-index method, which is one method that was
3 demonstrated in the guidance document, NUREG-1513.

4 And based on the categorization of the
5 likelihood, they identify IROFS for each accident
6 sequence where you may have a consequence of
7 concern.

8 Go ahead. So this is where they bin the
9 accident sequences. Once they've identified the
10 sequences from the HAZOP, they evaluate the
11 consequences and they bin them according to the
12 consequences. And this looks like one of the
13 previous slides so basically they're just getting
14 high, intermediate and low.

15 And like I said, it's the risk index
16 method so they bin them and then they assign a
17 number to that particular binning and so on. And
18 the -- I guess I did say the evaluation of those
19 consequences was based on quantitative methods in
20 NUREG-6410.

21 To determine the initiate and frequency,
22 NFS proposed this indexing of assignments for the
23 initiating event frequency.

24 Basically they're saying for an accident
25 to be not creditable, that you cannot have more than

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1 one failure per 100,000 years. So if something --
2 and they assign a frequency index of minus five to
3 that. They use a frequency index of minus 4 for
4 highly unlikely. And minus 3 for unlikely.

5 Okay. Each IROFS is assigned an IROFS
6 failure index as specified in this table. And this
7 area is definitely a qualitative criteria for
8 likelihood. Basically they assign an index of minus
9 4 if you have a really robust control. And lots of
10 management measures to ensure availability. And a
11 zero of there is no protection.

12 They then calculate a total risk
13 likelihood and categorize it. And essentially they
14 add the initiating event frequency and the IROFS
15 failure IROFS failure frequencies that you saw in
16 the previous slides. And using this, it can
17 demonstrate the relative importance of IROFS. But
18 then they eventually use these categories in here to
19 determine acceptability of the particular control
20 for the accident sequence.

21 And this is similar to another slide you
22 seen before. But once they've come up with the
23 likelihood index T, here, and knowing the
24 consequence category bin, they can determine whether
25 that accident sequence and the sequence likelihood

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1 pair was acceptable.

2 Okay. And unfortunately, the
3 reproduction is not so great on this screen. I
4 think it might be better in your handouts. But this
5 is a matrix of what they did for each node where
6 there was a consequence of concern. First -- I
7 can't even read it -- they assigned -- okay.

8 For the -- in Column 2 -- and Column 1
9 identifies the accident sequence and the node where
10 it occurs. And I'll just talk about the first row
11 of information. For the initiating event frequency,
12 they determined that there was an index of minus 3
13 if there was a shipper error, where unsafe uranyl
14 nitrate was received in a particular vessel. And
15 this accident sequence from the HAZOP that was
16 identified as one where there was a high
17 concentration of uranium in the tank.

18 As a preventive measure, they do not
19 identify the IROFS in this particular document
20 because it's a nonproprietary version of the ISA
21 summary. In the version that the staff would have
22 reviewed, we'd see the IROF. But they did show that
23 they assigned a frequency index of one -- ten to the
24 minus -- well, of minus 1. And they added another
25 preventive IROFS, and that had a frequency index of

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1 minus 2.

2 There's no mitigation applied to this.
3 In fact, this is going to be a possible criticality
4 accident. And so the objective is to prevent rather
5 than mitigate.

6 They also show what the likelihood
7 indices that they would obtain if they controlled or
8 did not control the accident.

9 And the last -- well, Column 9
10 shows the overall risk index for the particular
11 accident. And in this case, if it's controlled, the
12 final number is C equals 3. And that would mean
13 that that prevents an acceptable risk.

14 Next slide. And this is just more of
15 the same. And I believe they went through several -
16 - I don't know the total number of nodes but there
17 were many. I think it's over 30 that were
18 identified as consequences of concern. And they did
19 that for all of them.

20 And the next slide shows what they did
21 for natural phenomenon and external event hazards.
22 And I forgot to mention that they not only look at
23 process risks but they look at external events.

24 Some of the external events that they
25 looked at were seismic, high winds, flooding, and

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1 lightning, and tornadoes, and pretty much determined
2 that they had sufficient controls and mitigating
3 factors to prevent those accidents from resulting in
4 exceeding the performance requirements.

5 This is just another part of the table
6 showing the natural phenomenon. And this document
7 is available in ADAMS.

8 In the end, NFS specified the various
9 IROFS controls. And they selected controls based on
10 a preference for passive over administrative. And
11 the management controls that they specified were
12 applied to the design, construction, operations,
13 maintenance, change controls of the IROFS.

14 And they planned to or they graded the
15 management measures commensurate with the level of
16 risk reduction.

17 And based on their evaluation, the staff
18 found that the management measures and IROFS would
19 make the credible intermediate consequence accidents
20 unlikely and high consequence accidents highly
21 unlikely.

22 Thank you. And that's it for the
23 particular example.

24 And so the next area I'm going to go
25 into is some of the recent developments that came

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1 about based on -- well, I'm going to talk about the
2 status of licensing -- of ISA submittals. And then,
3 also, some outcomes of recent workshops.

4 There was a workshop in September of
5 2003 where stakeholders identified areas that were
6 not clear to them in the regulations or the
7 guidance. And staff came back and developed interim
8 staff guidance for the licensees to address those
9 issues. All those guidance documents are draft.

10 And then I'll talk about the recent
11 workshop that occurred in July to address the
12 interim guidance and issues from the previous
13 workshop.

14 And this is the status of ISA summaries.
15 These are the ISAs. We received three -- well,
16 we've actually received three ISA summaries
17 associated with the BLEU Project from NFS. And --
18 however, we've approved two. And we've approved the
19 USEC -- the pilot plant ISA summary.

20 There are also several ISA summaries
21 that are under review right now. And there are
22 others that are still out there that we're
23 anticipating to receive before October 18th, which
24 is their deadline. And we know that in the fall
25 that we should get some summaries from USEC and MOX,

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1 the USEC being the gas centrifuge -- proposed gas
2 centrifuge facility.

3 Okay. There were nine areas where
4 interim staff guidance is being considered. The
5 first seven are under development. They are a
6 draft. And ISGs 8 and 9, which have to do with
7 natural phenomenon hazard and initiating event
8 frequency are -- have not been drafted as yet but I
9 believe they will be drafted in the future.

10 And this is the last slide. Just --
11 these were the basic discussion areas during the
12 July workshop. And it sort of just maps over what
13 some of the interim staff guidance documents -- the
14 areas that are highlighted are in orange are really
15 areas where there were the most active discussions.

16 So unless you have any questions --

17 CHAIRMAN GARRICK: Yes --

18 MS. STEELE: -- that's it.

19 CHAIRMAN GARRICK: -- we may have a few

20 --

21 MS. STEELE: Okay.

22 CHAIRMAN GARRICK: -- although we have
23 looked at this in the past.

24 EXEC. DIRECTOR LARKINS: I --

25 CHAIRMAN GARRICK: Pardon?

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1 EXEC. DIRECTOR LARKINS: -- sorry. I'm
2 sorry I missed the beginning of Sharon's
3 presentation. But I just wanted to give a little
4 introduction.

5 The idea here was really -- for Sharon
6 to sort of give you some background because one of
7 the things that is on our current projected workload
8 is to review some of these fuel cycle facilities and
9 in discussing this with the staff, I need to get
10 feedback from you as to when you'd like to be
11 engaged in those discussions. And what types of
12 topics.

13 In the interim, I've said basically when
14 the staff has completed their review and are getting
15 ready to issue a set of RAIs or whatever. But, you
16 know, any feedback.

