| NRC Risk-Informed Activities   | September 2014 |
|--------------------------------|----------------|
| Public Website – Annual Update | RES-DRA        |

## **PRA Technical Acceptability Expectations**

To support risk-informed regulatory activities, the expectations for PRA technical acceptability need to be provided. These expectations are provided in Regulatory Guide (RG 1.200) which provides the staff position regarding what constitutes a technically acceptable PRA and how by the PRA standards and peer review guidance are used to demonstrate conformance with the staff position. In this regard, RG 1.200 provides a staff position (i.e., endorsement) of the published ASME/ANS PRA standards and the NEI peer review guidance documents. The status of the efforts is provided below.

| Standard/Industry Guidance     |  | NRC Endorsement |                           |                      |
|--------------------------------|--|-----------------|---------------------------|----------------------|
| Document                       | Scope [Note 1 and 6]   | Date Published  | Document (RG<br>1.200)    | Date Published       |
| PRA Standards                  |  |                 |                           |                      |
| ASME RA-S-2002                 | For operating reactors <ul> <li>At-power</li> <li>Internal events</li> <li>Internal flood</li> <li>CDF and LERF</li> </ul> | April 2002      | DG 1122                   | Nov 2002             |
| ASME RA-Sa-2003,<br>Addendum A | Same   | Dec 2003        | RG 1.200, Rev 0           | Feb 2004             |
| ASME RA-Sb-2005,<br>Addendum B | Same   | Dec 2005        | DG-1161<br>RG 1.200 Rev 1 | Sep 2006<br>Jan 2007 |
| ASME RA-Sc-2007,<br>Addendum C | Same   | July 2007       |                           |                      |

| Standard/Industry Guidance                  |   | NRC Endorsement  |   |   |
|---|---|--|---|---|
| Document                                    | Scope [Note 1 and 6]  | Date Published   | Document (RG<br>1.200)  | Date Published                                |
| ANS 58.21                                   | External hazards (for operating reactors)   | 2004   | DG-1138   | Aug 2004                                      |
| ANS 58.21<br>Revision 1 [Note 2]            | Same  | March 2007   |   |   |
| ANS 58.22 [Note 8]                          | Low Power and shutdown (for operating reactors)   | [Note 8]   | [Note 8]  | [Note 8]                                      |
| ANS 58.23 [Note 9]                          | Internal Fire (for operating reactors)  | [Note 9]   | [Note 9]  | [Note 9]                                      |
| ASME/ANS RA-S-2008<br>[Note 3]              | For operating reactors <ul> <li>Internal hazards</li> <li>External hazards</li> <li>CDF and LERF</li> <li>At-power</li> </ul> | April 2008   | DG-1200   | June 2008                                     |
| Addendum A<br>(ASME/ANS RA-Sa-2009)         | Same  | Feb 2009   | RG 1.200, Rev 2   | March 2009                                    |
| Addendum B<br>(ASME/ANS RA-Sb-20xx)         | Same  | CY 2013  | See Note 7  | See Note 7                                    |
| Edition 1 [Note 4]<br>(ASME/ANS RA-Sx-20xx) | Same plus:<br>• For operating reactors<br>– Addressing primarily technical<br>issues<br>–                                     | Sept 2016  | <ul> <li>DG-xxxx (Rev 1)</li> <li>RG 1.200 (Rev 3)</li> </ul> | <ul><li>Sept 2017</li><li>Sept 2021</li></ul> |
| Other Standards [Note 4]                    | <ul> <li>Low power and shutdown (does not<br/>address internal fires)</li> </ul>  | <ul> <li>Trial Use: March 2015</li> <li>Final: March 2020</li> </ul> | <ul> <li>DG-xxxx, Rev 0</li> <li>RG 1.200 Rev 3</li> </ul>    | <ul><li>July 2016</li><li>Sept 2021</li></ul> |

