# November 26, 2007

IA-07-014

Mr. Keith Davis
HOME ADDRESS DELETED
UNDER 10 CFR 2.390

SUBJECT: NOTICE OF VIOLATION AND CONFIRMATORY ORDER (EFFECTIVE

IMMEDIATELY) (NRC Office of Investigation Report No. 1-2006-047)

Dear Mr. Davis:

In a letter dated July 2, 2007, the NRC provided you with the results of an investigation initiated by the NRC Office of Investigations (OI), Region I, on August 4, 2006, at the Susquehanna Steam Electric Station (SSES). This investigation was initiated, in part, to determine whether you violated your Senior Reactor Operator (SRO) license when you failed to report an arrest as required by SSES station procedures. Our letter noted that, based on the evidence developed during the OI investigation, it was substantiated that you failed to follow procedures when you failed to report an arrest. A factual summary of OI Investigation 1-2006-047 was enclosed with our letter.

Our July 2, 2007, letter also informed you that we were considering escalated enforcement action against you for an apparent violation of your SRO license in that you did not observe certain station procedures and other conditions of the facility license. More specifically, the NRC concluded that you violated SSES station procedure NDAP-00-2002, Revision 4, "Fitness for Duty/Behavior Observation Program." This procedure implements requirements of the SSES Security Plan which is required by 10 CFR 50.34 (c), as amended by 10 CFR 73.55 (a). 10 CFR 73.55 (a) requires that the facility licensee shall establish and maintain an onsite physical protection system and security organization which will have as its objective to provide high assurance that activities are not inimical to the common defense and security, and do not constitute an unreasonable risk to the public health and safety. The NRC found that although you were arrested on June 19, 2006, for driving under the influence of alcohol, coincident with a single vehicle accident, you reported to the SSES site on June 27, 2006, and several times thereafter, until your site access was suspended on July 24, 2006, without reporting the arrest.

As a result of this finding, our letter offered you a choice to attend a Predecisional Enforcement Conference or to request Alternative Dispute Resolution (ADR) with the NRC in an attempt to resolve any disagreement on whether a violation occurred and the appropriate enforcement action. ADR is a general term encompassing various techniques for resolving conflict outside of court using a neutral third party. The technique that the NRC has decided to employ as part of this program is mediation.

Certified Mail
Return Receipt Requested

At your request, an ADR mediation session was held between you and the NRC in King of Prussia, PA on September 27, 2007, and a settlement agreement was reached regarding your role in this matter. The elements of the settlement agreement are as follows:

- 1. You agree with the following facts: (1) that you, while employed as a senior reactor operator at the PPL-Susquehanna nuclear facility, were arrested on June 19, 2006, for driving under the influence of alcohol; (2) that you did not report the arrest to the Pennsylvania Power Light (PPL) Susquehanna management on June 27, 2006, upon arrival for scheduled work, your first opportunity after the arrest; and, (3) at the time of the arrest, you understood that you were required to follow all station procedures under the terms and conditions of your individual senior reactor operator license (SOP-11801).
- 2. As a result of the facts agreed to in Item 1, you agree that you violated NRC requirements, in that on June 27, 2006, contrary to 10 CFR 55.53, as required by 10 CFR 50.34 (c) and PPL-Susquehanna procedure, NDAP-00-2002, Rev 4, "Fitness for Duty/Behavior Observation Program," you willfully failed to report to PPL-Susquehanna that you had been arrested for driving under the influence of alcohol on June 19, 2006.
- 3. You, subsequent to the NRC's identification of this violation, took actions to assure that you learned from the violation and provided the NRC with assurance that it would not recur. These actions included attending substance abuse counseling and entering into Accelerated Rehabilitation Disposition Program with the Commonwealth of Pennsylvania, which included participating in education awareness and community service.
- 4. During the ADR mediation session, you recognized an opportunity for other licensed operators in the nuclear industry to learn from your violation. Therefore, you agree to take the following actions in the future to sensitize other licensed operators to the importance of remaining fit for duty, and reporting any arrest to the facility licensee as part of the behavior observation program: (a) writing an Operating Experience report that will address lessons learned from this violation which will include a discussion of meeting the intent of procedures as part of procedure adherence; (b) providing the written report to the NRC for review; (c) submitting the report to a minimum of 3 national organizations for possible publication, including INPO, NEI, and a third organization that you will identify, and inform the NRC of such when submitting the report for review; (d) providing a written response to the NRC explaining the reasons why the NRC can have confidence in your following licensee procedures and meeting NRC regulations should you work in the nuclear industry in the future; and, (e) preparing a training plan for licensed and nonlicensed operator training at PPL-Susquehanna regarding procedure compliance as it relates to meeting the intent of the procedure, and the lessons-learned from the circumstances which resulted in this ADR. The subject training plan will be provided to PPL for their potential use.
- 5. You will inform the NRC before you resume work involving NRC licensed activities.
- 6. You agree to complete the additional actions described in Item 4 within three months after issuance of the NRC Confirmatory Order confirming the commitments agreed to herein, and will send a letter to the NRC informing the NRC that these actions are