17 This was hopefully to bring you up -- to
18 give you a status of what the staff is doing as a
19 part of their reviews. And give you a better
20 familiarization with the regulatory framework so you
21 can decide what it is and when you'd like to take a
22 look at these issues.

23 MR. LARSON: And it's only for those
24 eight facilities, right?

25 MS. STEELE: The fuel fabrication and

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1 the future enrichment facilities, yes. The Part 70
2 licensees.

3 EXEC. DIRECTOR LARKINS: But we have
4 three of them which are coming up shortly. So that
5 was sort of the idea.

6 CHAIRMAN GARRICK: Well, as you know,
7 when we looked at the ISAs, integrated safety
8 analysis process before, one of the things we kept
9 observing was that we'd like to see one. We'd like
10 to see how new models are actually put together and
11 executed. And how they handle the information and
12 the data and what have you.

13 We're very familiar with process because
14 this is basically the process hazards analysis
15 approach used by the chemical industry. And it's
16 used extensively by other industries, including DOE.
17 And maybe they have refined it as much as anybody in
18 support of the safety analysis work that's done on
19 nuclear explosives.

20 So it clearly is an approach that has a
21 lot of experience and support. We have always had a
22 few problems with it because we preferred it moving
23 more in the direction of a quantitative approach.
24 And you have to do almost as much work here as you
25 do for a QRA, quantitative risk assessment.

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1 And so the position of both the ACRS and
2 the ACNW, in the past, has kind of been we hope that
3 what this does do is -- that it is structured in
4 such a way that the option for moving towards a more
5 PRA format is not excluded.

6 And I would hope that that continues to
7 be the case because I think this is not risk
8 oriented as it could be if we were to do that.

9 I think that it would be useful for the
10 Committee to hear from an applicant, for example, a
11 presentation on how they have implemented the ISA
12 methodology. That's usually where you learn the
13 greatest amount just as you would if you were
14 listening to somebody presenting to you their PRA.

15 And as to timing, you know, that's --
16 the sooner the better.

17 There are a couple of issues here that
18 caught my eye. And I think one is just a matter of
19 words.

20 You said in the opening remarks that
21 this was for fuel fabrication and enrichment
22 facilities. But you weren't saying it to mean that
23 it was -- you included in that mix, I assume,
24 process facilities.

25 For example, what about conversion

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1 facilities like facilities that convert U-02 to UF-
2 6. I would assume the same methodology could be
3 applied there and would be. Is that not correct?

4 MS. STEELE: The conversion facility
5 you're referring to is the one we have in
6 Metropolis?

7 CHAIRMAN GARRICK: Yes.

8 MS. STEELE: That one falls under Part
9 40 --

10 CHAIRMAN GARRICK: Yes.

11 MS. STEELE: -- license. And I don't
12 know -- I suppose they could do --

13 CHAIRMAN GARRICK: Well, what --

14 MS. STEELE: -- an integrated --

15 CHAIRMAN GARRICK: -- if the Allied
16 facility --

17 MS. STEELE: -- safety analysis --

18 CHAIRMAN GARRICK: -- and the --

19 MS. STEELE: -- but they're not required
20 to.

21 CHAIRMAN GARRICK: -- yes, if the Allied
22 facility and the Sequoia Fuels facility were still
23 operating, would they fall under this?

24 MS. STEELE: I believe there are Part 40
25 licenses -- they would have been Part 40 licenses

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1 and they would not fall under this requirement.

2 CHAIRMAN GARRICK: Yes. And is there a
3 similar methodology?

4 MS. STEELE: Under Part 40?

5 CHAIRMAN GARRICK: Yes, under Part 40.

6 MS. STEELE: No.

7 CHAIRMAN GARRICK: I see. Okay.

8 I don't think I want to get into it very
9 much but there's some terms here that are kind of
10 bothersome.

11 MS. STEELE: Can I --

12 CHAIRMAN GARRICK: Yes?

13 MS. STEELE: -- can I address some of
14 the things that you talked about earlier? Before
15 you --

16 CHAIRMAN GARRICK: Right.

17 MS. STEELE: -- continue with the next
18 question?

19 Just for the benefit of others, the
20 guidance document, 1520, does not preclude the use
21 of a PRA-type --

22 CHAIRMAN GARRICK: Yes.

23 MS. STEELE: -- method. And, in fact,
24 if there are complex processes, it would guide one
25 to use perhaps event trees or something more

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1 sophisticated or complicated than a HAZOP
2 methodology.

3 CHAIRMAN GARRICK: Yes.

4 MS. STEELE: And I don't know in terms
5 of hearing from a future applicant, I know right now
6 we have in the room project managers for the LES and
7 the USEC facilities. And I don't know what the
8 status is of those ISA summaries are but would the
9 Project Managers care to comment?

10 MR. JOHNSON: I'm Tim Johnson. I'm a
11 Project Manager for Louisiana Energy Services. As
12 part of the application, LES did submit an ISA
13 summary, which is under review. We haven't
14 completed the review yet. But they used a semi-
15 quantitative method using the risk index method that
16 was suggested in the standard review plan.

17 CHAIRMAN GARRICK: Thank you. Thank
18 you.

19 MS. STEELE: And Yawar was going to --
20 the Project Manager for USEC is going to --

21 MR. FARAZ: I'm Yawar Faraz. I'm the
22 Project Manager for USEC.

23 We did review their lead cascade
24 application, which was submitted a year and a half
25 ago. And we approved it last February, issued a

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1 license. And they also had submitted an ISA summary
2 for that facility using a risk index method.

3 We're expecting an application from USEC
4 for their commercial plant next month.

5 CHAIRMAN GARRICK: Okay.

6 I just am reminding myself that I don't
7 know how much interaction there is between the NRC
8 and other agencies and organizations that employ
9 this basic methodology but I think there would be a
10 real advantage in taking full advantage of other
11 people's experience.

12 I know in the nuclear explosive field,
13 they have developed this general PHA approach to a
14 pretty fine level. And it goes through exhaustive
15 review in the review process. And that's something
16 you may want to look into because they do a very
17 similar kind of modeling.

18 Is there any comments? George, have you
19 got any comments?

20 MEMBER HORNBERGER: No, I don't.

21 CHAIRMAN GARRICK: Ruth?

22 MEMBER WEINER: Only that like you, Mr.
23 Chairman, I'd like to see one done. I think it
24 would be very instructive.

25 CHAIRMAN GARRICK: Yes.

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1 Allen?

2 MEMBER CROFF: Nothing additional.

3 CHAIRMAN GARRICK: Okay. Okay. I guess

4 --

5 EXEC. DIRECTOR LARKINS: Well, one of
6 the things I think we need to do and in terms of
7 planning and as we request the staff briefings on
8 these particular facilities to see if the applicant
9 would be willing to come in and discuss their
10 submittal. I don't know right now. We'd have to
11 ask and see.

12 CHAIRMAN GARRICK: Well, I think that's
13 -- that would be the most revealing would be to hear
14 from the modelers. And see how they are inputting
15 the information, where they're getting their
16 information from.

17 The likelihood calculations are
18 particularly important, are of particular interest.
19 Because that is the important stepping stone towards
20 any quantitative or semi-quantitative approach. And
21 how they structure their accident sequences, their
22 basic scenarios.

