



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

December 18, 2014

MEMORANDUM TO: Mark A. Satorius
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
USE OF CONFIRMATORY ACTION LETTERS
(OIG-12-A-09)

REFERENCE: DIRECTOR, OFFICE OF ENFORCEMENT,
MEMORANDUM DATED DECEMBER 1, 2014

Attached is the Office of the Inspector General's (OIG) analysis and status of the recommendations as discussed in the agency's response dated December 1, 2014. Based on this response, recommendation 2 remains in resolved status. Recommendations 1, 3 and 4 were previously closed. Please provide an updated status on this recommendation by June 25, 2015.

If you have any questions or concerns, please call me at 415-5915 or RK Wild, Team Leader, at 415-5948.

Attachment: As stated

cc: P. Holahan, OE
M. Galloway, OEDO
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Audit Report

AUDIT OF NRC'S USE OF CONFIRMATORY ACTION LETTERS

OIG-12-A-09

Status of Recommendations

Recommendation 2: Assess and update the *NRC Enforcement Policy*, the *NRC Enforcement Manual*, and other associated guidance to ensure that NRC's approach for utilizing CALs is consistent, effective, and efficient.

Agency Response

Dated December 1, 2014: This is in response to your May 12, 2014, memorandum to the Executive Director for Operations, "Status of Recommendations: Audit of NRC's Use of Confirmatory Action Letters (OIG-12-A-09)." As per your memorandum, recommendations 1, 3 and 4 are closed and recommendation 2 is resolved. Recommendation 2 will be closed once the Commission approves the draft *NRC Enforcement Policy (Policy)* which incorporates the revised Glossary term Confirmatory Action Letter. On October 9, 2014, the draft *Policy* was published in the *Federal Register* (79 FR 61107) to solicit public comment. Once this public comment period is closed and all comments resolved, the staff will develop and submit this draft *Policy* to the Commission for their approval. That staff anticipates that the Commission will receive this Commission Paper in spring of 2015.

OIG Analysis: The action the agency has taken to update the *Policy* continues to address the intent of the recommendation. This recommendation will be closed when OIG reviews and verifies that the *Policy* has been revised with CAL terminology that is consistent with the CAL terminology contained in *NRC Enforcement Manual*, and other associated guidance.

Status: Resolved.