complete. You agree to send this letter to the NRC within 30 days of completion of all actions.

- 7. In light of the actions you have taken as described in Item 3, and those actions you have committed to take as described in Items 4, 5, and 6, the NRC agrees to issue a Severity Level III Notice of Violation to you and a Confirmatory Order, confirming the commitments set forth herein. This letter, Notice of Violation, and the Confirmatory Order will be publicly available in ADAMS, will appear on the NRC "Significant Enforcement Actions Individuals" website for a period of 1 year, and will also be placed in your individual license file (Docket No. 55-61336, License No. SOP-11801).
- 8. You agree to issuance of the letter, Notice of Violation, and Confirmatory Order confirming this agreement, and also agree to waive any request for a hearing regarding this Confirmatory Order.

Your agreement, in principle, at the September 27, 2007, ADR session was confirmed on November 16, 2007, when you signed the Consent and Hearing Waiver form, a copy of which is enclosed, was signed. Also enclosed with this letter is the Notice of Violation (Notice) and the Confirmatory Order (Effective Immediately) that confirms the commitments made as part of the settlement agreement.

Although the NRC plans no further action regarding this matter, you are reminded that you held a license from the United States government that conferred upon you the special trust and confidence of the American people in the safe operation of nuclear facilities and placed you in the position where your performance is expected to be above reproach. This included, as an NRC Senior Reactor Operator license holder, your responsibility to follow all licensee procedures to ensure protection of the public health and safety, and to set an example for those under your supervision. Your actions on June 27, 2006, did not meet those standards. Any similar violations in the future could result in more significant enforcement action.

You are not required to respond to this letter or Notice. However, in accordance with the settlement agreement, you are required to respond to the Order. Your written response to the Order, and your response to the Notice, if you choose to provide one, should be sent to the U.S. Nuclear Regulatory Commission, ATTN: Regional Administrator, Region I, 475 Allendale Road, King of Prussia, PA 19406, and marked "Open by Addressee Only", within 30 days of the date of this letter.

In accordance with the agreement reached at the ADR session as noted in Item 7 above, a copy of this letter will be made available electronically for public inspection in the NRC Public Document Room or from the NRC's document system (ADAMS). ADAMS is accessible from the NRC Web site at <a href="http://www.nrc.gov/reading-rm/adams.html">http://www.nrc.gov/reading-rm/adams.html</a>. The NRC will also include this letter on its Web site for a period of 1 year at <a href="http://www.nrc.gov">http://www.nrc.gov</a>; select **About NRC**, **Organization and Functions**, **Office of Enforcement**, **About Enforcement**, **Significant Enforcement Actions**, then **Individuals**. Your response, if you choose to provide one, with your address removed, will also be made available electronically for public inspection in the NRC Public Document Room or from the NRC's document system (ADAMS). This letter will be maintained by the Office of Enforcement (OE) in a NRC Privacy Act system of records, NRC-3, "Enforcement Actions Against Individuals." The NRC-3 system notice, which provides detailed

information about this system of records, can be accessed from our Web site at <a href="http://www.nrc.gov/reading-rm/foia/foia-privacy.html">http://www.nrc.gov/reading-rm/foia/foia-privacy.html</a>. A copy of this letter with your address removed, and your response if you choose to submit one, will also be placed in the docket file for your license.