| Standard/Industry Guidance                |   | NRC Endorsement   |  |  |
|---|---|---|--|--|
| Document                                  | Scope [Note 1 and 6]  | Date Published  | Document (RG<br>1.200)                                     | Date Published                                 |
|   | <ul> <li>Level 2 (full scope, pre and post<br/>operational)</li> </ul>  | <ul><li>Trial Use: January 2015</li><li>Final: January 2020</li></ul>   | <ul> <li>DG-xxxx, Rev 0</li> <li>RG 1.200 Rev 3</li> </ul> | <ul><li>July 2016</li><li>Sept 2021</li></ul>  |
|   | <ul> <li>Level 3 (full scope, pre and post<br/>operational)</li> </ul>  | Trial Use: May 2015   | See Note 5   | See Note 5                                     |
|   | Advanced Non-LWRs   | Trial Use: June 2013  | See Note 5   | See Note 5                                     |
|   | Advanced LWR (new reactors)   | <ul><li>Trial Use: November 2015</li><li>Final: November 2020</li></ul> | <ul> <li>DG-xxxx, Rev 0</li> <li>RG 1.200 Rev 3</li> </ul> | <ul><li>March 2017</li><li>Sept 2021</li></ul> |
| Peer Review Guidance Documents            |   |   |  |  |
| NEI 00-02                                 | For operating reactors <ul> <li>At-power</li> <li>Internal events</li> <li>Internal flood</li> <li>CDF and LERF</li> </ul>                | March 2000  | RG 1.200, Rev 0  | Feb 2004                                       |
| NEI 00-02, Revision 1,<br>Self Assessment | Same  | Nov 2006  | RG 1.200, Rev 1  | Jan 2007                                       |
| NEI 05-04                                 | For operating reactors, PRA Update for<br>PRA standard scope of:<br>• At-power<br>• Internal events<br>• Internal flood<br>• CDF and LERF | Aug 2006  | RG 1.200, Rev 1  | Jan 2007                                       |
| NEI 05-04, Revision 2                     | Same  | Nov 2008  | DG-1200<br>RG 1.200, Rev 2                                 | June 2008<br>March 2009                        |

| Standard/Industry Guidance |                                       | NRC Endorsement |  |   |
|----------------------------|---------------------------------------|-----------------|--|---|
| Document                   | Scope [Note 1 and 6]                  | Date Published  | Document (RG<br>1.200)                                     | Date Published                                |
| NEI 07-12                  | For operating reactors, Internal Fire | Dec 2007        | DG-1200  | June 2008                                     |
| NEI 07-12, Draft H         | same                                  | Nov 2011        | <ul> <li>DG-xxxx, Rev 0</li> <li>RG 1.200 Rev 3</li> </ul> | <ul><li>July 2016</li><li>Sept 2021</li></ul> |
| NEI-12-13                  | External hazards                      | August 2012     | <ul><li>DG-xxxx, Rev 0</li><li>RG 1.200 Rev 3</li></ul>    | <ul><li>July 2016</li><li>Sept 2021</li></ul> |

Notes:

[1] In addenda, revisions or editions to the standard, the changes are not always scope changes. In many cases, the changes are a result of NRC or public comments or issues raised as standard is implemented on the existing requirements.

[2] Revision 1 to ANS 53.21 (External Hazards) became part of the joint ASME/ANS standard, specifically Parts 5-10 of the ASME/ANS RA-S standard (see Note [3]) which was endorsed in RG 1.200; consequently, as a separate, redundant standard, the staff did not endorse ANS 53.21.

[3] ASME and ANS combined the PRA standards for the various hazards (i.e., ASME RA-S-S and ANS 58.21 and 58.23) into a single joint standard – ASME/ANS RA-S.

[4] These standards are either under development or in the consensus process; the date for Rev 3 of RG 1.200 is dependent on the publication date of the Edition. They are to be initially published for trial use (which the staff will review in DG) with a tentative schedule to be published within 5 years as a final ANSI standard for use (which the staff will endorse in a formal revision to RG 1.200).

[5] With regard to PRA standards for Level 3 and for advanced non-LWR, the staff has indicated that at this time it has no plans to review and endorse.

[6] Internal hazards are defined as internal events, internal floods, and internal fires. External hazards are seismic events, high winds, external floods, and other external hazards.

[7] This Addendum does not address/resolve the technical concerns raised by the staff in regards to ASME/ANS RA-Sa-2009, as such, the staff has elected not to review and endorse. The staff plans to wait for the Edition to be published which will address the technical issues, and for other standards and industry guidance.

[8] ANS 53.22 will be issued as a joint ASME and ANS standard. The staff plans to review and endorse this joint standard, the staff does not plan to review and endorse a separate, redundant standard ANS 53.21.

[9] ANS 53.23 (Internal Fire) became part of the joint ASME/ANS standard, specifically Part 4 of the ASME/ANS RA-S standard (see Note [3]) which was endorsed in RG 1.200; consequently, as a separate, redundant standard, the staff did not endorse ANS 53.23.