23 So that's the thought there is that if
24 we really want to -- and we felt this way a couple,
25 three years ago. And at one time were going to get

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1 somebody, I think it was from Lynchburg, was going
2 to come in and give us a briefing on how they put
3 their model together. So I think that interest
4 still is there.

5 And I think it would be the single event
6 that would bring the Committee closer to
7 appreciating and gaining confidence in the methods.

8 MR. LARSON: This would be one of the
9 things the Committee would look at, I guess, in its
10 retreat. And try to prioritize it along with the
11 other things --

12 CHAIRMAN GARRICK: Sure.

13 MR. LARSON: -- that it's going to look
14 at over the next year.

15 CHAIRMAN GARRICK: Sure.

16 EXEC. DIRECTOR LARKINS: Well, I think
17 we're scheduled in October to have a briefing of LES
18 or USEC -- one of them.

19 MR. LARSON: I think it's USEC.

20 EXEC. DIRECTOR LARKINS: Yes. So --

21 MS. STEELE: Is that right? Yawar, do
22 you know?

23 MR. FARAZ: Pardon?

24 MR. LARSON: October is USEC licensing
25 steps. They didn't say they'd go beyond that like

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1 bringing in the --

2 EXEC. DIRECTOR LARKINS: Okay.

3 MR. LARSON: -- applicant. But we can
4 ask.

5 CHAIRMAN GARRICK: Any questions from
6 staff?

7 (No response.)

8 CHAIRMAN GARRICK: Okay. Thank you very
9 much, Sharon.

10 MS. STEELE: Thank you.

11 CHAIRMAN GARRICK: We're a little ahead
12 of schedule, which is good, because we've got a lot
13 of report work we want to do a little later.

14 VICE CHAIRMAN RYAN: Dr. Cool is here.

15 CHAIRMAN GARRICK: Okay.

16 So the next item on our agenda is Health
17 Physics issues. And the Committee lead person on
18 those issues is Dr. Michael Ryan. And I'll let Mike
19 lead the discussion.

20 VICE CHAIRMAN RYAN: Thank you very
21 much, Mr. Chairman.

22 Good afternoon.

23 Good afternoon, Dr. Cool, how are you?

24 DR. COOL: Just wonderful. Thank you.

25 VICE CHAIRMAN RYAN: Well, that's great.

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1 We're going to hear from Dr. Cool on
2 Health Physics related issues. And I think, in
3 particular, we're going to focus on the consultation
4 papers of the ICRP that are hot off the press.
5 Welcome.

6 DR. COOL: Thank you and good afternoon.
7 We'll see if we can get this -- I know the light
8 concept there on the screen. In all due course,
9 something should magically appear via the
10 electronics.

11 I'm Dr. Donald Cool. I'm the Senior
12 Advisor for Health Physics Issues in the Office of
13 Nuclear Materials Safety and Safeguards.

14 After talking with Mike several times
15 over the last few months, we agree that it would be
16 useful at this stage in the process to provide you
17 with an information briefing on some of the things
18 that are going on, in particular, the activities of
19 the International Commission on Radiological
20 Protection, ICRP.

21 What I'm in hopes to do very briefly for
22 you today is give you just a bit of background on
23 where NRC currently is in its radiation protection
24 standards, a very brief, very high level overview of
25 the draft ICRP recommendations that have come out,

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1 and then some of the next steps that we envision
2 over the next few months as we begin this
3 examination.

4 So we're already on the background
5 slide. Let's leave it there. Thank you.

6 Just to reacquaint you with where we are
7 in the process, NRC revised 10 CFR Part 20, the
8 basic standards for radiation protection, finally
9 getting it published in 1991. That rulemaking took
10 12 years to go through the process. It actually was
11 implemented in 1994. So that had a fairly long
12 gestation cycle as we went through the process.

13 During that intervening period, not
14 surprisingly, other things continued to proceed
15 forward. ICRP published a revised set of
16 recommendations, Report 60, in 1991. Now obviously
17 the staff did not have that report available to it
18 at the time that we actually promulgated Part 20.

19 So the NRC regulations are based on the
20 older set of ICRP recommendations that were
21 Publication 26 and the metabolic models that were in
22 ICRP Publication 30.

23 We did have the advantage of knowing a
24 few things about what were coming out. So, for
25 example, the public dose limit that is contained in

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1 Part 20 was what actually came out for the first
2 time formally from ICRP in Publication 60.

3 There were a number of other things that
4 we didn't have accounted for within that process.
5 So, as a result, we are a step behind the
6 international recommendations as we've proceeded
7 forward.

8 I say that with all due caution because
9 we have taken on a case-by-case basis a look at
10 proposals by various licensees to use updated
11 models, to use effective dose from external
12 exposure, and some of the other things that have
13 come about over the last 15 years of so and, in
14 fact, approved them on case-by-case basis.

15 We went to the Commission specifically
16 for their approval to move forward and do that on a
17 case-by-case basis. It's particularly useful for
18 some of the folks who are dealing with uranium or
19 thorium and some of those isotopes where the more
20 recent metabolic models actually indicate a lower
21 risk per unit of intake activity than had previously
22 been modeled.

23 The more you know about the model -- the
24 body, things move up and down. Some things move
25 down and licensees, not surprisingly, wanted to take

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1 some advantage of that in their modeling approach.
2 So that's where we are on that part.

3 Go ahead and have the next slide. Thank
4 you.

5 In 2001, the staff went to the
6 Commission because we knew things were coming along.
7 It seemed like more than enough things had
8 transpired. There were some scientific issues that
9 we were aware of to proceed with the next steps.

10 Included in that approach was a no
11 action alternative, to go ahead and begin rulemaking
12 at that time, and try to work in parallel with ICRP
13 or to sit, monitor closely, but wait for the ICRP
14 recommendations to come out before firmly engaging
15 in a process. The staff actually recommended that
16 third option and that is what the Commission
17 approved.

18 So that is what we have been doing over
19 the last several years.

20 More recently -- next slide -- there we
21 go -- two papers have gone up from the Office of
22 Research, close coordination between Research and
23 NMSS and others. The first was responding to the
24 Commissions's request that we have some proposals
25 for a more robust materials program.

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1 When I say materials in this context, I
2 do not mean the properties of metal, as you are
3 often used to look at in the reactor forum, but
4 byproduct and source material and all of the other
5 things that we also have regulatory jurisdiction
6 over.

7 And then a month or so after that, we
8 also provided a paper outlining some recommendations
9 for how to evaluate scientific recommendations
10 relating to health effects in radiation biology and
11 the ISCRP recommendations.

12 The Commission has given us SRMs just in
13 the last couple months which approved both of those
14 plans, told us to go ahead and move forward with a
15 more aggressive and proactive approach in looking at
16 some of the science and activities.

17 They warned us to stay away from too
18 much in terms of protection of the environment. I
19 will talk briefly about that in a few minutes so
20 let's return to that topic.

21 And so we are now engaged actively in
22 the process of looking at the ICRP recommendations.
23 And in an ongoing process, in looking at the variety
24 of other things, the BEIR 7 work that is ongoing,
25 looking at the radiation risk relationship, DOE's

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1 low dose study efforts, the new results that have
2 been coming out of Hiroshima and Nagasaki and the
3 updated dosimetry.

4 There's a lot of different activities
5 that are going on at this particular junction in
6 time.

7 Let's go ahead with the next slide. In
8 keeping with that, we have been aggressive in trying
9 to pursue opportunities to interact with ICRP. We
10 have provided comments directly back to the ICRP
11 both on a draft proposal that they had on protection
12 of the environment and on an early white paper of
13 concepts which they had on the general
14 recommendations.