If you have any questions or comments, please contact Mr. Karl Farrar, Region I Counsel, at 610-337-5301.

Sincerely,

/RA/

Marc L. Dapas Deputy Regional Administrator

Docket No. 55-61336 License No. SOP-11801

#### Enclosures:

- 1. Signed Consent and Hearing Waiver Form
- 2. Notice of Violation
- 3. Confirmatory Order

cc: w/encls

C. Gannon, Vice President - Nuclear Operations, PPL-Susquehanna Commonwealth of Pennsylvania

information about this system of records, can be accessed from our Web site at <a href="http://www.nrc.gov/reading-rm/foia/privacy-systems.html">http://www.nrc.gov/reading-rm/foia/privacy-systems.html</a>. A copy of this letter with your address removed, and your response if you choose to submit one, will also be placed in the docket file for your license.

If you have any questions or comments, please contact Mr. Karl Farrar, Region I Counsel, at 610-337-5301.

Sincerely, /RA/

Marc L. Dapas Deputy Regional Administrator

Docket No. 55-61336 License No. SOP-11801

## **Enclosures:**

- 1. Signed Consent and Hearing Waiver Form
- 2. Notice of Violation
- 3. Confirmatory Order

## cc w/encls:

C. Gannon, Vice President - Nuclear Operations, PPL-Susquehanna Commonwealth of Pennsylvania

# **DISTRIBUTION w/encls**:

ADAMS (PARS) **SECY** CA **OEMAIL OEWEB** LReyes, EDO MVirgilio, DEDMRT WKane, DEDO CCarpenter, OE SMagruder, OE MBurrell, OE NHilton, OE LLopez, OE BJones, OGC GVeneziano, OGC GCaputo, OI

EWilson, OI

HBell, OIG

TGrancorvitz, OC EHayden, OPA Enforcement Coord

Enforcement Coordinators RII, RIII, RIV

SCollins, RA MDapas, DRA DLew, RI MGamberoni, RI PKrohn, RI MSykes, RI KFarrar, ORA DHolody, ORA ADeFrancisco, ORA RSummers, ORA DScrenci, PAO-RI NSheehan, PAO-RI

Region I OE Files (with concurrences)
Region I Docket Room (with concurrences)

## SUNSI Review Complete:\_\_\_\_\_rjs\_\_\_\_\_(Reviewer's Initials)

DOCUMENT NAME: S:\Enf-allg\Enforcement\Proposed-Actions\Region1\ADR Cases - Issued but Still Open\Susquehanna SRO\Susquehanna-SRO-NOVandOrder-Final.wpd Accession No.: ML073300491

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NAME	KFarrar	**NHilton		**NSalgado		**BJones		MDapas	
DATE	10/15/07	10/ 29 /07		10/ 24 /07		10/ 24 /07		11/21/07	

OFFICIAL RECORD COPY

<sup>\*</sup>see previous concurrence page for original signature

<sup>\*\*</sup> via e-mail from M. Burrell on 10/29/07

#### NOTICE OF VIOLATION

Mr. Keith Davis [HOME ADDRESS DELETED UNDER 10 CFR 2.390(a)] IA-07-014

During an NRC investigation completed on January 29, 2007, a violation of NRC requirements was identified. In accordance with the NRC Enforcement Policy, the violation is listed below:

10 CFR 55.53 (d), states, in part, that the licensee [licensed operator] is subject to, and shall observe, all applicable rules, regulations, and orders of the Commission.

10 CFR 50.34 (c), requires each applicant for a license to operate a production or utilization facility to submit a security plan describing how the requirements of part 73 will be met.

The Susquehanna Steam Electric Station Physical Security Plan, Section 9.1, states that the Access Authorization Program implements regulatory requirements utilizing the provisions of NEI-03-01, Revision 1, Nuclear Power Plant Access Authorization Program.

