

IMC 0612 Exhibit 2 – Inspection Report Documentation Matrix

	Mentioned in Cover Letter	Summary of Findings	Inspection Finding Detail	Entered into PIM Database ¹	Published on Public Web site ¹
Unresolved Items (URIs)	No	No	Yes	No	No
Minor performance deficiencies or observations	No	No	No ²	No	No
Violations receiving enforcement discretion	Yes	No	Yes	Yes ³	Depends ⁴
Issues where additional inspection may be required (Backfit)	No	No	Yes, Listed in Section 40A5	No	No
Licensee-identified Non-Cited Violations (NCVs) including those which are Severity Level IV	Referred to only	Refer to Section 40A7	Yes, Listed in Section 40A7	No	No
NRC-identified and self-revealed Green findings and Severity Level IV NCVs (FIN or NCV)	Referred by count only	Yes	Yes	Yes	Yes
Findings with pending or preliminary significance or traditional enforcement Apparent Violations (FIN-TBD or AV)	Yes	Yes	Yes	Yes	Yes, after Final SDP
Final White, Yellow, or Red findings or Severity Level I/II/III violations (FIN or VIO)	Yes	Yes, as appropriate	Yes, as appropriate	Yes	Yes
Cited Violations (NOVs)	Yes	Yes	Yes	Yes	Yes

1 See IMC 0306, "Information Technology Support for the Reactor Oversight Process," for guidance. Security-related information is included in the Plant Issues Matrix (PIM) but is not made publically available.

2 Exceptions include closure of URIs, Licensee Event Reports (LERs), Temporary Instructions (TIs), and Inspection Procedures (IPs) which specifically authorize observations (e.g., IP 71152 Semi-Annual Trend Review Sample)

3 Exceptions include, violations associated with Notices of Enforcement Discretion (NOEDs) or as specifically directed under an Enforcement Guidance Memorandum

4 The Office of Enforcement may track and publish on the public web site in accordance with their internal procedures

Attachment 1 – Revision History for IMC 0612 Exhibit 2

Commitment Tracking Number	Accession Number Issue Date Change Notice	Description of Change	Description of Training Required and Completion Date	Comment and Feedback Resolution Accession Number
N/A	06/20/03 CN 03-021	Initial Issue	No	
N/A	ML052700283 09/30/05 CN 05-028	Revised to correct titles of some inspection procedures and the types of inspections performed in the security area were added	No	
N/A	ML083250511 12/04/08 CN 08-034	Revision to remove redundant guidance for type codes that is discussed in IMC 0612 and IMC 0306.	No	
N/A	ML11356A259 04/12/12 CN 12-005	Revised to align with IMC 0612. Complete reissue.	No	ML12073A139
N/A	ML12111A173 07/10/12 CN 12-014	Revised include updated terminology for pending and preliminary significance.	No	
N/A	ML13168A384 07/15/13 CN 13-015	Corrected error – Generally, violations receiving enforcement discretion are entered into the PIM.	No	FF 0612Ex2-1896
N/A	ML14211A251 09/04/14 CN 14-020	Revised Note 2 – minor performance deficiencies and observation related to allegation follow-up are not normally discussed in reports.	No	