15 We've availed ourselves of almost every
16 opportunity we could to go to various forums and
17 discuss them internationally and nationally. And
18 tried to provide a variety of places where we could
19 input and influence the direction that things were
20 proceedings.

21 Let's go ahead to the next slide. ICRP
22 has been engaged in this development cycle for
23 probably five years or more, starting with some
24 early ideas that were floated by ICRP Chairman Roger
25 Clarke, discussed in two consecutive now IRPA,

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1 International Radiological Protection Association
2 meetings in Hiroshima and more recently in Madrid, a
3 variety of different activities.

4 Some of the ideas initially floated were
5 very interesting and certainly got our attention
6 because they would have caused just a bit of concern
7 and heartburn were they to have gone all the way
8 potentially to fruition. And we have attempted to
9 move those. As I will describe in a few minutes, I
10 think we've been successful in those.

11 ICRP has formally placed the draft of
12 its recommendations on their website, www.icrp.org.
13 Download the file. It's about a two megabyte file.
14 Give yourself plenty of time on the printer because
15 it prints very slowly, 80-something pages long.

16 They will be accepting comments through
17 the end of this year, through December. So we have
18 now the next six months or so in which to examine
19 and provide feedback to ICRP.

20 Let's go ahead and move to the next
21 slide. These next few slides are a very quick
22 overview of some of the key items that are in the
23 draft ICRP recommendations.

24 At this point, I'm not going to give you
25 any staff views. We're only beginning the process

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1 of trying to assemble those. I'll talk about how
2 we're going to be doing that when I finish giving
3 you that overview.

4 First and foremost, ICRP is placing yet
5 more focus upon the individual in the context of
6 their recommendations. So, in fact, first they talk
7 about protecting the individual from a particular
8 source of radiation, that via what they call the
9 dose constraint, the differences between constraint
10 and the limit. A limit, in ICRP language, is that
11 which would apply to all of the exposure that I
12 could receive, as an individual, from any of the
13 variety of sources that might be around me.

14 A constraint would be the value that you
15 would ideally place on that particular source with
16 respect to how much exposure that I could get from
17 it. So there is an all-source approach and there is
18 a specific approach limits and constraints.

19 ICRP has moved forward to try and
20 simplify the number of constraints they had. If you
21 go sorting through the various documents that have
22 been published over the last 15 years, you can come
23 up with some 30-plus different constraint
24 recommendations for different specific situations
25 that are contained in those ICRP publications.

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1 I'll talk about specifically what those
2 values are in a minute. One of the places that they
3 had initially made a proposal was to eliminate
4 entirely limits from the recommendations. There was
5 a great deal of push back from, interestingly, both
6 the industry and the regulators, saying that there
7 was a place for limits.

8 There were certain places where you had
9 to have legal requirements and otherwise. And they
10 have retained that recommendation within this draft
11 proposal.

12 Numerically, the values for limits are
13 exactly the same as they were in ICRP's Publication
14 60, that is for occupational exposure, 10 rem over
15 five years, in other words roughly two rem per year,
16 with a maximum of 5 rem in any year. Five rem is
17 the value that we currently have in Part 20 for
18 occupational exposure.

19 For public exposure, the limit is set at
20 100 millirem per year, which is exactly the same as
21 we currently have in Part 20.

22 Let's go ahead to the next slide. ICRP
23 does not use background to justify it's
24 recommendations for various dose levels however they
25 have used it as a benchmark and to try and establish

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1 the various levels of concerns which people would
2 typically tend to have for varying degrees of
3 exposure so as to try and rationalize an entire
4 framework of various kinds of exposures.

5 This graphic is taken from the ICRP
6 Draft, fairly readable actually. In the middle,
7 natural background, roughly one millisievert per
8 year that is excluding all of the radon
9 contributions so this is the natural terrestrial
10 gamma radiation, the cosmic radiation, those sorts
11 of things, the potassium 40 in our body, one
12 millisievert, 100 millirem, all of these slides are
13 in the SI units. I'll try to do the conversions for
14 you if you need.

15 Moving below that, there tends to be a
16 lower degree of concern down to the point where
17 basically no one does much of anything to actually
18 influence it if they have choice in the matter.
19 Above that, you get increasing levels of concern up
20 to the point where you almost always do something
21 one way or another.

22 If we can go to the next slide, that
23 translates for ICRP then into four maximum
24 constraint values, 100 millisievert, that's 10 rem,
25 for emergency-type situations as in what you would

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1 normally want to try and hold workers to in an
2 emergency situation responding expect for, perhaps,
3 lifesaving-type measures where you're almost always
4 assured of doing evacuation or a variety of things
5 of things if you are in emergency response, where
6 people will almost always try to do something to
7 control ongoing exposures that they might find in
8 the environment.

9 The second maximum constraint, 20
10 millisieverts, that's two rem, each of these are
11 annual values, by the way -- that's typical for a
12 direct or indirect benefit of the exposed
13 individual, most usually occupational exposure.

14 It assumes that there is some measure of
15 training and understanding and ability to influence
16 the degree of exposure you're getting, minimize you
17 exposure when possible.

18 And in the public side, places where you
19 would apply simpler countermeasures, some of the
20 things like perhaps iodine prophylaxis, the place
21 there you would usually try to shelter people in an
22 emergency situation, so of those sorts of things.

23 The third maximum constraint, one
24 millisievert per year, that's 100 millirem, that's
25 for situations where the practice or situation

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1 probably has some societal benefit. But there's no
2 expectation of training or monitoring or other
3 values, in other words, public exposure.

4 That is a maximum value assuming a
5 single source although not in ICRP's table, in the
6 text of the draft recommendations, they have an
7 additional little caveat that if there are multiple
8 sources of significant contribution, then the
9 constraint should probably be beyond the order of .3
10 millisieverts, 30 millirem. That's the
11 international rounding version of what we usually do
12 at 25.

13 Margin of error is essentially
14 nonexistent between those two.

15 The final number, the minimum
16 constraint, the minimum number that they would ever
17 suggest anybody attempt to use as a constraint for a
18 single source. I will not use the old famous
19 acronym but it has had its various lingoes in NCRP
20 at the negligible individual risk level.

21 People talk about trying to have
22 clearance or controlling materials, exclusion
23 exemption, a variety of other sorts of things that
24 go on at that level.

25 That does not mean that an effort to

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1 reduce exposures under the ALARA principle couldn't
2 take it or perhaps shouldn't take an exposure below
3 that level. This would just be the lowest value
4 that they would ever suggest someone selecting to
5 start that process.

6 Because that is, in fact, the way they
7 see a constraint, the maximum value source to an
8 individual, within which you then provide additional
9 protection -- next slide -- to compliment that
10 constraint with the requirement to optimize
11 protection.

12 This is ALARA. This is the second
13 cornerstone of radiation protection. This has not
14 changed in any significant extent from that which we
15 have seen before, which is currently part of Part 20
16 in other activities.

17 The third leg, which everyone is
18 typically familiar with in the radiation protection
19 scheme is called justification, as in when should
20 you even allow such a source to be in existence.

21 ICRP's draft recommendations this time
22 back away from many of the statements that they said
23 with regards to justification. This is a clear
24 acknowledgment that in most all cases, radiation
25 protection decisions, the amount of radiation

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1 exposure, the efforts that you can pursue, are
2 actually only one of many components that go into
3 deciding whether or not to have a particular source
4 in use.