NEI-03-01, Revision 1, Section 10.2, requires, in part, that the [facility] licensee have an arrest-reporting program that requires individuals with unescorted access to report any arrests, criminal charges, convictions, or proceedings that may impact upon his or her trustworthiness or reliability.

Susquehanna Steam Electric Station procedure NDAP-00-2002, Revision 4, "Fitness for Duty/Behavior Observation Program," states, in part, that individuals with unescorted access or unescorted access authorization shall report all arrests, criminal charges, convictions, or criminal proceedings to their supervisor and Site Access Services promptly (i.e., on the first day back to work).

Contrary to the above, on June 27, 2006, while working as a licensed senior reactor operator with unescorted access at the Susquehanna Steam Electric Station (SSES), you reported to work at SSES and failed to report an arrest to your supervisor and Site Access Services promptly (on your first day back to work) after being arrested for driving under the influence (DUI) of alcohol on June 19, 2006.

The NRC has concluded that information regarding the reason for the violation, the corrective actions taken and planned to correct the violation and prevent recurrence, and the date when full compliance will be achieved is already adequately addressed on the docket in the letter forwarding this Notice. However, you are required to submit a written statement or explanation pursuant to 10 CFR 2.201 if the description herein does not accurately reflect your corrective actions or your position. In that case, or if you choose to respond, your written response should be sent to the U.S. Nuclear Regulatory Commission, ATTN: Regional Administrator, Region I, 475 Allendale Road, King of Prussia, PA 19406, and marked "Open by Addressee Only" within 30 days of the date of the letter transmitting this Notice. This reply should be clearly marked as a "Reply to a Notice of Violation, IA-07-014."

If you choose to respond, your response will be made available electronically for public inspection in the NRC Public Document Room or from the NRC's document system (ADAMS) accessible from the NRC Web site at <a href="http://www.nrc.gov/reading-rm/adams.html">http://www.nrc.gov/reading-rm/adams.html</a>. Therefore, to the extent possible, the response should not include any personal privacy, proprietary, or safeguards information so that it can be made available to the Public without redaction.

Dated this 26th day of November 2007.

# UNITED STATES OF AMERICA NUCLEAR REGULATORY COMMISSION

In the Matter of <u>Keith Davis</u>	) ) )	Docket No. 55-61336 License No. SOP-11801 IA-07-14
	CONFIRMATORY ORDER (EFFECTIVE IMMEDIATELY)	

I

Keith Davis (Mr. Davis) was previously employed as a licensed Senior Reactor Operator at the Susquehanna Steam Electric Station (SSES). Mr. Davis was the holder of Senior Reactor Operator (SRO) License Number SOP-11801 issued by the Nuclear Regulatory Commission (NRC) pursuant to 10 CFR Part 55. The license authorized Mr. Davis to direct the licensed activities of licensed operators at, and to manipulate all controls of, the Susquehanna Steam Electric Station, (facility license numbers NPF-14 and NPF-15). The facility is located on a PPL-Susquehanna, LLC site in Berwick, PA.

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An investigation was initiated by the NRC Office of Investigations (OI) on August 4, 2006, at the Susquehanna Steam Electric Station. This investigation was initiated, in part, to determine if Mr. Davis deliberately failed to report an arrest as required by station procedures on June 27, 2006, on

his first day back to work after the arrest for driving under the influence (DUI) of alcohol on June 19, 2006. As noted in an NRC letter to Mr. Davis on July 2, 2007, OI substantiated, based on the evidence developed during its investigation, that Mr. Davis deliberately failed to report the arrest as required by station procedure. The letter offered Mr. Davis the opportunity to either attend a Predecisional Enforcement Conference or to request use of Alternate Dispute Resolution (ADR), to resolve this matter.

