



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE
INSPECTOR GENERAL**

June 12, 2014

MEMORANDUM TO: Mark Satorius
Executive Director for Operations

Nader L. Mamish, Director
Office of International Programs

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF NRC'S
MANAGEMENT OF IMPORT/EXPORT AUTHORIZATIONS
(OIG-12-A-13)

REFERENCE: DIRECTOR, OFFICE OF INTERNATIONAL PROGRAMS,
MEMORANDUM DATED MAY 6, 2014

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendation 5 as discussed in the agency's response dated May 6, 2014. Based on this response, recommendation 5 is closed. Recommendations 1, 2, 3, 4, 6, and 7 were previously closed. All recommendations related to this report are now closed.

If you have any questions or concerns, please call me at 415-5915 or Eric Rivera, Team Leader, at 415-7032.

Attachment: As stated

cc: M. Galloway, OEDO
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Audit Report

AUDIT OF NRC'S MANAGEMENT OF IMPORT/EXPORT AUTHORIZATIONS

OIG-12-A-13

Status of Recommendations

Recommendation 5: Review and reconcile prior fee revenue receipts to licensing actions completed for which a fee was owed from 2008 – 2011 to verify that all revenue for import/export licenses has been collected and deposited.

Agency Response Dated
May 6, 2014:

The Office of International Programs (OIP), in coordination with the Office of the Chief Financial Officer (OCFO), began its review and reconciliation in December 2012 of fee revenue receipts for licensing actions completed for which a fee was owed from October 2008 to September 2011. A cross-check of OIP licensing actions with information from the OCFO was initiated. OCFO provided OIP with 24 boxes of agency documents, including NRC license fee documents for multiple offices and other miscellaneous documents. OIP licensing staff systematically went through all 24 boxes. After a tremendous amount of time and effort, OIP was able to confirm the NRC export/import license fee documents that were in the boxes and the revenues that were collected and deposited. OIP continued to work with OCFO to discuss approaches on how to verify whether revenues were collected for those license actions where documentation was not found in the boxes. After discussions with OCFO regarding availability of the records required to verify that all revenue for the remaining licenses has been collected, OIP determined that the additional time and effort needed is not justified given the probability that we will not be able to confirm receipt of revenue.

Since completion of the Office of the Inspector General's Audit of NRC's Management of Import/Export Authorizations, OIP and OCFO developed a process for verifying that payment has been received. OIP provides OCFO with a monthly report showing export and import license applications received for each month. OIP emails a copy of the payment and fee sheet to a designated mailbox each week.

Audit Report

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Status of Recommendations

Recommendation 5 (cont.):

OCFO and OIP staff communicates on a regular basis to verify that payments are processed and any discrepancies are addressed promptly.

OIG Analysis:

OIG reviewed the actions described in the response to recommendation 5. OIP provided a schedule showing the results of their research and analysis of revenue collected and deposited for import/export licenses for the period 2008 – 2011. This recommendation is therefore considered closed.

Status:

Closed.