5 And so justification, in the sense of
6 deciding that you're going to introduce a source,
7 goes well beyond the radiation protection
8 recommendations. They still suggest that it is
9 important to have that benefit, where appropriate,
10 that radiation protection considerations be a very
11 strong component.

12 But they have backed away from some of
13 the language which could have been interpreted as
14 you must only focus on the radiation protection
15 without considering all of the other things that
16 would go on in the process.

17 Let's go ahead and move on to the next
18 slide. There are a number of other things that are
19 happening in these drafts. Some of these are
20 actually perhaps more significant, the changes that
21 we might wish to make.

22 Some of the most significant ones, there
23 are proposals that change both the radiation
24 weighting factors and the tissue weighting factors
25 in the calculation of the effective dose. In the

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1 radiation weighting factors, protons and electrons
2 continue to be one. That's not surprising.

3 Protons are a two. That's just a little
4 bit of a change there.

5 Alpha particles are 20. That's what
6 we've expected.

7 And you have a curve -- I haven't tried
8 to reproduce all of this data for you -- for
9 neutrons. Amongst other things, this revised curve
10 has the effect of lowering the weighting factor for
11 low-energy neutrons to a lower level.

12 So that would have some effect where you
13 are calculating neutron doses. We don't do a whole
14 lot of that here but for some folks, that gets to be
15 more important.

16 The tissue weighting factors have also
17 undergone a rather substantial revision. They have
18 lumped them into four categories. Interestingly,
19 breast has moved up to .12, so an increased risk
20 associated with irradiation of the breast. Lung has
21 remained the same. Bone marrow and others at .12.

22 The gonads have moved down to .05.
23 Recall that they used to be .25. There was a much
24 greater concern about exposure of the gonads being
25 driven by a lot of the concerns of genetic

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1 susceptibility and genetic risk.

2 The material that's now available
3 indicates that that risk is not nearly as
4 significant as it was previously believed. And so
5 that has resulted in a rather substantial reduction
6 in the contribution for the gonads. Hence the
7 weighting factor comes down.

8 There are a few other little changes
9 that go on. There are a set of remainder tissues, a
10 fairly long list of them, which would be lumped
11 together and averaged in order to complete the
12 calculation.

13 So there are a number of things that
14 have happened in the scientific underpinnings of the
15 calculation that we would want to look at. Any time
16 you play with the equation and you play with
17 factors, obviously you have people very nervous
18 about what dose they now calculate for what they
19 thought was the same exposure that they were doing
20 before.

21 And, in fact, some of this means that
22 depending on your favorite radio nuclide, the exact
23 same amount of material under the new calculations
24 may be a lower effective dose or it may be a higher
25 effective dose. And it will move around both ways.

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1 I don't have anything like a complete
2 list. There's 800 and something radio nuclides out
3 there to look at.

4 Some other interesting factors. The
5 fatal cancer risk coefficient itself increases just
6 slightly. But the overall detriment coefficient
7 actually comes down some in this calculation.

8 Neither one of them are substantial
9 enough to cause any significant change in the way
10 we've been doing business. When you round up the
11 one significant figure, you're still in the same
12 place but there are small changes in each direction
13 looking at how they would do that calculation.

14 They've spent a fair bit of time in the
15 draft talking about patient dose, the justification
16 and optimization of patient doses, something that
17 the NRC doesn't directly get involved with other
18 than to make sure that the physicians prescription
19 is required but very, very important in other forums
20 and activities.

21 And they have included for the first
22 time a policy on protection of nonhuman species as
23 in the protection of the environment.

24 Let's go on to the next slide. This is
25 an area that ICRP is devoting a great deal of

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1 additional attention to. There was a separate
2 publication, Publication 91, that came out not quite
3 a year ago, which laid out this framework.

4 So in the draft recommendations that
5 were just published, there's nothing new that you
6 can't find in ICRP Publication 91 that came out last
7 October. ICRP plans to have a new Committee
8 dealing particularly with this issue when it starts
9 its next term, its 2005 to 2009.

10 And they currently have a task group
11 that is moving a step beyond the Publication 91 work
12 and actually trying to develop a set of reference
13 flora and fauna. And yes, you interpret that
14 correctly.

15 It's the reference pine tree, frog,
16 there's about a dozen. I'm not going to try and
17 quote them all off to you but there are a variety of
18 different plants and animals to represent not the
19 most sensitive but something which could be a
20 benchmark for helping to understand how various
21 modeling and benchmarks and evaluations take place.

22 At this point in the process -- you can
23 go ahead on to the next slide, thank you -- the
24 second tick is their statement with regards to
25 protection of the environment. They have attempted

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1 to construct a sort of parallel approach so that it
2 would be safeguarding the environment by reducing
3 frequency of the effects likely to cause early
4 mortality, reduced reproductive success.

5 Note that this is a different kind of
6 endpoint than you look at with humans. In humans,
7 you're trying to prevent any deterministic effects
8 and you're trying to minimize the stochastic doses.

9 In the protection of the environment,
10 you're looking at a different set of endpoints, a
11 higher level set where you're trying to reduce early
12 mortality or reproductive success.

13 So that's the goal that they have laid
14 out. There's still quite a bit that will need to be
15 evaluated to try and move farther.

16 We can have the next slide. As I think
17 was in the SRM that the Commission gave the
18 Committee not that long ago, the Commission has also
19 given us a very clear message and transmitted this
20 message to both the ICRP and the IAEA.

21 To quote the Chairman, this is a quote
22 out of our SRM, "The Commission continues to have
23 deep misgivings about the need to go forward with
24 standards."

25 So we are watching this very closely to

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1 try and influence it in the correct direction.
2 Quite frankly, there is a huge amount of work that
3 needs to be done simply to understand the underlying
4 science, to understand the modeling methodologies
5 that are currently available, to try and have some
6 benchmarking consistency with the way different
7 people do it across the United States, Europe, and
8 other places before there could be any sort of
9 consideration of whether a standard is necessary,
10 what that might look like, and otherwise.

11 And that's a great part of what the
12 Commission is concerned about is it doesn't appear
13 that it is necessary. Certainly there is a
14 conceptual gap that needs to be filled. But let's
15 not go running off to try and write a new standard.

16 We've taken and are continuing to take
17 the position that the framework in process should
18 allow flexibility, let people look at it and move
19 forward carefully.

20 That is the very, very quick summary of
21 the ICRP recommendations. If we can go to the next
22 slide -- I have been having conversations with Roger
23 Clarke, who is the Chairman of ICRP and Lars-Erik
24 Holm, who is the Vice Chairman, for literally months
25 now, trying to find a mutual date by which they

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1 could come over and visit us in the United States
2 for a day or two and talk about this.

3 I think perhaps we're actually going to
4 make it in September, roughly the middle of the
5 month. The plans and details are not all completely
6 laid out yet but it appears that they will be in
7 town the 14th and 15th of September. Now all of
8 this, of course, is still subject to change but I
9 think they've bought some tickets so it's becoming a
10 little more firm.

11 I believe they plan to have meetings
12 with each of the Commissioners.

13 We are trying to arrange an opportunity
14 for the various federal agencies through ISCORS, the
15 International Steering Committee on Radiation
16 Standards, to have a time of interaction.

17 And to see if we can arrange an
18 opportunity for them to spend a few hours in a
19 public forum because certainly there are lots of
20 people in the area as well as NEI and a variety of
21 other industry groups who are also in the D.C. area
22 who would very much like that interaction.