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In response to the July 2, 2007 letter, Mr. Davis requested the use of ADR to resolve this matter with the NRC. ADR is a process in which a neutral mediator with no decision-making authority assists the NRC and Mr. Davis in reaching an agreement on resolving any differences regarding the enforcement action. An ADR session was held between Mr. Davis and the NRC in King of Prussia, Pennsylvania on September 27, 2007, and was mediated by a professional mediator, arranged through Cornell University's Institute of Conflict Management. During that ADR session, a settlement agreement was reached. The elements of the settlement agreement consisted of the following:

The NRC and Mr. Davis agreed with the following facts: (1) Mr. Davis, while employed as a senior reactor operator at the PPL-Susquehanna nuclear facility, was arrested on June 19, 2006, for driving under the influence of alcohol; (2) Mr. Davis did not report the arrest to the Pennsylvania Power Light (PPL) - Susquehanna management on June 27, 2006, upon arrival for scheduled work, his first opportunity after the arrest; and, (3) at the time of the

- arrest, Mr. Davis understood that he was required to follow all station procedures under the terms and conditions of his individual senior reactor operator license (SOP-11801).
- 2. As a result of the facts agreed to in Item 1, the NRC and Mr. Davis agreed that Mr. Davis violated NRC requirements, in that on June 27, 2006, contrary to 10 CFR 55.53, as required by 10 CFR 50.34 (c) and PPL-Susquehanna procedure, NDAP-00-2002, Rev 4, "Fitness for Duty/Behavior Observation Program," Mr. Davis willfully failed to report to PPL-Susquehanna that he had been arrested for driving under the influence of alcohol on June 19, 2006.
- 3. Mr. Davis, subsequent to the NRC's identification of this violation, took actions to assure that he learned from the violation and provided the NRC with assurance that it would not recur. These actions included attending substance abuse counseling and entering into Accelerated Rehabilitation Disposition Program with the Commonwealth of Pennsylvania, which included participating in education awareness and community service.
- 4. During the ADR mediation session, Mr. Davis recognized an opportunity for other licensed operators in the nuclear industry to learn from his violation. Therefore, Mr. Davis agreed to take the following actions in the future to sensitize other licensed operators to the importance of remaining fit for duty, and reporting any arrest to the facility licensee as part of the behavior observation program: (a) writing an Operating Experience report that will address lessons learned from this violation which will include a discussion of meeting the intent of procedures as part of procedure adherence; (b) providing the written report to the NRC for review; (c) submitting the report to a minimum of 3 national organizations for

possible publication, including INPO, NEI, and a third organization that Mr. Davis will identify, and inform the NRC of such when submitting the report for review; (d) providing a written response to the NRC explaining the reasons why the NRC can have confidence in Mr. Davis following licensee procedures and meeting NRC regulations should he work in the nuclear industry in the future; and, (e) preparing a training plan for licensed and non-licensed operator training at PPL-Susquehanna regarding procedure compliance as it relates to meeting the intent of the procedure, and the lessons-learned from the circumstances which resulted in this ADR. The subject training plan will be provided to PPL for their potential use.

- 5. Mr. Davis will inform the NRC before he resumes work involving NRC licensed activities.
- 6. Mr. Davis agreed to complete the additional actions described in Item 4 within three months after issuance of an NRC Confirmatory Order confirming the commitments agreed to herein, and will send a letter to the NRC informing the NRC that these actions are complete.
  Mr. Davis agreed to send this letter to the NRC within 30 days of completion of all actions.
- 7. In light of the actions Mr. Davis has taken as described in Item 3, and those actions Mr. Davis has committed to take as described in Items 4, 5, and 6, the NRC agrees to issue a Severity Level III Notice of Violation to Mr. Davis. The NRC will issue a letter with the aforementioned Notice of Violation and a Confirmatory Order to Mr. Davis confirming the commitments set forth herein. The letter, Notice of Violation, and the Confirmatory Order will be publically available in ADAMS, will appear on the NRC "Significant Enforcement Actions Individuals" website for a period of 1 year, and will also be placed in Mr. Davis' individual license file (Docket No. 55-61336, License No. SOP-11801).

8. Mr. Davis agreed to issuance of the letter, Notice of Violation, and Confirmatory Order confirming this agreement, and also agrees to waive any request for a hearing regarding this Confirmatory Order.

