



**UNITED STATES**  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE  
INSPECTOR GENERAL**

January 22, 2015

MEMORANDUM TO: Mark A. Satorius  
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S  
ONGOING ELIGIBILITY FOR ACCESS AUTHORIZATION  
(OIG-13-A-22)

REFERENCE: DIRECTOR, OFFICE OF ADMINISTRATION,  
MEMORANDUM DATED JANUARY 8, 2015

Attached is the Office of the Inspector General's analysis and status of recommendations 1 and 2 as discussed in the agency's response dated January 8, 2015. Based on this response, recommendation 1 is closed and recommendation 2 remains in resolved status. Please provide an updated status of the resolved recommendation by March 30, 2015.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca, Team Leader, at 415-5911.

Attachment: As stated

cc: M. Galloway, OEDO  
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## AUDIT REPORT

### AUDIT OF NRC'S ONGOING ELIGIBILITY FOR ACCESS AUTHORIZATION

OIG-13-A-22

#### Status of Recommendations

Recommendation 1: Develop and implement annual training that reinforces comprehension and confirms acceptance of NRC's personnel reporting requirements for continued access authorization eligibility.

**Agency Response**

Dated January 8, 2015: To ensure NRC employees are aware of and properly trained in the personnel security reporting requirements, the Division of Facilities and Security, Personnel Security Branch (PSB), has met and reached agreement with the Office of the Chief Human Capital Officer (OCHCO) to modify an existing annual training course to include the necessary security reporting requirements.

A module of the reporting requirements has been developed by PSB and included in the existing annual Information Security Training (INFOSEC), located within NRC's iLearn system. The course, including the PSB information, was released in autumn 2014 and was required for all Federal employees and contractors by December 31, 2014. ADM recommends closure of this recommendation.

OIG Analysis: OIG reviewed the module and determined that the annual training course reinforces comprehension and confirms acceptance of NRC's personnel reporting requirements for continued access authorization eligibility. This recommendation is therefore closed.

**Status:** Closed.

## AUDIT REPORT

### AUDIT OF NRC'S ONGOING ELIGIBILITY FOR ACCESS AUTHORIZATION

OIG-13-A-22

#### Status of Recommendations

Recommendation 2: Develop and implement a process that assigns consequences for individuals who do not comply with NRC's personnel reporting requirements for continued access authorization eligibility.

Agency Response  
Dated January 8, 2015: PSB continues to handle and accept reporting of items, events, or information in the same way (i.e., direct contact with staff through telephone, e-mail, and the resource mailbox). PSB developed and documented (through revision of internal procedures) a draft process that assigns consequences for individuals who do not comply with NRC's personnel reporting requirements for continued access authorization eligibility. Additional time is required to vet this draft process with offices and regions, prior to the targeted implementation date of February 2, 2015.

OIG Analysis: The proposed action meets the intent of the recommendation. This recommendation will be closed when OIG receives verification that a process was developed and implemented that assigns consequences for individuals who do not comply with NRC's personnel reporting requirements for continued access authorization eligibility.

**Status:** Resolved.