23 Those details are not worked out so I
24 can't tell you anything more than I'm pretty sure
25 they are coming. I expect it to be -- the 15th

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1 would be the day in which we might be able to
2 arrange those but no other arrangements have been
3 made yet.

4 If we can have the last slide. There
5 are a variety of reviews that have now been started.
6 Certainly within the NRC staff, we have begun that
7 process. Our office-level steering committee on
8 radiation protection will be meeting next week to
9 try and lay out the details of how we're going to
10 pull that together and assemble a coherent set of
11 comments within the NRC staff.

12 In addition to that, they ISCORS,
13 Interagency Steering Committee on Radiation
14 Standards, Federal Guidance Subcommittee, will be
15 coordinating an interagency federal review. We have
16 a meeting tomorrow to kick that process off to try
17 to lay out some of the framework and ideas.

18 We also will have an opportunity to
19 interact, as well as EPA and DOE, as members of the
20 Nuclear Energy Agencies' expert group that will be
21 providing comments. That will be an international
22 set of comments that will be assembled.

23 So there will be a whole series of
24 forums in which we attempt to try and put forward
25 comments and ideas. The staff plans, at this point

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1 very tentative, are to try and have a coherent set
2 of comments within the NRC for Commission
3 consideration by early in October, roughly the first
4 of October, to allow plenty of time for interactions
5 and for the Commission to be able to agree and
6 provide a set of comments to ICRP.

7 That will also enable us to have a
8 Commission-agreed position as we interact with some
9 of these other organizations a little bit later in
10 the year.

11 We are in hopes that we can interact
12 with you during that process. Things will come
13 together fairly nicely in the mid-September time
14 frame to see where the staff reviews are, get some
15 interaction with ICRP itself, and be able to pull
16 together some ideas.

17 And that completes the very quick
18 overview. And I would be glad to entertain your
19 questions. Thank you.

20 VICE CHAIRMAN RYAN: Thanks. That was,
21 I think, a good, thorough, yet top-level briefing
22 but gives us a picture of where things are.

23 I guess I'll wait and see if other
24 Committee members have questions first. And then
25 maybe we can have a little bit more detailed

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1 discussion.

2 I'll start with Allen.

3 MEMBER CROFF: I think only my
4 congratulations on a very lucid presentations. I
5 don't have any further questions.

6 VICE CHAIRMAN RYAN: Ruth, any
7 questions?

8 MEMBER WEINER: I'd like to add my
9 thanks. I thought that was a very interesting
10 presentation.

11 I do have a couple questions. One of
12 them refers to the change -- I'm trying to find --
13 desperately to try to find the slide that I want to
14 talk about -- on your Slide 11?

15 DR. COOL: Yes?

16 MEMBER WEINER: You said the fatal
17 cancer risk coefficient increases and the total
18 detriment risk decreases. As we're uncomfortably
19 aware, that fatal cancer risk coefficient is simply
20 used as a linear conversion factor. And everybody
21 says oh, my goodness, here is the dose in person
22 rem. Now you're going to get so many cancers.

23 Is there -- this is really more a
24 comment than a question but is there some way that
25 you can convey to the public -- we sit here and make

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1 sensible statements.

2 Is there some way you can convey to the
3 public that this is the sense of this particular
4 bullet, that you aren't then going to have, you
5 know, radiation isn't worse than we thought or
6 whatever? That this is not even a totally
7 appropriate use of this coefficient? Is there some
8 way that that can be conveyed and sort of
9 disseminated generally?

10 DR. COOL: I think there is. There's
11 probably several ways to do it. And we could
12 brainstorm about them. That would make a wonderful
13 conversation or multiple conversations.

14 You're quite right. There are several
15 things in this. ICRP does, for pragmatic purposes
16 in making its recommendations, assume that there is
17 a linear relationship between the dose and the risk
18 that is associated with it.

19 When you start to tease into that just a
20 little bit, one of the first things -- Abel
21 Gonzalez's graphics are some of the best, where he
22 immediately points out to you first and foremost,
23 I'm starting at 100 millirem because that's where
24 background is --

25 MEMBER WEINER: Yes.

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1 DR. COOL: -- and above that, we assume
2 that there is this proportionality. There is a
3 high degree of sensitivity to the fact that there is
4 simply no absolute information that is available
5 about what happens at very small increments of dose.

6 We are living in an environment which
7 has radiation in it. It's always changing.

8 These materials that are here imply a
9 great deal of precision, which, of course, isn't
10 really warranted when we actually start talking
11 about what might happen to me or what might happen
12 to you if you got a particular exposure because
13 simply the variability that each of us have is an
14 enormous factor compared to some of these.

15 What I've given you today is sort of the
16 scientific, of course, view in this sort of
17 discussion. When you start to interact with the
18 public, you need to say it in a number of different
19 ways to try and represent it in a way that they can
20 understand it.

21 MEMBER WEINER: I thank you for the
22 starting at 100 millirem comment.

23 My other question has to do with Slide
24 13 which is -- yes, this second bullet. Our
25 experience at the DOE sites, like Hanford, Savannah

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1 River, Sandia where I work, is that the environment
2 flourishes in the absence of human activity --

3 DR. COOL: Yes.

4 MEMBER WEINER: -- no matter what kind
5 of radiation the environment is exposed to. I know
6 -- and I was going to ask you -- I know of no data
7 that shows that given all of the other influences on
8 the natural environment that exists, that there is
9 any correlation between ionizing radiation exposure
10 and reproductive success, conservation of species,
11 maintenance of biodiversity, and all of these
12 things.

13 Is there any such data that you can rely
14 on? And if there isn't, why is this going ahead?

15 DR. COOL: Well, let me answer the first
16 question is I'm not aware of any. That's the first
17 part of your question.

18 The second part of your question, I
19 would go back, and I can't quote ICRP's Publication
20 91, but they, in fact, acknowledge that they do not
21 believe that there is an issue where the environment
22 is not being protected. But in the face of the
23 increased environment awareness in a variety of
24 activities by lots of our friends out there, it is
25 difficult to sustain a simple statement that if you

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1 have protected man, you have de facto and
2 automatically protected the environment.

3 In fact, it appears that the set of
4 protections that are put in place in order to
5 provide protection of man has protected the
6 environments at any place that we can measurement
7 hence exactly your statement.

8 But you don't have a demonstrable basis
9 or any sort of standing or correlated methodology to
10 be able to see how much radiation is actually in a
11 particular area to be able to provide some better
12 demonstration than what people take as a sort of
13 hortatorical of course because they no longer
14 believe that these days.

15 So this is really more to fill that, as
16 they put it, conceptual gap. And complete a
17 framework and provide a benchmark demonstration set
18 so that when someone comes up to you and says how do
19 you know? You can say we have all these data. They
20 have not shown these effects.

21 Here are some benchmark methodologies
22 that shows you here's what the dose is in this
23 environment. That dose is less than this.
24 Therefore, we make the statement.

25 That is the place that we would hope to

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1 get to. And why we would hope that, in the end, you
2 wouldn't need other standards. You wouldn't need to
3 take changes to effluent controls or otherwise.