IV

Since Mr. Davis has agreed to take additional actions to address NRC concerns, as set forth in Section III, the NRC has concluded that its concerns can be resolved through the NRC's confirmation of the commitments as outlined in this Confirmatory Order. I find that Mr. Davis' commitments as set forth in Section III above are acceptable. In view of the foregoing, I have determined that these commitments be confirmed by this Confirmatory Order. Based on the above and Mr. Davis' consent, this Confirmatory Order is immediately effective upon issuance.

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Accordingly, pursuant to Sections 103, 161b, 161i, 161o, 182, and 186 of the Atomic Energy Act of 1954, as amended, and the Commission's regulations in 10 C.F.R. §2.202 and 10 C.F.R. Part 55, IT IS HEREBY ORDERED, EFFECTIVE IMMEDIATELY THAT:

1. Within three months of the date of this Order, Mr. Davis will take the following actions to sensitize other licensed operators to the importance of remaining fit for duty, and reporting any arrest to the facility licensee as part of the behavior observation program: (a) writing an Operating Experience report that will address lessons learned from this violation which will

include a discussion of meeting the intent of procedures as part of procedure adherence; (b) providing the written report to the NRC for review; (c) submitting the report to a minimum of 3 national organizations for possible publication, including INPO, NEI, and a third organization that Mr. Davis will identify and inform the NRC of such when submitting the report for review; (d) providing a written response to the NRC explaining the reasons why the NRC can have confidence in Mr. Davis following licensee procedures and meeting NRC regulations should he work in the nuclear industry in the future; and, (e) preparing a training plan for licensed and non-licensed operator training at PPL-Susquehanna regarding procedure compliance as it relates to meeting the intent of the procedure, and the lessons-learned from the circumstances which resulted in this ADR. The subject training plan will be provided to PPL for their potential use.

- 2. Mr. Davis will inform the NRC before he resumes work involving NRC licensed activities.
- Mr. Davis will provide the NRC with a letter detailing his completion of all actions specified in
   Item 1 above within 30 days of completion of all actions.

The NRC Region I Regional Administrator may relax or rescind, in writing, any of the above conditions upon a showing by Mr. Davis of good cause.

Any person adversely affected by this Confirmatory Order, other than Mr. Davis, may request a hearing within 20 days of its issuance. Where good cause is shown, consideration will be given to extending the time to answer or request a hearing. A request for extension of time must be directed to the Director, Office of Enforcement, U.S. Nuclear Regulatory Commission, and include a statement of good cause for the extension.

A request for a hearing must be filed in accordance with the NRC E-Filing rule, which the NRC promulgated in August, 2007, 72 Fed. Reg. 49,139 (Aug. 28, 2007). The E-Filing process requires participants to submit and serve documents over the internet or, in some cases, to mail copies on electronic optical storage media. Participants may not submit paper copies of their filings unless they seek a waiver in accordance with the procedures described below.

To comply with the procedural requirements associated with E-Filing, at least five (5) days prior to the filing deadline the requestor must contact the Office of the Secretary by e-mail at HEARINGDOCKET@NRC.GOV, or by calling (301) 415-1677, to request (1) a digital ID certificate, which allows the participant (or its counsel or representative) to digitally sign documents and access the E-Submittal server for any NRC proceeding in which it is participating; and/or (2) creation of an electronic docket for the proceeding (even in instances when the requestor (or its counsel or representative) already holds an NRC-issued digital ID certificate). Each requestor will need to download the Workplace Forms Viewer™ to access the Electronic Information Exchange (EIE), a component of the E-Filing system. The Workplace Forms Viewer™ is free and is available at http://www.nrc.gov/site-help/e-submittals/install-

<u>viewer.html</u>. Information about applying for a digital ID certificate also is available on NRC's public website at http://www.nrc.gov/site-help/e-submittals/apply-certificates.html.

Once a requestor has obtained a digital ID certificate, had a docket created, and downloaded the EIE viewer, it can then submit a request for a hearing through EIE. Submissions should be in Portable Document Format (PDF) in accordance with NRC guidance available on the NRC public website at <a href="http://www.nrc.gov/site-help/e-submittals.html">http://www.nrc.gov/site-help/e-submittals.html</a>. A filing is considered complete at the time the filer submits its document through EIE. To be timely, electronic filings must be submitted to the EIE system no later than 11:59 p.m. Eastern Time on the due date. Upon receipt of a transmission, the E-Filing system time-stamps the document and sends the submitter an e-mail notice confirming receipt of the document. The EIE system also distributes an e-mail notice that provides access to the document to the NRC Office of the General Counsel and any others who have advised the Office of the Secretary that they wish to participate in the proceeding, so that the filer need not serve the document on those participants separately. Therefore, any others who wish to participate in the proceeding (or their counsel or representative) must apply for and receive a digital ID certificate before a hearing request is filed so that they may obtain access to the document via the E-Filing system.

A person filing electronically may seek assistance through the "Contact Us" link located on the NRC website at <a href="http://www.nrc.gov/site-help/e-submittals.html">http://www.nrc.gov/site-help/e-submittals.html</a> or by calling the NRC technical help line, which is available between 8:30 a.m. and 4:15 p.m., Eastern Time, Monday through Friday. The help line number is (800) 397-4209 or locally, (301) 415-4737.

Participants who believe that they have good cause for not submitting documents electronically

must file a motion, in accordance with 10 C.F.R. 2.302(g), with their initial paper filing requesting authorization to continue to submit documents in paper format. Such filings must be submitted by (1) first class mail addressed to the Office of the Secretary of the Commission, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, Attention: Rulemaking and Adjudications Staff; or (2) courier, express mail, or expedited delivery service to the Office of the Secretary, Sixteenth Floor, One White Flint North, 11555 Rockville, Pike, Rockville, Maryland, 20852, Attention: Rulemaking and Adjudications Staff. Participants filing a document in this manner are responsible for serving the document on all other participants. Filing is considered complete by first-class mail as of the time of deposit in the mail, or by courier, express mail, or expedited delivery service upon depositing the document with the provider of the service.

Documents submitted in adjudicatory proceedings will appear in NRC's electronic hearing docket which is available to the public at <a href="http://ehd.nrc.gov/EHD">http://ehd.nrc.gov/EHD</a> Proceeding/home.asp, unless excluded pursuant to an order of the Commission, an Atomic Safety and Licensing Board, or a Presiding Officer. Participants are requested not to include personal privacy information, such as social security numbers, home addresses, or home phone numbers in their filings. With respect to copyrighted works, except for limited excerpts that serve the purpose of the adjudicatory filings and would constitute a Fair Use application, Participants are requested not to include copyrighted materials in their works.

If a person requests a hearing, that person shall set forth with particularity the manner in which his interest is adversely affected by this Order and shall address the criteria set forth in 10 C.F.R. 2.309(d).

If a hearing is requested by a person whose interest is adversely affected, the Commission will issue an Order designating the time and place of any hearing. If a hearing is held, the issue to be considered at such hearing shall be whether this Confirmatory Order shall be sustained.

AN ANSWER OR A REQUEST FOR A HEARING SHALL NOT STAY THE EFFECTIVENESS DATE OF THIS ORDER.

FOR THE NUCLEAR REGULATORY COMMISSION

/RA/

Marc L. Dapas

Deputy Regional Administrator

Dated this 26th day of November 2007

NOV 2 0 2007

NOV-25-2007 13:48

H-KC

613-333-5241

#### Enclosuré 1

### Consent and Hearing Waiver Form

Mr. Keith Davis hereby agrees with the attached draft Notice of Violation (NOV) and Confirmatory Order that will be immediately effective upon Issuance. Mr. Davis recognizes that by signing below. Mr. Davis consents to the issuance of the NOV and Confirmatory Order, effective immediately, containing commitments agreed to as incorporated into the draft Confirmatory Order. The agreement was reached during the ADR mediation session hold in the NRC Region. Office in King of Prussia, PA, on September 27, 2007. By agreeing to the attached NOV and Confirmatory Order, pursuant to 10 CFR 2,202(a)(3) and (d). Mr. Cavis waives the right to request a hearing on all or any part of the Confirmatory Order.

Mr. Keith-Davis

Date 11/16/67