4 VICE CHAIRMAN RYAN: Just so we're
5 clear, though, when you say we, you don't mean the
6 NRC. You mean the --

7 DR. COOL: I don't mean the NRC.

8 VICE CHAIRMAN RYAN: -- ICRP --

9 DR. COOL: -- I mean we in the really
10 big sense.

11 VICE CHAIRMAN RYAN: I got you. Okay.

12 MEMBER WEINER: We, in the scientific --

13 DR. COOL: We in the scientific sense in
14 keeping with the same statements here. Yes, thank
15 you for that --

16 MEMBER WEINER: Well, I would suggest --

17 DR. COOL: -- correction.

18 MEMBER WEINER: -- that if you're in any
19 way connected with any research that is going on in
20 this area, I would suggest a good place to look for
21 effects is, in fact, the defense facilities, the
22 large defense facilities both in the United States
23 and elsewhere. Because it is extremely evident
24 there that the more you keep people out, the more
25 the environment flourishes and that swamps

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1 everything else.

2 DR. COOL: I very much agree. In fact,
3 I believe that DOE with some of the RESRAD biota
4 calculations and examinations are going to be
5 participating in some of the benchmark activities
6 that the EC and NEA are conducting. So I think that
7 is going to be happening.

8 MEMBER WEINER: Thank you.

9 VICE CHAIRMAN RYAN: George?

10 MEMBER HORNBERGER: Well, actually, I
11 also had a comment on the bugs and bunnies. It
12 actually strikes me as quite strange because your
13 endpoint, as you point -- as you indicate, are
14 different. So we're not talking about individual
15 protection.

16 And once we're not talking about
17 individual protection of pine trees, how are you
18 going to have an effect? How are you going to
19 possibly have an effect on reproductive success of a
20 species?

21 Well, the only thing I can think of is a
22 very restricted environment where you have the
23 Tennessee snail darter existing only in one stretch
24 of the Clinch River. And you somehow introduce
25 radiation there an nowhere else. Is that the

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1 thinking?

2 I can't quite get my arms around that.

3 VICE CHAIRMAN RYAN: It sounds like deep
4 misgivings to me.

5 (Laughter.)

6 DR. COOL: Yes, deep misgivings, which
7 we share with you.

8 In fact, the thinking -- how do I put
9 this in a somewhat politically correct manner -- is
10 still evolving. You have pointed out some very good
11 and appropriate problems that are faced in trying to
12 develop this sort of framework.

13 And it's going to be very interesting in
14 the Chinese proverb sense of may you live in
15 interesting times, to see how this might proceed
16 because there are enormous issues of how you would
17 conduct measurements, how you would have any degree
18 of understanding.

19 And you're dealing with very complex
20 systems and --

21 MEMBER HORNBERGER: But even
22 conceptually --

23 DR. COOL: Right.

24 MEMBER HORNBERGER: -- even conceptually
25 how can I think about having an effect on the

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1 reproductive success of pine trees?

2 VICE CHAIRMAN RYAN: George, if I may
3 add, the whole framework here is to think about this
4 in terms of manmade radiation exposure. I would
5 challenge anybody to think about the Earth as a
6 radiation source. And think about the increment
7 that is manmade.

8 So the whole background question comes
9 in in such a way that as you've pointed out, the
10 framework, in my view, collapses. So just the basic
11 question of the radiation environment as a global
12 system and the manmade increment on top of that is
13 another reason it collapses.

14 So there's -- and, again, I think
15 there's lots of reasons in my own personal view why
16 that's so. But we'll see how it unfolds.

17 And, again, it leads me to concur -- not
18 that they really -- that I need to or not -- but I
19 mean I believe that the deep misgivings that the
20 Commission has is well founded at this point without
21 significant work to the contrary.

22 Anything else, George?

23 (No response.)

24 VICE CHAIRMAN RYAN: Dr. Garrick?

25 CHAIRMAN GARRICK: Just continuing that

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1 thought a little bit, one of the comments I've heard
2 made is if we go in the direction of a standard for
3 the protection of nonhuman species, somewhere along
4 the way we have to establish something as a
5 baseline. You have to start with something.

6 DR. COOL: Correct.

7 CHAIRMAN GARRICK: Was there any work
8 that you are aware of that lead to this proposal
9 that puts any illumination on what that baseline
10 might be?

11 DR. COOL: In fact, that's exactly one
12 of the things that we're trying to remind, not so
13 much ICRP but IAEA as they've been laying out an
14 action plan is the first thing we have to have is an
15 understanding and a baseline. And we need to spend
16 some time making sure that you've got that before
17 you can even consider this other stuff.

18 CHAIRMAN GARRICK: Right. Right.
19 Because it's like George is saying, you just don't
20 know where to start. You have to have some sort of
21 a surrogate or some sort of a starting point,
22 whether it's the lady bug or the pine trees that
23 somehow can be a representative for the environment
24 or representatives.

25 DR. COOL: Right, right. And so in the

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1 parallel processing that's going on right now,
2 you've got ICRP and this task group of this main
3 Commission that is attempting to define a set of
4 reference organisms --

5 CHAIRMAN GARRICK: Right.

6 DR. COOL: -- with their, you know,
7 spheroids or whatever, so you can do some
8 calculations of their exposure.

9 And, in parallel, you have other
10 organizations trying to look at the current state of
11 radiation and the effects in the environment through
12 UNSCARE and others.

13 And you have also going on several
14 efforts to try and do some modeling, RESRAD biota,
15 some other codes over in Europe. And the thought is
16 that these will gradually come together to improve
17 our understanding of our baseline of what we have.

18 Now you might see a couple very large
19 capital ifs in between my lines there, so --

20 CHAIRMAN GARRICK: Yes, yes, okay.

21 DR. COOL: -- as a personal speculation.

22 CHAIRMAN GARRICK: Let me ask you. Do
23 you have any indication of what the international
24 reaction is to the idea of a separate standard for
25 nonhuman species?

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1 DR. COOL: It's a bit mixed. You have
2 some countries -- and I would like to be careful in
3 trying to characterize them -- but particularly
4 northern Europe, Scandinavia, who are particularly
5 concerned about protection of the environment who
6 are pushing more strongly for this to move forward.

7 You have other countries that, like us,
8 are very skeptical about the whole process.

9 Much of this could be attributed, in
10 part, to the fact that you have -- particularly in
11 the European Union now, some directive requirements
12 coming in requiring demonstrations of impacts and
13 effects. And people are going oh, this is a very
14 nice directive, European Union. Now exactly how am
15 I supposed to prove to you that I'm not impacting
16 the environment per this directive?

17 So some of this, in fact, you can
18 actually trace back not through the scientific so
19 much but through the legal concern of being able to
20 provide a proper defense in the face of these
21 directives.

22 VICE CHAIRMAN RYAN: Okay. Thank you.
23 John?

24 MR. CLARKE: I just wanted to join the
25 others and say that I, too, will be very interested

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1 to see where the ecological piece goes.

2 (Laughter.)

3 MR. CLARKE: If you haven't already, I
4 think you would find it very interesting to go back
5 and look at the non-rad side and how ecological risk
6 assessment has been evolving for stabilized organics
7 and toxic chemicals. And, you know, just try and
8 get your arms around it.

9 As George and John said, where do you
10 start? What are your implants? Which species are
11 you interested in?

12 But I would think all of this could have
13 a big impact on the environmental restoration
14 activities that are going on now where these kinds
15 of non-rad ecological risk assessments are already
16 being done as well.

17 DR. COOL: Yes, I think we would very
18 much agree. We have attempted to comment a couple
19 times that surely we just haven't suddenly gotten
20 smart and we can go off and create something all on
21 our own on the rad side because there has been a lot
22 of work on the other side.

23 It's not entirely clear how much
24 connection there is between the great deal of work
25 that's been done in other forms and how much

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1 connection there is. I would hope that that
2 happens.

3 MR. CLARKE: Yes, I think what would be
4 interesting though is how they have struggled with
5 the ultimate goal as well in trying to answer some
6 very fundamental questions.

7 VICE CHAIRMAN RYAN: Don, I've got a few
8 questions on the things that we are going to turn
9 our attention to, hopefully --

10 DR. COOL: Good.

11 VICE CHAIRMAN RYAN: -- in responding to
12 the ICRP's recommendations rather than what we're
13 not really going to respond to.

14 It seems to me that there is kinds of a
15 couple of categories of things. The one category of
16 things is kind of updating the science of
17 calculating dose, particularly internal dose.

18 And it's interesting, and I just kind of
19 summarize that from the 10 CFR 20 that we have and
20 what backs it up to where we are with these new
21 recommendations, there's kind of a -- for any
22 particular isotope or element, there's several steps
23 of modeling that are not up to date.

24 It seems reasonable to think about bring
25 those to some concurrent point rather than having a

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1 case-by-case exemption for licensees would be a
2 smoother regulatory system. So there's probably a
3 bunch of tools, if I can call them that, that
4 licensees want to use that are updated, that for
5 whatever reason, they recognize as better science,
6 that would -- it would probably be a very positive
7 thing on how to bring that forward. That's Box 1.

8 The second box is how do the fundamental
9 pieces of risk-related factors, whether they're the
10 radiation risk factors or the weighting factors for
11 tissues and so forth, correct me if I'm wrong but
12 I'm just trying to help the Committee understand,
13 all of that has come out of what you mentioned
14 earlier, the Hiroshima/Nagasaki studies and BEIR
15 Reports and so forth from the time frame of `91 when
16 we updated up through the current time. Is that a
17 pretty good general statement?

18 DR. COOL: That's a pretty good general
19 statement. Recognize that the underlying science
20 that Part 20 is based on goes back to `77 and `80.

21 VICE CHAIRMAN RYAN: Yes.

22 DR. COOL: There was, in fact, a step
23 jump in the scientific modeling and things with ICRP
24 60, which we didn't adopt because of the procedural
25 place that we were in at that time. That is

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1 undergoing another revision at this point.

2 Certainly what we are looking at is the
3 hows and whats and implications of leapfrogging
4 directly to more update science --

5 VICE CHAIRMAN RYAN: Right.

6 DR. COOL: -- the risk factors that
7 would go along with that, and a whole set of
8 organizational issues that sooner or later we'll
9 have to deal with because as long as we have all of
10 these codified in the regulations, we have ourselves
11 rather nicely tied together.

12 VICE CHAIRMAN RYAN: Right. A couple
13 other aspects that struck me from your presentation
14 is that -- and I wanted to highlight it for
15 everybody's memory, that the five rem per year limit
16 for a worker under 10 CFR 20 is different from the
17 two rem per year that ICRP recommends.

18 And they have kind of a five-year window
19 and, you know, there might even have been some age-
20 dependency questions earlier on that have tended to
21 not be there now. So I think that sticks out as a
22 difference.

23 Now I put difference in quotes in my own
24 mind because I'm not too sure what the differences
25 in those two numbers means in terms of ultimate risk

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1 to the individual. So that's something to think
2 about.

3 I recall that at the time that came
4 around in '91, the idea was that it is rare to see
5 exposures in workers above two in the U.S. And that
6 with the ALARM principle and the current standard,
7 it was felt that we were meeting the obligations for
8 radiation protection that was, in fact, not far out
9 of step with international recommendations.

10 Is that also a --

11 DR. COOL: And that is true. And yet
12 more so true as the years have progressed.

13 VICE CHAIRMAN RYAN: Right.

14 DR. COOL: I can't quote you exact
15 numbers. But there are maybe a couple of hundred
16 folks out of the entire worker population that is
17 required to report to NRC that are over two rem --

18 VICE CHAIRMAN RYAN: Right, so --

19 DR. COOL: -- in any year, so --

20 VICE CHAIRMAN RYAN: -- again, I think
21 that will be a focal point, perhaps, as the staff
22 moves forward in considering this -- I'm sorry --

23 CHAIRMAN GARRICK: No, go ahead.

24 VICE CHAIRMAN RYAN: -- there's a number
25 of these technical points kind of on the worker

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1 exposure side more than any other. And the
2 techniques or the calculation method side that might
3 be the bulk of the considerations that you and the
4 ISCORS Committee and other staff here are going to
5 take up.

6 Is that a fair summary?

7 DR. COOL: That's correct.

8 VICE CHAIRMAN RYAN: Okay.

9 DR. COOL: In fact, when you look at
10 these draft recommendations versus where we are in
11 Part 20, there are differences, as you've
12 highlighted. When you look at it vis-a-vis the
13 previous set of ICRP recommendations, Publication
14 60, there are small evolutions --

15 VICE CHAIRMAN RYAN: Right.

16 DR. COOL: -- almost entirely in the
17 scientific underpinnings. The concepts have matured
18 a bit. They are expressed slightly differently.
19 But it is, as Roger Clarke has billed it,
20 evolutionary, not revolutionary.

21 VICE CHAIRMAN RYAN: I think, too,
22 there's one part of 10 CFR, 10 CFR 61, that actually
23 goes back to ICRP 2 because it's the only one with
24 an organ dose limit.

25 DR. COOL: Don't get me started.

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1 (Laughter.)

2 VICE CHAIRMAN RYAN: But that's an
3 artifact for another day.

4 DR. COOL: Right because that's not the
5 only place.

6 VICE CHAIRMAN RYAN: Mr. Chairman?

7 CHAIRMAN GARRICK: You may have answered
8 this but where does the NCRP stand on all of this?

9 DR. COOL: I'm sure NCRP will be putting
10 in some comments. NCRP's last publication more or
11 less mirrored ICRPs'60, although I'm not recalling
12 because I haven't looked lately what they did on the
13 occupational piece nor have I talked with Tom
14 Tenforde lately to know whether they may go through
15 some sort of update on their recommendations down
16 the line a bit.

17 I just haven't had a chance to talk to
18 him on what NCRP's plans may be at this point.

19 CHAIRMAN GARRICK: Oh, thank you.

20 VICE CHAIRMAN RYAN: Thanks. Any other
21 questions or comments?

22 I think in closing, Don, we're looking
23 forward to, perhaps, a working group meeting with
24 you and others to help in any way we can to, you
25 know, provide input for comments or to facilitate

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1 information gathering. And I think we would
2 envision a letter to the Commissioners that would
3 come out of that process in support of your
4 investigations.

5 I think we've talked about working with
6 you on schedule in a way that helps you meet your
7 obligations to get material to the Commission and
8 then subsequently out the door on schedule.

9 So we'll continue, if it is okay with
10 the Chairman, the Committee -- I'll work with you to
11 see if we can make that happen.

12 CHAIRMAN GARRICK: Excellent.

13 DR. COOL: Very good. We appreciate
14 that.

15 VICE CHAIRMAN RYAN: Thank you very much
16 for your time and very informative presentation
17 today.

18 DR. COOL: Thank you.

19 CHAIRMAN GARRICK: Thank you.

20 (Whereupon, the above-entitled meeting
21 was concluded at 2:27 p.m.)
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